SoC Processor Discovery for Program Execution Matching Using Unsupervised Machine Learning

Avi Bleiweiss
Platform Engineering Group, Intel Corporation, Santa Clara, U.S.A.

Keywords: SoC, Mixture Model, Clustering, Likelihood, Expectation-Maximization, KNN, Ranked Information Retrieval.

Abstract: The fast cadence for evolving mobile compute systems, often extends their default processor configuration by incorporating task specific, companion cores. In this setting, the problem of matching a compute program to efficiently execute on a dynamically selected processor, poses a considerable challenge to employing traditional compiler technology. Rather, we propose an unsupervised machine learning methodology that mines a large data corpus of unlabeled compute programs, with the objective to discover optimal program-processor relations. In our work, we regard a compute program as a text document, comprised of a linear sequence of bytecode mnemonics, and further transformed into an effective representation of a bag of instruction term frequencies. Respectively, a set of concise instruction vectors is forwarded onto a finite mixture model, to identify unsolicited cluster patterns of source-target compute pairings, using the expectation-maximization algorithm. For classification, we explore k-nearest neighbor and ranked information retrieval methods, and evaluate our system by simultaneously varying the dimensionality of the training set and the SoC processor formation. We report robust performance results on both the discovery of relational clusters and feature matching.

1 INTRODUCTION

Mobile devices embody a system-on-a-chip (SoC) circuit technology that integrates a diverse set of processing elements, a shared memory subsystem, and several communication components. The most basic architectural configuration of SoC processors comprises a multi core CPU, a graphics processing unit (GPU), and at least one image signal processor (ISP). But in recent years, the landscape of SoC compute capacity transformed notably, with vendors advance to add companion cores and application specific, computation units. Of significance role model are Qualcomm, who introduced a digital signal processor (DSP), destined primarily for audio processing, Apple announced a dedicated motion processor, formed as a hub for manipulating sensor data, and Motorola added computational cores, subscribing to natural language processing (NLP) and contextual computing. This trend of increased SoC processor divergence, while holding compelling functional merits, raises nonetheless a power efficiency and utilization challenges, as cores remain idling for extended periods of time.

Modern mobile computing platforms (Render script, 2011) (Augonnet, 2011), have since progressed and are designed to ensure processors are utilized efficiently, by scheduling programs to run concurrently on the SoC. More importantly, these frameworks promote a dynamic selection approach, to best match a target processor for executing a bound compute program, at runtime. However, we contend that deploying compiler technology to the program-processor pairing task, on an individual program basis, is limited in its parametric scope and hence suboptimal. Rather, we propose a discovery (Rajaraman and Ullman, 2011) method that extracts a statistical, processor relation model from a large data set of thousands of compute programs, and incorporates both information retrieval (Manning et al., 2008) and unsupervised machine learning (Duda et al., 2001) techniques. Information retrieval (IR) is fast becoming the dominant form of data source access. Amongst many domains, it encompasses the field of grouping a set of documents that enclose non structured content, to behave similarly with respect to relevance to information needs. Our work closely leverages IR practices by realizing a compute program as a text document, composed of a collection of instruction keywords, and represented in a compact histogram of term frequencies format. Furthermore, we are interested in uncov-
Table 1: Dalvik bytecode operational categories, listing opcode mnemonics that are type and operand layout independent.

<table>
<thead>
<tr>
<th>LoadStore</th>
<th>Construction</th>
<th>Jump</th>
<th>Compare</th>
<th>IfTest</th>
<th>Test</th>
<th>UnaryOp</th>
<th>BinaryOp</th>
</tr>
</thead>
<tbody>
<tr>
<td>move</td>
<td>new-instance</td>
<td>goto</td>
<td>cmd</td>
<td>if-eq, if-ne</td>
<td>neg</td>
<td>add, sub, xor</td>
<td>fill-array-data</td>
</tr>
<tr>
<td>const</td>
<td>new-array</td>
<td>packed-switch</td>
<td>cmpl</td>
<td>if-lt, if-le</td>
<td>not</td>
<td>sub, div, shl,</td>
<td>array-length</td>
</tr>
<tr>
<td>get</td>
<td>filled-new-array</td>
<td>sparse-switch</td>
<td>cmp</td>
<td>if-gt, if-ge</td>
<td>neg</td>
<td>and, or</td>
<td>put</td>
</tr>
<tr>
<td>put</td>
<td></td>
<td>return</td>
<td>cmpg</td>
<td>instance-of</td>
<td>neg</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>throw</td>
<td></td>
<td>check-cast</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

