Automated Generation of Activity and Sequence Diagrams from Natural Language Requirements

Richa Sharma¹, Sarita Gulia² and K. K. Biswas³

¹School of Information Technology, IIT Delhi, New Delhi, India
²Department of Computer Science, Dronacharya College of Engineering, Gurgaon, India
³Department of Computer Science and Engineering, IIT Delhi, New Delhi, India

Keywords: UML Models, Activity Diagram, Sequence Diagram, Natural Language Processing, Frames.

Abstract: Requirements analysis process involves developing abstract models for the envisioned or the proposed software system. These models are used to help refine and enrich the requirements for the system. Unified Modelling Language (UML) has become the standard for modelling software requirements. However, software requirements are captured in the form of Natural Language and, generating UML models from natural language requirements relies heavily on individual expertise. In this paper, we present an approach towards automated generation of behavioural UML models, namely activity diagrams and sequence diagrams. Our approach is based on transforming the requirements statements to intermediary structured representations - frames and then, translate them to the behavioural UML models. We are using Grammatical Knowledge Patterns and lexical and syntactic analysis of requirements statements to populate frames for the corresponding statements. Knowledge stored in frames is then used to automatically generate activity and sequence diagram. We present our approach through the case-studies performed.

1 INTRODUCTION

Requirements Engineering (RE) is the most crucial phase in the entire software development lifecycle. The RE process involves eliciting, analyzing, documenting and validating the requirements. Models are designed and used during the RE process to help derive and analyze the requirements for a system (Sommerville, 2011). Requirements models assist in bridging communications gaps between the expectations of clients and the comprehension of requirements by the analysts. During RE phase, models of the existing system help clarifying the analysts what the existing system does; and, models of new system help analysts as well as the stakeholders comprehend and visualize the requirements for the proposed system (Sommerville, 2011). The requirements gathered during requirements elicitation are generally captured in the form of Natural Language (NL) in industry. However, generating models from NL representation of requirements is both effort-intensive and time-consuming task as there is no automated support for generating models directly from NL requirements. Due to lack of automated support, developing models manually remains more of a subjective concern depending on individual’s experience and expertise. Therefore, the need for an automated tool support for generating models from NL requirements.

A lot of research effort has been directed to identifying suitable models for representing requirements and also to automate the process of generating those models from NL representation of requirements. Data Flow Diagrams and structured charts are the models generated as a result of structured analysis (Svoboda, 1997). Object-oriented analysis involves drawing UML diagrams that depict static as well as dynamic behaviour of the proposed system (Booch, 1994). Conceptual Graphs have been used for representing multiple views of software requirements (Delugach, 1996). Of these approaches, UML has become standard modelling language for object-oriented modelling in industry. Several semi-automated and automated approaches have been proposed to automate the generation of UML diagrams from NL requirements as discussed in detail in section 2. UML supports various diagram types with the objective of representing the proposed system details from different perspectives. However,
not all the UML diagrams are frequently used; and, a survey by Erickson and Siau (Erickson and Siau, 2007) reported that users most often work with five UML diagram types, namely: class diagrams, use-case diagrams, state diagrams, activity diagrams and sequence diagrams. Literature review in context of automatic generation of UML diagrams from NL requirements indicates that activity diagrams and sequence diagrams have not been researched extensively except for few instances like (Li, 1999), (Yue et al., 2010). Motivated by the need for automated generation of models from NL requirements and Erickson and Siau’s survey as well as literature survey, we focused our work towards automated generation of activity diagrams and sequence diagrams. The work done in (Li, 1999), (Yue et al., 2010) expects structured input in the form of textual use-cases for generating respective diagrams. However, our approach does not impose any structural constraints on the input requirements for automated generation of activity diagrams and sequence diagrams. We process the input requirements to structure them in the form of frames (Minsky, 1988) using Grammatical Knowledge Patterns (Bowker, 2003) and lexical and syntactic analysis of the requirements statements. The structured representation of requirements helps in better understanding the semantics of the requirements; identifying the actors or agents of the action; the sequence of actions and interactions between actions and agents; and, can process complex statements too.

The paper is organized as follows: Section 2 gives an overview of behavioural UML models, Knowledge Patterns and Frames along with the related work done. Section 3 presents our approach followed by the case study presented in section 4. In section 5, we present discussion and conclusion.

