Optimizing Elliptic Curve Scalar Multiplication with Near-Factorization

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Abstract: Elliptic curve scalar multiplication ($[k]P$ where $k$ is an integer and $P$ is a point on the elliptic curve) is widely used in encryption and signature generation. In this paper, we explore a factorization-based approach called Near-Factorization that can be used in conjunction with existing optimization techniques such as Window NAF (Non Adjacent Form). We present a performance model of Near-Factorization and validate model results with those from a simulation. We compare Near-Factorization with wNAF for a range of scalar sizes, window sizes, divisor lengths and Hamming weights of divisor. The use of Near-Factorization with wNAF results in a considerable reduction in the effective Hamming weight of the scalar and a reduction in overall computation cost for Koblitz curves.

1 INTRODUCTION

Elliptic Curve Cryptography (ECC) has received much attention in recent years. For the same level of security, its performance surpasses that of RSA and other public key cryptographic schemes. All public key cryptographic algorithms, however, are orders of magnitude more compute-intensive compared to their secret key counterparts and are the bottleneck in widely used protocols such as SSL/TLS. Hence, there is a dire need to optimize their performance.

The elliptic curve operation of scalar multiplication is widely used in encryption, decryption and signature generation/verification. Denoted $[k]P$, where $k$ is an integer and $P$ is a point on the elliptic curve, it involves computing $P + P + \ldots + P$ ($k$ times). Scalar multiplication is implemented using point doublings and point additions. Some of the best known methods of reducing the number of additions are the use of the NAF (Non Adjacent Form) (Morain, 1990) or multi-base representation (Dimitrov, 2005) of the scalar, $k$. On the other hand, to reduce the computation time of the point doublings, point halving has been suggested as an alternative (Knudsen, 1999), (Schroeppel, 2000). For the special case of Koblitz curves (Koblitz, 1992), (Solinas, 2000), the $r$-adic operation replaces point doubling and results in greatly reduced cost of scalar multiplication. One of our goals is to investigate whether the cost of cryptographic operations can be reduced even further especially in the context of Koblitz curves.

In this paper, we explore an optimization called Near Factorization based on expressing the scalar $k$ as $d \times q + r$ where $q$ and $r$ are respectively the quotient and remainder obtained by dividing $k$ by a divisor, $d$. This form of the scalar, $k$, was earlier studied in (Ciet, 2003) in a completely different context – that of resistance to side channel attacks while our objective is to investigate the performance implications of Near Factorization. $d$ is chosen to have low Hamming weight (for example 3). For each such $d$, we compute the combined Hamming weight of $d$, $q$ and $r$. We select the combination with minimum Hamming weight to reduce the number of point additions. Despite the considerably reduced search space, the cost of this search will not be insignificant. So, the application of Near Factorization will likely be limited to scenarios where $P$ is unknown but the scalar $k$ may be chosen beforehand as in Diffie-Hellman Key Agreement (Diffie, 1976) or in encrypting a message (Hankerson, 2004) using a public key just received via a digital certificate.

We use Near Factorization in conjunction with wNAF (window NAF). We develop an analytical model to estimate the performance of NF+wNAF and compare the model output with simulation
results. We experiment with a range of window sizes, scalar lengths, divisor lengths and divisor Hamming weights and identify the best combination of these. Our main result is that NF+wNAF reduces the number of point additions vis-à-vis wNAF by up to 10% in the case of Koblitz curves.

Section 2 summarizes work related to this paper while Section 3 contains a brief review of wNAF. In Section 4, we introduce Near Factorization and develop a model that captures the resulting reduction in Hamming weight. Section 5 highlights practical considerations and our main results. Section 6 contains a summary and our conclusions.

2 RELATED WORK

There are a myriad of proposed strategies for minimizing the cost of scalar multiplication (Gordon, 1998). If the entity computing [k]P has the prerogative of crafting k, he may choose k with low Hamming weight. This reduces security since it results in a decrease in search space of k. For example, (Coron, 2005), (Muir, 2006) present an algorithm to efficiently solve the discrete logarithm problem when the Hamming weight of k is known. A tradeoff between security and performance is to choose k as a product of low Hamming weight terms k₁, k₂, . . . kₙ as in (Hofstein, 2003). Here, the cost of scalar multiplication is proportional to the sum of the Hamming weights of the factors of k but the total number of possible values for k is the product of the number of possible values of each factor.