considering the underlying cluster nature of a large set of compute programs, to establish a concrete relationship between a program partition to a specific, SoC processor target. In this context, we use finite mixture models (McLachlan and Peel, 2000), recognized for providing effective and formal statistical framework, to cluster high dimensional data of continuous nature.

Finite mixture models are widely used in the domain of cluster analysis (Fraley and Raftery, 2002) (Fraley and Raftery, 2007), and apply to a growing application space, including web content search, gene expression linking, and image segmentation. They form an expressive set of classes for multivariate density estimation, and the entire observed data set is represented by a mixture of either continuous or discrete, parametric distribution functions. An individual distribution, often referred to as a component distribution, constitutes thereof a cluster. Traditionally, the likelihood paradigm provides a mechanism for estimating the unknown parameters of the mixture model, by deploying a method that iterates over the maximum likelihood. Upon completion, the likelihood function reflects the conformity of the model to the incomplete observed data. One of the more broadly used and well behaved technique to guarantee process convergence, is the Expectation-Maximization (EM) algorithm (Dempster et al., 1977) that scales well with increased data set size. While not immediately applicable to our work, noteworthy is the research that further extends the empirical likelihood paradigm to a model, whose component dimension is unknown. Hence, both model fitting and selection must be determined from the data simultaneously, by using an approximation based on any of the Akaike Information Criterion (AIC) (Akaike, 1973), the Bayesian Information Criterion (BIC) (Schwarz, 1978), or the sum of AIC and BIC plus an entropy term (Ngatchou-Wandji and Bulla, 2013).

Our work is inspired by the enormous data mining potential of an ever growing corpus of many thousands of compute programs, actively running on mobile devices. Albeit challenged by strict, intellectual property rules, we foresee our work and of many others to follow, to drive free and publicly accessible, large set of compute program source, over the web. The main contribution of our work is a novel, statistically driven system that combines IR and unsupervised learning techniques, to discover instinctive patterns from unlabeled data, to best match a program to an SoC target processor. Unlike a more deterministic compiler approach that treats programs individually, and largely relies on a set of incomplete rules. The remainder of this paper is organized as follows. We overview the motivation for selecting an abstracted representation of a compute program, leading to our compact instruction vector format, in section 2. Section 3 reviews algorithms and provides theory to multivariate cluster analysis, discussing both the normal mixture model foundation and the role of the EM method in estimating model parameters. As section 4 outlines the classification schemes we conduct to rate our system, including k-nearest neighbor and ranked retrieval based. In section 5, we present our evaluation methodology, and analyze quantitative results of our experiments. We conclude with a summary and future prospect remarks, in section 6.

2 INSTRUCTION VECTORS

Compute programs, executed on a destined processor, abide by an instruction set architecture (ISA). The ISA defines a machine language that comprises a set of opcodes and native commands, implemented on a specific compute hardware. On a given architecture, some instructions run sequentially and others are capable to execute concurrently. Semantically, ISAs vary from a compute entity to another, but on an instruction level, the underlying action performed is rather resembling. This observation spurred computer architects to seek a higher level abstraction and a more portable intermediate representation of object code, known as bytecode. Smalltalk, Java, and Microsoft’s Common Language Runtime (CLR) are examples of virtual machines (VM) that translate bytecode onto native machine code, at runtime. Without loss of generality, we selected for our work the Dalvik VM (Dalvik, 2007) that was specifically created for
the widespread Android, mobile operating system.

Android was explicitly founded for devices with severely constrained processing power, limited physical memory, and literally no swap space. Given the extremely wide range of target environments, it is critical for the application platform to be abstracted away from both the underlying operating system and hardware devices. This motivated Dalvik, a VM based runtime that executes files in a distinct format, optimized for minimal memory footprint. The design of the Dalvik executable format is primarily driven by sharing data across classes, using type explicit, constant pooling. Architecturally, the Dalvik VM is register based and requires on average less executed instructions, compared to the traditional stack approach. The Dalvik bytecode offers a rich set of opcodes that operate on values, 32 or 64 bits, and on wider object references. Opcode mnemonics disambiguate the underlying operation by a name suffix that either indicates the data type the instruction operates on, or formalizes a unique operand layout.