2 BACKGROUND

2.1 UML Models

As UML guide (Unified Modeling Language Specification, 2003) states the importance of modelling - developing a model for an industrial-strength software system prior to its construction or renovation is as essential as having a blueprint for large building, good models are essential for communication among project teams, clients and stakeholders. UML fuses the concepts of Object Modelling Technology (OMT) and Object-oriented Analysis and Design (OOAD). UML is a visual modelling language, useful for visualizing, specifying, constructing and documenting the artefacts of software-intensive system (OMG, 2003). UML defines three broad categories of diagrams, namely (a) static diagrams like class and object diagrams; (b) behaviour diagrams like use-case diagrams, activity diagrams, sequence diagrams and state-chart diagrams; (c) implementation diagrams like component diagrams and deployment diagrams. These diagrams provide multiple perspectives of the envisioned system. Being focused on activity and sequence diagrams in this paper, we will discuss these diagrams in detail below.

2.1.1 Activity Diagram

Activity diagrams show the procedural flow of control while processing an activity. Activity diagrams are best used to model higher-level business processes at the business unit level, or to model low-level process flow. These are useful for visualizing parts of small scenarios in case the use-cases are quite large and complex. Such visual representation in the form of activity diagrams is able to capture work flows embedded in use-case descriptions. Thus, activity diagrams provide a more detailed and comprehensible representation of a use-case scenario. An activity in the activity diagrams is modelled as rectangle. The diagram starts with a solid circle connected to the initial activity. Activities are connected to other activities through transition line modelled using arrows. Any decision-making condition is modelled using a diamond box.

2.1.2 Sequence Diagram

Sequence Diagrams are also meant to show a detailed flow for a specific use-case or, a part of it. Sequence diagram is an interaction diagram that shows the calls or message flow between different agents or objects in a sequential manner.

A sequence diagram has two dimensions to it: the vertical dimension shows the sequence of calls or messages in the time-order that they occur; and, the horizontal dimension shows the object or agent instances to which the messages are sent.

Both of the above-discussed diagrams are important from the point of view of gaining clear and precise understanding of a large and complex use-case that involves interactions between various objects/agents. The challenge in processing use-case descriptions is that it is captured in the form of NL. The challenge remains same even if the details of use-case scenario are captured in the form of free-flowing text instead of structured use-case. NL itself
is ambiguous and, can be interpreted differently by the analysts and the development team. It is also possible that domain experts expressing the scenarios as regular text or textual use-case may miss some information which they tend to feel implicit. However, this implicit knowledge may not be with the analysts and developers. A visual representation of the scenario may be helpful in extracting more information and understanding the requirements better.

2.2 Knowledge Patterns and Frames

Processing NL text requires lexical and syntactic analysis of NL statements. Patterns – grammatical-knowledge or domain-specific prove helpful in improving the quality of analysis. Knowledge patterns, in general, can be defined as words, word combinations, or paralinguistic features which frequently indicate conceptual relations (Marshman et al., 2002). They have suggested three types of patterns: Lexical Patterns for indicating a relation; Grammatical Patterns which are combinations of part-of-speech; and, Paralinguistic Patterns, which include punctuation, parenthesis, text structure etc. Grammatical Knowledge Patterns (GKP) have been studied extensively in English linguistics (Hunston and Francis, 2000) with the objective of understanding semantics of statements and extracting useful information. We have used the GKP to categorize the statements as simple and complex and then, to extract concepts from them.

The analysed information, obtained after applying syntactic analysis and the patterns, needs to be stored in a suitable form that can be referenced and reused. Since meta-information of the syntactic unit is required for referencing and reuse, we found frames as an appropriate choice for representing the sentential details. Frames are slot-filler structures used for storing and representing knowledge, where slots represent key aspects and filler act as placeholders for corresponding key-values (Minsky, 1988). Frames can be used to represent knowledge as structured objects. Frames divide knowledge into sub-structures, which can be connected together as required, to form the complete idea. (Fikes and Kehler, 1985) have suggested that frames are a concise way of representing knowledge in an Object Oriented manner and, are an efficient means for reasoning.

2.3 Related Work

Analysts and industry practitioners use NL as the preferred mode of representing and sharing the requirements as reported in several surveys like (Luisa et al., 2004). The importance of identifying the concepts, relations in the documents and visualizing them in the form of models has been emphasized by various researchers in literature. The motivation for generating visual models automatically for NL requirements stems from the fact that models enhance the clarity and understanding of the represented scenario.