The other option is to accept k from a “good” random number generator and find alternate number representations of k which minimize the Hamming weight and the computation cost. NAF and wNAF (Morain, 1990) are two such examples. The number of point additions and doublings in scalar multiplication was formalized through the notion of an addition chain (Knuth, 1998). An addition chain for k is a list of positive integers, k₁ = 1, k₂=2, . . . , kₙ = k such that for each i > 1, there is some j and m, 1 ≤ j ≤ m < i, such that kᵢ = kⱼ + kₘ. (m = j) corresponds to point doubling while m > j corresponds to point addition in the context of scalar multiplication). The optimal cost is obtained by finding the shortest possible chain length, n. Minimal chain lengths for small values of k are readily available. However, for large values of k, only upper and lower bounds have been derived (Erdos, 1960). Also, point additions and doublings are lumped together – this is clearly not appropriate since, in the case of Koblitz curves, the cost of a τ-adic operation (in lieu of doubling) is considerably less than that of a point addition.

There have been a number of variations and extensions to wNAF. Fractional wNAF, introduced in (Moller, 2003), was motivated by considerations of optimal memory utilization in resource-constrained/embedded devices. It provides many more options in the number of points that need to be precomputed (rather than just 2w−2−1) – thus the window size may be tailored to the available storage. In addition, a left-to-right recoding scheme, resulting in the MOF (Mutually Opposite Form) representation of a scalar, was proposed in (Joye, 2000) for w = 2 and generalized for w > 2 in (Okeya, 2004) to save memory. The non-zero densities in fractional wNAF and fractional wMOF were investigated in (Fan, 2005), (Schmidt, 2006). It was shown that the Hamming weight in fractional wNAF and wMOF was no better than in wNAF for the same window size.

(Dimitrov, 2005) proposed a representation of the scalar, k using mixed powers of 2 and 3. This Double-Base representation is sparse and consequently reduces the number of point additions though it requires efficient algorithms for point tripling. (Adikari, 2011) introduced an easily computable hybrid binary-ternary number representation for k (a special case of double base chains). They studied its performance through a Markov chain analysis as well as through software implementation. (Dimitrov, 2007) added radix 5 thus generalizing the two base representation to those with three and more (multi-base representations). Motivated by considerations of memory usage, (Doche, 2006), (Barua, 2007) proposed a window-based implementation. The work in this paper aims to reduce the number of additions while leveraging the extremely low cost of τ-adic operations in Koblitz curves to reduce overall computation time.

The Near Factorization approach is closest in spirit and indeed has been inspired by the “factor method” suggested in (Knuth, 1998) wherein working with the factors of k may yield a reduction in cost. The scalar product, 55P, for example, may be computed in two steps:

\[ Q = 5P = 2 (2P ) + P \]  and  \[ 55P = 11Q = 2 (2 (2Q ) ) + 2Q + Q \]

The factor approach involves a total of 8 adds and doubling operations while the “binary method” involves 9 operations. Near Factorization is a
generalization of the factor method which allows for a non-zero remainder. As mentioned earlier, the scalar, k, was represented as dq + r in (Ciet, 2003), where d is randomly selected. However, the main goal of that work was to extend resistance to side-channel attacks in ECC computations. There was no attempt to reduce the combined Hamming weight of d, q and r or to quantify the savings in computation time resulting from a decrease in Hamming weight.

3 REVIEW OF WINDOW NAF

wNAF-based scalar multiplication involves two steps – the wNAF representation of the scalar is first computed followed by point doubling and point addition on the wNAF representation.

The wNAF representation of a scalar, k, is 
\[ k = \sum_{i=0}^{l-1} k_i 2^i \] where \( k_i \in \{ 0, \pm 1, \ldots, \pm (2^{w-1} - 2), \pm (2^{w-1} - 1) \} \). \( l \), the number of digits in the NAF representation, is either \( |k| \) or \(|k|+1 \) where \(|x|\) is the number of bits in the binary representation of \( x \). The wNAF representation may be obtained by scanning \( w \)-bit overlapping windows of the binary representation of \( k \) from right to left. An important feature of the wNAF representation of a scalar is that there are at least \( w-1 \) zeros separating two non-zeros.