In our work, a compute program is modeled after a text document, comprised of a list of Dalvik opcode mnemonics. To better control the instruction distribution in a program, we further partition bytecode opcodes into eight operational categories (Table 1). Move instructions copy the content from one value or object baring register, to another. Whereas the const opcode moves a literal value, a string or a class reference to a register. Similarly, the get and put instructions perform any of array, object or static field load or store data transfers, respectively. For jump to an instruction, a conditional branch based on rays. Divergent commands include an unconditional branch to a specified program offset. Test instructions check for a valid typed instance or a bound on an object reference. As a packed or a sparse sequence of integral values, along with function invocation and return constructs. As a packed or a sparse sequence of integral values, along with function invocation and return constructs.

3 PROGRAM CLUSTERING

Clustering procedures, based on finite mixture models, provide a flexible approach to multivariate statistics. They become increasingly preferred over heuristic methods, owing to their robust mathematical basis. Mixture models stand out in admitting clusters to directly identify with the components of the model. To model our system probability distribution of compute program features, we deploy the well established, Normal (Gaussian) Mixture Model (GMM) (Mclachlan and Basford, 1988) (Mclachlan and Peel, 2000), known for its parametric, probability density function that is represented as a weighted sum of Gaussian component densities. GMM parameters are estimated from our incomplete training data, composed of bags of instruction words, using the iterative Expectation-Maximization (EM) (Dempster et al., 1977) algorithm.

3.1 Normal Mixture Model

Let \( X = \{x_1, x_2, ..., x_n\} \) be our observed collection of compute programs, each represented as an instruction count vector \( I \in \mathbb{N}^d \), where \( d \) is the size of our instruction vocabulary. An additive mixture model, defines a weighted sum of \( k \) components, whose density function is formulated by equation 1:

\[
p(x|\Theta) = \sum_{j=1}^{k} w_j p_j(x|\theta_j),
\]

where \( w_j \) is a mixing proportion, signifying the prior probability that an observed program \( x \) belongs to the \( j^{th} \) mixture component, or cluster. Mixing weights, satisfy the constraints \( \sum_{j=1}^{k} w_j = 1 \), and \( w_j \geq 0 \). The component probability density function, \( p_j(x|\theta_j) \), is a \( d \)-variate distribution, parameterized by \( \theta_j \). Most commonly, and throughout this work, \( p_j(x|\theta_j) \) is the multivariate normal (Gaussian) density (equation 2), characterized by its mean vector \( \mu_j \in \mathbb{R}^d \) and a covariance matrix \( \Sigma_j \in \mathbb{R}^{dxd} \). Hence, \( \theta_j = (\mu_j, \Sigma_j) \), and the mixture parameter vector \( \Theta = (\theta_1, \theta_2, ..., \theta_k) \).

\[
\frac{1}{(2\pi)^{d/2} \sqrt{\det \Sigma_j}} \exp \left( -\frac{1}{2} (x - \mu_j)^T \Sigma_j^{-1} (x - \mu_j) \right)
\]
Compute programs, distributed by mixtures of multivariate normal densities, are members of clusters that are centered at their means, \( \mu_j \), whereas the cluster geometric feature is determined by the covariance matrix, \( \Sigma_j \). For efficient processing, our covariance matrix is diagonal, \( \Sigma_j = \text{diag}(\sigma^2_{j1}, \sigma^2_{j2}, \ldots, \sigma^2_{jd}) \), and hence clusters are of an ellipsoid shape, each nonetheless of a distinct dimension. To fit the normal mixture parameters onto a set of training feature vectors, we use the maximum likelihood estimation (MLE) principal. Furthermore, in regarding the set of compute programs as forming a sequence of \( n \) independent and identically distributed data samples, the likelihood corresponding to a \( k \)-component mixture, becomes the product of their individual probabilities:

\[
L(\Psi|X) = \prod_{i=1}^{n} \sum_{j=1}^{k} w_j p_j(x_i; \theta),
\]

where \( \Psi = (\Theta, w_1, w_2, \ldots, w_k) \). However, the multiplication of possibly thousands of fractional probability terms, incurs an undesired numerical instability. Therefore, by a practical convention, MLE operates on the log-likelihood basis. As a closed form solution to the problem of maximizing the log-likelihood, the task of deriving \( \Psi \) analytically, based on the observed data \( X \), is in many cases computationally intractable. Rather, it is common to resort to the standard, expectation-maximization (EM) algorithm, considered the primary tool for model based clustering.

### 3.2 Expectation-Maximization

To add more flexibility in describing the distribution \( P(X) \), the EM algorithm introduces new independences via \( k \)-variate hidden variables \( Z = \{z_1, z_2, \ldots, z_k\} \). They mainly capture uncertainty in cluster assignments, and are estimated in conjunction with the rest of the parameters. The combined observed and hidden portions, form the complete data set \( Y = (X, Z) \), where \( z_i = \{z_{i1}, z_{i2}, \ldots, z_{ik}\} \) is an unobserved vector, with indicator elements

\[
z_{ic} = \begin{cases} 
1, & \text{if } x_i \text{ belongs to cluster } c \\
0, & \text{otherwise}
\end{cases}
\]

EM is an iterative procedure, alternating between the expectation (E) and maximization (M) steps (Algorithm 1). For the hidden variables \( z_i \), the E step estimates the posterior probabilities \( w_{ic} \): that object \( x_i \) belongs to a mixture cluster \( c \), given the observed data and the current state of the model parameters

\[
w_{ic} = \frac{w_{c} p_c(x_i|\mu_c, \Sigma_c)}{\sum_{j=1}^{k} w_{j} p_j(x_i|\mu_j, \Sigma_j)}.
\]

Then the M step maximizes the joint distribution of both the observed and hidden data, and parameters are fitted to maximize the expected log-likelihood, based on the conditional probabilities, \( w_{ic} \), computed in the E step. The E and M step are iterated until convergence, after which a program observation, \( x_i \), is assigned to a cluster, corresponding to the highest conditional or posterior probability. EM typically performs well, once the observed data reasonably conforms to the mixture model, and by ensuring robust selection of random values, assigned to starting parameters, the algorithm warrants convergence to either a local maximum or a stationary value.

### 4 PROCESSOR CLASSIFICATION

Our classification model for processor discovery is implemented in two alternative methods. A k-nearest neighbor (KNN) (Cormen et al., 1990) baseline model, and a similarity based, ranked information retrieval (RIR) (Wang et al., 2012) approach. The compute program, bag of instruction words representation feeds well to both techniques that pursue effective, vector proximity calculations.

In KNN, we compute the Euclidean-squared distance between a test instruction vector against all vectors of our training program set, previously clustered into processor related groups. Distances are sorted in a non descending order and placed on a priority queue, with the queue element storing both the dis-
Table 2: Total instructions executed in our experiments, as a function of ascending number of compute programs.

<table>
<thead>
<tr>
<th>Programs</th>
<th>100</th>
<th>500</th>
<th>1000</th>
<th>2000</th>
<th>2500</th>
<th>5000</th>
<th>7500</th>
<th>10000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instructions</td>
<td>51,561</td>
<td>247,295</td>
<td>515,050</td>
<td>1,028,647</td>
<td>1,277,993</td>
<td>2,532,709</td>
<td>3,744,372</td>
<td>5,033,266</td>
</tr>
</tbody>
</table>

5 EMPIRICAL EVALUATION

To validate our system in practice, we have implemented a software library that realizes SoC processor discovery in several stages. Our library commences with constructing a collection of compute programs, composed of the Dalvik selected, bytecode instructions. Each of the linearly executed programs are then converted into a bag of instruction words representation, employing a concise, feature vector dimensionality of 39 elements, the size of our instruction vocabulary. The collection of instruction vectors is next fed into our mixture model module for processor matching grouping, and clusters created are cross validated to measure our system discovery performance.