Use Case Driven Development Assistant (UCDA) tool helps in developing class diagrams, use-case models and also in visualizing these models using Rational Rose tool (Subramaniam et al., 2004). The tool makes use of syntactic analysis of requirements statements to develop use-case diagrams. Linguistic Assistant for Domain Analysis (LIDA) tool (Overmeyer et al., 2001) helps analysts identify type elements in the object-oriented model like class, attribute, role etc. LIDA supports hypertext descriptions of model to help validate a model. However, LIDA requires user-interaction to mark a word or phrase as candidate model element. (Vinay et al., 2009), (Ibrahim and Ahmad, 2010), (More and Phalnikar, 2012) and (Joshi and Dehspande, 2012) follow similar approaches of natural language processing to identify concepts in the requirements; the relationships between the concepts and then, generate class diagrams. (Herchi and Abdessalem, 2012) have suggested rules for identifying concepts and then, generating class diagrams from NL requirements. Ormandjieva and Ilieva have suggested extracting graphical hybrid model from textual requirements (Ormandjieva and Ilieva, 2006). Static UML Model Generator from Analysis of Requirements (SUGAR) (Deeptimahanti and Sanyal, 2008) follows object-oriented analysis for object elicitation from NL requirements to generate static UML class model and use-case models. The authors suggest syntactic reconstruction rules for requirements statements and identify actors as noun phrases and use-case as event flows in the system.

UML Model Generator from analysis of Requirements (UMGAR) (Deeptimahanti and Sanyal, 2011) provides semi-automated support based on morphological and syntactic analysis of requirements statements for generating use-case models, class model and collaboration diagram depicting relationship between actors and the objects. Li has proposed a semi-automated approach to translate textual use-cases to sequence diagrams (Li, 1999). However, his approach requires analysts to first re-write complex statements as simple statements. Then, sender, receivers and actions are
identified from re-phrased requirements statements to generate sequence of actions. Yue, Brand and Labiche present an automated approach for generating sequence and activity diagrams from NL requirements expressed as use-cases, following some restriction rules; such a form of use-cases is referred to as Restricted Use Case Models (RUCM) (Yue et al., 2010). The authors have developed tool, aToucan, to transform use-cases in RUCM to sequence and activity diagrams.

The earlier work done towards semi-automated or automated generation of UML models has made use of lexical and syntactic analysis of requirements without any intermediary representation. In our approach, we have made use of frames as intermediary representation of the requirements statements, the details of which are discussed in the section below. A scenario can be expressed in multiple ways; however, structured representation as frame can still capture the essence of the scenario—this is the major advantage of our approach.

3 OUR APPROACH

Our approach follows generating a structured representation of requirements statements and then, using that representation for generating activity diagrams and sequence diagrams automatically. The advantage of this approach is two-fold: first, we can process complex statements; and, secondly, structured knowledge can further be re-used for querying and reasoning. We first present a brief overview of our approach of GKP identification and frame population step; the details of the same have been discussed in (Bhatia et al., 2013). We will, then, discuss the activity and sequence diagram generation step through example scenario. Following sub-sections briefly summarize the relevant details:

3.1 Frame Population

Our approach towards GKP identification and frame population can be divided into two phases: Learning phase and Automation phase. We first learnt GKP present in the requirements statements by performing manual analysis of the requirements corpus. During manual study, we took a subset of 25 requirements documents and observed frequently occurring grammatical patterns. The manual study was based on the lexical and syntactic analysis output of requirements statements using the Stanford POS Tagger (Toutanova et al., 2003) and Stanford Parser (Marneffe et al., 2006) respectively. Our manual study encouraged us to identify six generic patterns that, in turn, help in categorizing requirements statements and storing the semantic information of the statement in the form of frames.

We have developed an automated approach for identifying these patterns in the requirements statements. The automated algorithm is based on first performing lexical and syntactic analysis of the requirements statements using Stanford Tagger and Parser. String-matching algorithm, then, matches the dependency tags of the statements to match the predefined tags of the frames and then, populate the corresponding value in the frame.