Example 1: The binary, 2NAF and 4NAF representations of the scalar \( k = 796404706727234 \) are respectively

\[ 101101010001010100110110110100100101010000010, \]

\[ 1010101000101001001001000101010101010000010 \]

\[ 1000000000000000000000000000000010 \]

Here, \( \bar{x} \) denotes a weight of -x. The Hamming weight of the 4NAF representation is 12 compared with 20 in the 2NAF representation and 23 in the simple binary representation.

Scalar multiplication with an \( l \)-bit scalar in simple binary involves \( l-1 \) point doublings and \( l/2-1 \) point additions on average. The expected number of non-zeros in the wNAF representation of an \( l \)-digit scalar is roughly \( l/(w+1) \). With wNAF, \( w > 2 \), the points \( 3P, 5P, \ldots, (2^{w-1} - 1)P \) and their negatives are first computed. So, this pre-computation cost (ignoring unary negation) is that of \( lD + (2^{w-2} - 2 + \bar{w}/w+1)A \) where \( D \) and \( A \) are respectively the times to compute a point doubling and a point addition.

<table>
<thead>
<tr>
<th>wNAF(x)</th>
<th>NAF representation of an integer, ( x ) with window size = ( x )</th>
</tr>
</thead>
<tbody>
<tr>
<td>NFN+wNAF</td>
<td>Near Factorization on a wNAF represented scalar</td>
</tr>
<tr>
<td>P, Q</td>
<td>Points on an Elliptic Curve</td>
</tr>
<tr>
<td>k, l</td>
<td>( l ) is the length of scalar, ( k )</td>
</tr>
<tr>
<td>q, r, d</td>
<td>Quotient and remainder obtained by dividing ( k ) by divisor, ( d )</td>
</tr>
<tr>
<td>( q', q'' )</td>
<td>Shifted quotients</td>
</tr>
<tr>
<td>(</td>
<td>x</td>
</tr>
<tr>
<td>( x</td>
<td>y )</td>
</tr>
<tr>
<td>( H(x) )</td>
<td>Hamming weight of string ( x ) (# of non-zeros in ( x ))</td>
</tr>
</tbody>
</table>

| \( p\left(\frac{n+w}{t+1}\right) \) | Probability that a wNAF string of length \( n+w \) has Hamming weight \( t+1 \) |
| \( m\left(\frac{n+w}{t+1}\right) \) | # of wNAF strings of length \( n+w \) with Hamming weight \( t+1 \) |
| \( M(n+w) \) | Total # of wNAF strings of length \( n+w \) |
| \( P'(n,t) \) | Prob \( (H(q|r) > t \) across all iterations) |
| \( P_s(n,t) \) | Prob \( (H(q|r) = t \) given that \( s \) digits of \( q|r \) are random) |
| \( Q(n,t) \) | Probability that \( H(q|r) = t \) |
| \( Q'(n,t) \) | Prob \( (H(q|r) > t \) across all iterations) |
| \( c \) | Total # of possible values of \( d \) |
| \( c_s \) | Total # of values of \( d \) with \( s \) random digits |

Table 1: Acronyms and Notation.
4 NEAR-FACTORIZATION

4.1 Description of NF+Wnaf

With Near Factorization, the scalar, \( k \), is represented as \( d \times q + r \) (\( r \) and \( q \) are the remainder and quotient obtained from dividing \( k \) by the divisor, \( d \)). \([k]P\) is computed as follows:

- **Step 1:** Compute \( Q = [q]P \)
- **Step 2:** Compute \( [k]P = [d]Q + [r]P \)

Instead of computing \([d]Q\) and \([r]P\) separately, their computations are interleaved using Shamir’s Ladder as below.

\[
\text{Input: Scalars } d, r \text{ and } \text{elliptic curve points } Q, P \\
\text{Output: } R = [d]Q + [r]P
\]

Let \( d_{|d|−1} \cdots d_0 \) and \( r_{|r|−1} \cdots r_0 \) denote the NAF representations of \( d \) and \( r \).