![Figure 1: Zipf law power curves for instruction distribution](image)

5.1 Experimental Setup

First we build our compute program set for training. The collection dimension is a system level, user settable parameter that takes discrete values in a wide range of 100 to 10000 programs, while letting individual program size to vary randomly, in the span of 10 to 1000 bytecode instructions. As a function of a non decreasing number of compute programs, Table 2 depicts the total number of instructions executed, extending a large gamut from several ten thousands up to a handful of million instructions. In constructing the synthetic programs, we made an effort to abide by a more practical, and real world executable. Hence, instruction categories (Table 1) are each assigned a unique distribution weight, awarding highest precedence to load and store instruction types, followed by a more moderate presence rank, attached to binary op-
and test collections, owning 80/20 percent shares, respectively. The scores we obtained by each of KNN and RIR classification methods, fully met our study performance objectives. For the sake of presentation conciseness, and to avoid similar information of different metrics, we only report an already exhaustive compilation of KNN based classification results.

5.2 Experimental Results

We study the impact of concurrently varying our program training set size and the number of SoC processors, on our discovery system performance.

To understand how instruction terms are distributed across our entire training collection of compute program content, we use the Zipf law. The law states that the collection frequency, $c_f_i$, of the $i_{th}$ most common term, is proportional to $1/i$. For training sets...
of increasing discrete sizes, we plot the frequency of a bytecode instruction type against its frequency rank, in a log-log scale (Figure 1). Noting our instruction vocabulary is made of 39 unique words, in total. Our data shown to consistently fit the law, with the exception of the extremely low frequency terms. This is likely a side effect of our implementation that produces rare instruction words we attribute to program sparseness, more noticeable for programs of lower instruction count. The slopes of the training set curves are however less steeper than the line predicted by the law, indicating a more evenly distribution of instruction words, across programs. Staircase shaped plots, directly cast to our inherent, non linear instruction distribution in a provided program, by attaching higher distribution in terms of fractional share of the exercised program training set, as a function of a non decreasing, program collection size, and across our experimental, SoC processor configurations. A key observation of our results is the preserved cluster pattern in a proportional scale, as the number of processors increases, consistently for any selected training set dimension. This supports our hypothesis that a program-processor relation, strongly depends on the blend of instructions in a compute program, and less so on the order of execution, and further affirms our design choice for an instruction vector, feature model.

Figure 2 shows for each individual processor, the cluster distribution in terms of fractional share of the exercised program training set, as a function of a non decreasing, program collection size, and across our experimental, SoC processor configurations. A key observation of our results is the preserved cluster pattern in a proportional scale, as the number of processors increases, consistently for any selected training set dimension. This supports our hypothesis that a program-processor relation, strongly depends on the blend of instructions in a compute program, and less so on the order of execution, and further affirms our design choice for an instruction vector, feature model.

Figure 3 shows for each individual processor, the KNN classification results measured in normalized, average majority vote, as a function of a non decreasing, training set size, and spans our experimental, SoC processor formations. The average voting score we report is calculated across our randomly selected, instruction vectors for the test held partition, of each of the program clusters. As a function of program collection expansion, baseline classification performance remains relatively flat, and mostly immune to SoC processor configuration. On the other hand, with increased SoC processor count, the group of individual performance curves tends to spread across a wider interval of classification rates, demonstrating a reasonably acceptable, moderate drop of program-processor performance curves tends to spread across a wider interval of classification rates, demonstrating a reasonably acceptable, moderate drop of program-processor relation, strongly depends on the blend of instructions in a compute program, and less so on the order of execution, and further affirms our design choice for an instruction vector, feature model.

Table 3: Confusion matrices: shown for a 10000 program collection, across our experimental, SoC processor configurations.