\[
R = O \\
\text{for } i = 0 \text{ to } |d|−1 \\
R \leftarrow [2]R \\
R \leftarrow R + [d_{|d|−1−i}] Q + [r_{|r|−1−i}] P
\]

The cost of Step 1 is \(|q|−1\) point doublings and \(H(q)−1\) point additions while that of Step 2 is \(|d|−1\) point doublings and \(H(d) + H(r)−1\) point additions. The combined cost is

\[
(|d| + |q|−2) \times 0 + (H(d) + H(r) + H(q)−2) \times A
\]

(1)

For 160-bit \( k \) and an 80-bit divisor, an exhaustive search of divisor space to minimize Equation 1 is infeasible. Instead, we find a local minimum of the computation cost (Equation 1) by iterating over all possible values of \( d \) with a positive digit in the most significant position and low Hamming weight (3 or less, for example). We refer to this step as Step 0.

**Example 2:** Near Factorization on the scalar \( k = 79640470672734 \) (in Example 1) with 2NAF representations yields

\[
\begin{align*}
d &= 1010000000000000000000001000 \\
q &= 100100010010001000100000010 \\
r &= 10000000000001010101010010010
\end{align*}
\]

The Hamming weights of \( d \), \( q \) and \( r \) are respectively 3, 7 and 6 resulting in 14 point additions. The corresponding number of additions using NAF sans Near Factorization is 19. Thus, NF+2NAF involves 26% fewer point additions. The number of point doublings are 50 and 49 with 2NAF and NF+2NAF respectively.

With NF+4NAF \( d \), \( q \) and \( r \) are

\[
\begin{align*}
d &= 7000000000000000000000000700000 \\
q &= 3000007000300000000000030 \\
r &= 30000050000000000010
\end{align*}
\]

The number of point additions with NF+4NAF is 8 versus 11 with 4NAF.

We next model the combined Hamming weight of quotient and remainder obtained through Near Factorization.

4.2 Modeling Cost of NF

The first step in our model is to compute \( P(n + w, t+1) \) the probability that an arbitrarily selected and valid \( w \)-NAF string of \( n + w \) digits has Hamming weight \( t + 1 \). A valid \( w \)-NAF string satisfies the following:

(i) The digits in the string are drawn from the set

\[
S = \{- (2^{w−1}−1), - (2^{w−1}−3), \ldots, -1, 0, 1, \ldots, 2^{w−1}−3, 2^{w−1}−1\}
\]

(ii) Any two non-zeros in the string are separated by at least \( w−1 \) zeros.

(iii) The string begins with a positive integer from the above set \( S \).

Let \( M(n+w) \) denote the number of \( w \)-NAF strings of length \( n + w \) starting with a 1. Since it is equally likely that a \( w \)-NAF string begins with a 1 or 3 or \( \ldots, (2^{w−2}−1) \), the total population of \( w \)-NAF strings of length \( n + w \) is \( 2^{w−2} \times M(n+w) \). Also, let \( m(n+w, t+1) \) denote the number of \( w \)-NAF strings of length \( n + w \) and Hamming weight \( t + 1 \).

So,

\[
P(n + w, t + 1) = \frac{m(n+w, t+1)}{2^{w−2} \times M(n+w)}
\]

(2)

To compute \( m(n+w,t+1) \), we note that the leftmost non-zero in each \( w \)-NAF string accounted for in the numerator can take \( 2^{w−2} \) possible values while each of the remaining \( t \) non-zeros may take
one of $2^{w-1}$ possible values. Further, each non-zero digit, except for the rightmost, must be followed by at least $w-1$ zeros. The number of ways the remaining $n + w - (t + 1) - (w - 1)t$ zeros may be placed in $t + 1$ possible bins (corresponding to the $t+1$ bins of zeros to the right of each non-zero digit) is 

\[
\binom{n - (w - 1)t + w - 1}{t}
\]

(This problem is analogous to counting the number of non-negative integral solutions to the equation $a_1 + a_2 + \ldots + a_r = N$ which is 

\[
\binom{N + r - 1}{r - 1}
\]

). Here, $N = n + w - (t + 1) - (w - 1)t$ and $r = t + 1$.

So,

\[
m(n + w, t + 1) = 2^{(w-1)} \times \frac{n - (w - 1)t + w - 1}{t}
\]

Substituting into Eq.

\[
P(n + w, t + 1) = 2^{(w-1)} \times \frac{n - (w - 1)t + w - 1}{M(n + w)}
\]

To obtain a closed-form expression for $M(n + w)$, we note that the first non-zero after the leftmost digit of a wNAF string may take one of $2^{w-1}$ possible values and be separated from the leftmost digit by at least $w-1$ zeros. So, $M(n + w)$ can be expressed recursively as

\[
M(n + w) = 2^{w-1}M(n) + 2^{w-1}M(n - 1) + 2^{w-1}M(n - 2) + \ldots + 2^{w-1}M(1)
\]

Expressing as a $w^{th}$ order recurrence,

\[
M(n + w) = M(n + w - 1) + 2^{w-1}M(n)
\]

The characteristic equation of this recurrence is

\[
r^w - r^{w-1} - 2^{w-1} = 0
\]

Let $r_1, r_2, \ldots, r_r$ be the roots of the above equation (these are unique at least up to $w=6$). So,

\[
M(n) = c_1r_1^n + c_2r_2^n + \ldots + c_r r_r^n
\]

From the initial conditions, $M(1) = 1, M(2) = 1, \ldots, M(w) = 1$, the coefficients, $c_i$, and hence $M(n + w)$ may be computed and used in Equation 4.

Let $c$ be the number of different divisors over which the Near Factorization Algorithm iterates. While the values of the quotients and remainders are not unique across iterations, the concatenated quotient and remainder, $q|r$, is. As explained below, the values of $q|r$ are not necessarily independent across iterations.

Figure 1 illustrates the relationship between the dividend, quotient and remainder (the dividend is the original scalar, $k$). Three shifted quotients are shown - the extent of their shifts is dictated by the positions of the three non-zeros in the divisor, $d$, as depicted in the figure. The sum of the shifted quotients (denoted $q, q'$ and $q''$) and remainder equals the dividend. The Near Factorization Algorithm iterates over all possible positions of the non-zeros in the divisor. During each iteration, $q'$ and $q''$ are positioned differently. This, in turn, affects different bits of the quotient and remainder and in seemingly random ways.

Figure 1: Illustrating random and fixed digits in $q|r$.

Imagine projecting the positions of $q'$ and $q''$ on to the quotient and remainder as shown in Figure 1. To a first order approximation, the remaining bits in the quotient and remainder “inherit” directly from the given scalar or dividend. Because the latter is a given, these bits do not contribute to a possible decrease in Hamming weight of quotient and remainder. On the other hand, the bits of the remainder and quotient which lie “directly under” $q'$ and $q''$ are random and so potentially contribute to a decrease in the Hamming weights of the quotient and/or remainder across iterations.

Let $n$ denote the maximum length of $q|r$ across iterations. Of these, let $s$ denote the total number of bits that appear directly under $q’$ or $q''$. These $s$ bits are random and the remaining $n-s$ are ‘fixed’. From the property of the wNAF representation, the
Hamming weight of the fixed bits is \((n - s)/(w + 1)\) on average. Let \(P_s(n, t)\) denote the conditional probability that the \(n\)-bit quotient + remainder in an iteration of Near Factorization has Hamming weight \(t\) given that \(s\) bits of the quotient + remainder are random.

So,

\[
P_s(n, t) = \begin{cases} 
\frac{c_s}{c} P_s(n, t) & \text{if } t > \frac{n - 2}{w + 1} \\
0 & \text{otherwise}
\end{cases}
\]  

(8)

Let \(Q(n, t)\) be the probability that an \(n\)-bit quotient + remainder has Hamming Weight \(t\). Of the \(c\) different values of divisor, \(d\), let \(c_s\) be the number of values for which the quotient + remainder has \(s\) random bits. So,

\[
Q(n, t) = \sum_{s=0}^{n} \frac{c_s}{c} P_s(n, t)
\]

(9)