<table>
<thead>
<tr>
<th>(a) Three processors.</th>
<th>(b) Four processors.</th>
<th>(c) Five processors.</th>
<th>(d) Six processors.</th>
</tr>
</thead>
<tbody>
<tr>
<td>P0</td>
<td>P1</td>
<td>P2</td>
<td>P0</td>
</tr>
<tr>
<td>P0</td>
<td>789</td>
<td>0</td>
<td>35</td>
</tr>
<tr>
<td>P1</td>
<td>0</td>
<td>494</td>
<td>2</td>
</tr>
<tr>
<td>P2</td>
<td>5</td>
<td>14</td>
<td>659</td>
</tr>
<tr>
<td>P3</td>
<td>0</td>
<td>5</td>
<td>25</td>
</tr>
<tr>
<td>P4</td>
<td>2</td>
<td>0</td>
<td>11</td>
</tr>
<tr>
<td>P5</td>
<td>0</td>
<td>0</td>
<td>2</td>
</tr>
</tbody>
</table>

KDIR 2014 - International Conference on Knowledge Discovery and Information Retrieval
As part of the classification process, we have constructed confusion matrix data (Kohavi and Provost, 1998), for each of our experimental combinations of training set dimension and SoC processor configuration. This provides complementary assessment on precision, recall, and accuracy measures of our system. Table 3 shows confusion matrix instances for a 10000 program collection, as a function of an ascending number of SoC processors. Matrices appear sparse and largely diagonally biased, with correlated actual and predicted classification. Nonetheless, both false positives and false negatives do surface occasionally. The classification F1-Score for our system, have been extracted from confusion matrix data, and Figure 5 shows for each individual processor, the scores as a function of a non decreasing, training set dimension, and across our experimental, SoC processor configurations. Scores are intentionally depicted in the 0 to 1 range, to emphasize the relative rather than the absolute performance behavior of a processor group configuration. Similarly, Figure 6 presents our system level accuracy, derived from confusion matrix data, as a function of a non decreasing, training set size, and parametrized by the SoC processor count. Accuracy rates top at as high as 0.97, for a three processor setting, and decline gracefully down to 0.87, for our largest tried, eight processor SoC.

Statistical measures, obtained from confusion matrix data, outline an alternate, system performance perspective that markedly concurs with the direct KNN, classification assessment (Figure 3). Correspondingly, we benefited from this for analyzing the RIR method, in searching a ranked program list, for relevancy. Finally, for running time, KNN holds a slight advantage over RIR, in avoiding the normalization of the instruction vector.

6 CONCLUSIONS

We have demonstrated the apparent potential in deploying information retrieval and unsupervised machine learning methods, to accomplish the discovery of program-processor relations from unchartered data, and facilitate optimal code execution. Our proposed solution is generic and scalable, to properly address the evident SoC evolution into a many cores system, and markedly contrasts the more limited, compiler optimization techniques, often intended towards an individual compute program. Each of our cluster analysis and classification results, consistently affirm our design choice for representing a compute program
by an effective bag of instruction words, attaching more weight to the mixture of instruction types, rather than the order of execution.

The clustering process we devise bins compute programs into classes, each identified as a unique virtual processor. Much like a VM that compiles bytecode to machine code, our system proceeds to assign virtual to physical processing elements, a step that is both device and SoC vendor specific, and is based on mapping architecture properties attached to each virtual cluster. As evident from our confusion matrix data, there is the small statistical likelihood of a program to be paired with a less-than-optimal SoC compute entity. While the runtime performance of this match might be below efficiency expectation, program execution however, warrants functional correctness. Typically, extending the training program collection and relabeling is one reasonable mitigating practice to reduce false positive occurrences.

By endorsing a data type independent bytecode, we assumed symmetric SoC processors, each capable of executing the entire, defined Dalvik ISA. This premise is substantiated for the majority of instruction types, but not for all. For example, double precision format, might be supported natively on some cores, but other compute elements may resort to a less efficient, software emulation. To address this, we plan to augment our instruction vocabulary, by adding double data type annotations to the binary arithmetic mnemonics, and let our GMM module abide by the processor support level, in forming program clusters.

A direct progression of our work is to assume no prior knowledge of the number of SoC compute elements, and discover both the model fitting and the selection dimension directly from the incomplete program training set, using a combination of Akaike and Bayesian information criteria. We look forward to a more wide spread and publicly available repository of compute programs, to allow for extending our experiments, and pursue more real world, machine code executables. Lastly, we envision our software to be incorporated seamlessly in a mobile application platform, to effectively perform the classification task of processor target selection, at execution runtime.

ACKNOWLEDGEMENTS

We would like to thank the anonymous reviewers for their insightful and helpful feedback.

REFERENCES