There are three possibilities for \(s\). If the Hamming weight of the divisor is 2, then \(s = |d|\). If the Hamming weight of the divisor is 3, there are two possibilities – either the positions of \(q\)’ and \(q\)’ overlap or they don’t. In the former case, \(s = 2|q| - i\) where \(i\) is the number of positions in which \(q\)’ and \(q\)’ overlap. In the latter (non-overlapping) case, \(s = 2|q|\). Enumerating the number of ways each case may occur, we get

\[
c_{|d|} = 2^{3w-3} \times (|d| - w)
\]

(10)

\[
c_{2|q|-i} = 2^{3w-4} \times (|d| - w - (|q| - i))
\]

\(1 \leq i \leq |q| - w\)

(11)

\[
c_{2|q|} = 2^{3w-4} \sum_{i=|q|}^{(|d|-w)} (|d| - w - i)
\]

if \(|q| < |d| - w\)

= 0 otherwise

(12)

Let \(Q'(n, t)\) denote the probability that the sum of the Hamming weights of the quotient and remainder across all iterations is greater than \(t\). So,

\[
Q'(n, t) = (Q(n, t + 1) + Q(n, t + 2) + \cdots + Q(n, n))'
\]

(13)

The probability that this sum is precisely \(t\) is \(Q'(n, t - 1) - Q'(n, t)\). Hence, the expectation of the minimum Hamming weight is

\[
\sum_{t=0}^{n} t \left( Q(n, t - 1) - Q(n, t) \right)
\]

(14)

To test the accuracy of the above model, we performed Near Factorization on 5000 randomly generated 200-bit scalars. For each integer, we experimented with divisor size, \(|d|\) ranging from 20 to 180 bits in steps of 20 bits and also for \(w = 2, 3, 4\) and 5. Figure 2 shows the Hamming weight of \(q|r\) using Near Factorization averaged over the 5000 scalars. The figure juxtaposes the experimental and model results. There is a close match between model and experimental results for values of \(|d|\) up to around 140. An important observation is that the graphs obtained decrease monotonically up to around 60 bits followed by a trough between \(|d| = 60\) and 120 followed by a sharp increase beyond \(|d| = 140\).

Figure 2: Hamming Weight of \(q|r\) versus \(|d|\) - Model and Exp. Results (|\(k|\) = 200).

5 RESULTS

5.1 NF+wNAF versus wNAF – Hamming Weight Comparison

To illustrate the advantage of Near Factorization, we generated 200 random 200-bit scalars and computed the Hamming weight of (a) \(q|r\) for each scalar using its NF+wNAF representation with \(|d| = 100\) (b) its simple binary representation.

Figure 3 shows these values for \(w = 2\). For ease of viewing, we arranged the scalars in increasing order of the Hamming weights in their binary representations and, within this ordering, in increasing order of the Hamming weights in their NAF representations. The average Hamming
weights for NF+wNAF and wNAF are respectively 53.3 and 67.

Figure 3: Hamming Weight – Binary versus NAF versus NF+wNAF (|k| = 200, w = 2).

Figure 4: Hamming weight of Quotient + Remainder vs |k| for NAF and NF+wNAF.

Figure 4 shows how the average Hamming weight scales up with scalar size, |k|, in wNAF and NF+wNAF for different window sizes. In each case, the average Hamming weight and the decrease in Hamming weight of NF+wNAF over wNAF appears to be linear in the size of the scalar. It is well established in the literature that the slope with wNAF is 1/(w+1). With NF+wNAF, the slopes are .29 for w=2 (versus .33 for NAF), .22 for w=3 (versus .25 for NAF), .18 for w=4 (versus .2 for NAF) and .15 for w=5 (versus .17 for NAF).

5.2 NF+wNAF versus NAF - Comparison of Total Cost

In addition to the Hamming weight, the other major contributors to cost are the point doublings and precomputation (for w > 2). Table 2 highlights these for |k| = 200, |d| = 100. For both wNAF and NF+wNAF, the number of point doublings is |k| - 1, independent of window size. For w > 2, the precomputation cost (in Step 1) is an extra doubling plus 2w-2 – 1 point additions (shown to the right of the plus sign under the “Avg # Additions” columns of Table 2). These precomputations can be reused in computing [r]P in Step 2. The need for precomputations to obtain [d]Q is obviated by a deliberate choice of w = 2 in the representation of d.

<table>
<thead>
<tr>
<th>WS</th>
<th>NAF</th>
<th>NF+wNAF</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Avg. # Additions</td>
<td>Total Cost</td>
</tr>
<tr>
<td>2</td>
<td>54.4 + 0</td>
<td>199D</td>
</tr>
<tr>
<td>3</td>
<td>42.1 + 1</td>
<td>200D</td>
</tr>
<tr>
<td>4</td>
<td>34.5 + 3</td>
<td>200D</td>
</tr>
<tr>
<td>5</td>
<td>29.4 + 7</td>
<td>200D</td>
</tr>
<tr>
<td>6</td>
<td>25.6 + 15</td>
<td>200D</td>
</tr>
</tbody>
</table>

From Table 2, it is clear that NF+wNAF outperforms wNAF across all window sizes. In both cases, the total number of point additions decreases monotonically from w=2 until w=5 and then increases because precomputation cost grows exponentially with w.

The work in this paper is particularly relevant to Koblitz curves. These are elliptic curves defined over $\mathbb{F}_2$ but the co-ordinates of points on the curve are elements of the binary field, $\mathbb{F}(2^m)$. Koblitz curves are attractive in cryptography since the point doubling operations can be substituted by the inexpensive $\tau$-adic operations. The $\tau$-adic operation on a point, $P \equiv (x, y)$ returns the point with coordinates $(x^2, y^2)$, i.e., $\tau(x, y) = (x^2, y^2)$ and $\tau(\infty) = \infty$.

It is possible to represent each element in $\mathbb{F}(2^m)$ as a linear combination of elements in a normal basis $[28]$, $\beta, \beta^2, \ldots, \beta^{2^{m-1}}$. Each field element is represented as an m-bit vector and...
squaring is simply a left shift. Thus the $\tau$-adic operation has insignificant computation cost vis-à-vis point addition. The ratio of elliptic curve point addition to field squaring is likely to vary between one and two orders of magnitude depending on optimizations employed in the operations, underlying platform (processor and operating system) and compiler used. Above all, it will depend on whether scalar multiplication is implemented in hardware or in software. Analogous to the wNAF representation, we use a $\tau$-adic NAF or TNAF representation for Koblitz curves. The properties of TNAF are similar to the NAF representation with average Hamming Weight $\approx \log_2 n$.

Our final experiment was to vary all three parameters—window size, divisor length and Hamming weight of divisor. For $w = 200$, we experimented with $|d|$ ranging from 10% to 50% of $|k|$ in steps of 10%. As before, we fixed $w = 2$ for the divisor but varied $w$ between 2 and 5 for the quotient + remainder. We also experimented with different Hamming weights for the divisor between 3 and 5. Table 3 lists the total number of additions including pre-computations for the most attractive combination of the above parameters.

From Table 3, the fewest number of point additions with a 200-bit scalar occurs for $w = 5$, $|d| = 100$ and maximum Hamming weight of divisor = 4. This represents an improvement of about 10% for NF+wNAF over wNAF – 35.6 versus 39.3 additions (see Table 2, $w = 5$). The price to be paid for this improvement is the large search space of divisors to be processed in Step 0—the numbers in parentheses within each cell of Table 3 specify the number of divisions to be performed which, in this case, exceeds 1 million. On the other hand, decreasing the length of divisor greatly reduces the cost of Step 0 at the expense of a modest increase in the average cost of the additions vis-a-vis $|d| = 0.5|k|$ (the optimal choice from Figure 2). For example, with Hamming weight = 3 and $w = 5$, the improvements of NF+wNAF over wNAF are 6.6% and 5.3% respectively for $|d| = 0.4|k|$ and $|d| = 0.2|k|$. The corresponding number of divisions in Step 0 shows a drastic fall to 2740 and 580 respectively.

Even restricting the Hamming weight of divisor to 3, the overhead of Step 0 is substantial – $O(T^2)$, where $l$ is the length of the scalar. Clearly, this approach is only appropriate where the point P is unknown but where the scalar, $k$ is known or may be chosen beforehand as in Diffie-Hellman Key Exchange (Diffie, 1976) where both parties derive their common secret by performing a scalar multiplication.

### Table 3: Total Cost of Additions, $|k|=200$ DL = Divisor Length.

<table>
<thead>
<tr>
<th>DL</th>
<th>HW = 3</th>
<th>HW = 4</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>w = 4</td>
<td>w = 5</td>
</tr>
<tr>
<td>20</td>
<td>38.6 (580)</td>
<td>37.2 (580)</td>
</tr>
<tr>
<td>40</td>
<td>37.9 (2740)</td>
<td>36.7 (2740)</td>
</tr>
<tr>
<td>70</td>
<td>37.6 (8980)</td>
<td>36.4 (8980)</td>
</tr>
<tr>
<td>100</td>
<td>37.5 (18820)</td>
<td>36.4 (18820)</td>
</tr>
</tbody>
</table>

In response to receiving a partial key, $P_A$ from $A$, $B$ computes $[s_B]P_A$. Here, the scalar $s_B$, is a random number chosen by $B$. But there is no reason why $s_B$ could not have been generated by $B$ well before session establishment. So long as $s_B$ is random, is not re-used and is stored safely in “near-factorized form”, there is no drawback from the perspective of security. Besides D-H key exchange, the “unknown point, known scalar” situation occurs in various encryption schemes.

Recently, (Taverne, 2011) have implemented scalar multiplication in software while leveraging the carry-less multiplier on newer Intel processors for binary field multiplications. This results in a dramatic improvement in performance to the extent that the best implementation of scalar multiplication on binary fields is about 17% faster than the best implementation over prime fields. Moreover, scalar multiplication with NIST Koblitz curves K-233 and K-409 is about twice as fast as that over the corresponding NIST random curves B-233 and B-409. Near Factorization further improves on the best albeit by a modest amount.

### 6 SUMMARY AND CONCLUSIONS

This paper has explored an approach called Near Factorization (a variation of the factor-based method (Knuth, 1998)) to optimize scalar multiplication in elliptic curves. The scalar $k$, is divided by all possible divisors of very low Hamming weight. The divisor $d'$, quotient, $q'$ and remainder, $r'$ which results in the lowest combined Hamming weight is selected to obtain the “near factorized” form of $k$. In response to receiving a partial key, $P_A$ from $A$, $B$ computes $[s_B]P_A$. Here, the scalar $s_B$, is a random number chosen by $B$. But there is no reason why $s_B$ could not have been generated by $B$ well before session establishment. So long as $s_B$ is random, is not re-used and is stored safely in “near-factorized form”, there is no drawback from the perspective of security. Besides D-H key exchange, the “unknown point, known scalar” situation occurs in various encryption schemes. Recently, (Taverne, 2011) have implemented scalar multiplication in software while leveraging the carry-less multiplier on newer Intel processors for binary field multiplications. This results in a dramatic improvement in performance to the extent that the best implementation of scalar multiplication on binary fields is about 17% faster than the best implementation over prime fields. Moreover, scalar multiplication with NIST Koblitz curves K-233 and K-409 is about twice as fast as that over the corresponding NIST random curves B-233 and B-409. Near Factorization further improves on the best albeit by a modest amount.

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viz. $k = d \times q + r$. This form is employed in two simple steps of NF-based scalar multiplication. NF is used in conjunction with wNAF to further improve performance. We constructed a model to estimate the Hamming weight of $qfr$. Results of this model closely match actual experimental results across different scalar sizes, divisor lengths and window sizes.

NF+wNAF reduces the number of point additions over wNAF with no increase in point doublings. In Koblitz curves, point doublings are replaced by the inexpensive $r$-adic operation. Hence, the decrease in Hamming weight amplifies the percentage improvement in overall computation time of NF+wNAF over wNAF. For scalar length=200, for example, NF+wNAF does 5-10% better than wNAF — the actual improvement being a function of the acceptable amount of Step 0 computation.

Other avenues for further exploration include the use of Near Factorization twice to further reduce cost. Another is a more efficient and effective search of the space of divisors through intelligent pruning to speed up Step 0.

REFERENCES