The sub-sections below present details of GKP patterns as well as the proposed frame structures:

3.1.1 GKP Identification

In this sub-stage, we discuss our approach to the GKP identification. We choose the following linguistic properties for the purpose:

- Structure of sentence: Active or Passive.
- Special Parts of speech (e.g.: Preposition, Markers, Conjunctions etc)
- Precondition Keywords (e.g.: after, before, if etc.)

Summary of the identified patterns is presented here:

- Active voice: A statement in active voice always follows the form:
  <subject> <main verb> <object>
  We use dependency tags in the parser output to extract the pattern stated above.

- Passive voice: A statement in passive voice follows the form:
  <form of TO BE> <verb in PAST PARTICIPLE>
  Any verb in passive statement is always tagged as “verb in past participle” form and, this verb is preceded by an auxiliary verb of the form of <to be>. The forms of <to be> can be {is, are, am, was, were, has been, have been, had been, will be, will have been, being}.

- Conjunction: We have observed that in context of requirements statements, coordinating conjunctions are usually present between two verbs, or two nouns. We have identified the following patterns for coordinating conjunction (eg. and, nor, but, or, yet, so etc) from our corpus of requirements documents as: <clause> <verb_1> <CONJUNCTION> <verb_2> <clause>
Preposition: A preposition links nouns, pronouns and phrases to other words or phrases. The word introduced by preposition (e.g. *copy of book*, “of” here introduces the object “book”) is called the preposition object. Though there are nearly 150 prepositions in English, but only a limited set of prepositions (e.g. *by, as, after, at, on, with, but and above*) is used in context of requirements documents as we found during manual study. The pattern observed is:

```
<clause> <noun/pronoun/phrase> <preposition> <preposition object> <clause>
```

Precondition: A precondition is mostly on the main action being performed in the requirement statement. Requirement statement with precondition can be partitioned into two clauses - the precondition clause and, the dependent clause. We noticed that such preconditions can be identified using following patterns:

```
<after/on/once/having> <precondition clause> <dependent clause>
```

```
<if> <precondition clause> <then> <dependent clause>
```

```
<having> <verb in past participle> <precondition clause> <dependent clause>
```

Marker: Markers are linking words or linking phrases that bind together a piece of writing. Marker patterns show that the marker keywords can connect any two clauses, dependent or independent. The marker keywords that we found in requirements documents are: “because”, “and”, “but”, “or”. The corresponding pattern is:

```
<clause> <marker_keyword> <clause>
```

### 3.1.2 Frame Structure

The requirements statements categorization is based on the GKP present as shown in figure 1. Every statement in the requirements specification documents belongs to either one or more than one leaf level categories depending on the GKP(s) that it has:

- Single category: Active or Passive voice
- Multiple categories: (Active or Passive) with one or more of (Conjunction, Preposition, Precondition and Marker)

For each of the leaf level category in figure 1, we have defined a frame structure, with frame keys that capture the semantics of the statement. Corresponding to these keys, we determine the parser dependency tags that can be used to automatically extract the values for the frame keys from the requirement statements.

```
Figure 1: Categorization of requirements statements.
```

Each requirement statement can be a simple statement or complex statement. Simple statements will be in either active voice or passive voice. Complex statements are characterized by the presence of simple statements along with one or more of these elements – conjunction, preposition, precondition or marker. We have designed separate frames for simple and complex statements. Frames for complex statements are simply union of frames for simple statements and the frames for elements present in complex statements. Following tables illustrate the frame keys and the corresponding dependency tags for a few elements.

<table>
<thead>
<tr>
<th>Table 1: Frame structure – Active Voice.</th>
</tr>
</thead>
<tbody>
<tr>
<td>FRAME KEY</td>
</tr>
<tr>
<td>Actor</td>
</tr>
<tr>
<td>Modifiers of actor</td>
</tr>
<tr>
<td>Action</td>
</tr>
<tr>
<td>Object</td>
</tr>
<tr>
<td>Object Modifier</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Table 2: Frame structure – Passive Voice.</th>
</tr>
</thead>
<tbody>
<tr>
<td>FRAME KEY</td>
</tr>
<tr>
<td>Actor</td>
</tr>
<tr>
<td>Modifiers of actor</td>
</tr>
<tr>
<td>Action</td>
</tr>
<tr>
<td>Object</td>
</tr>
<tr>
<td>Object Modifier</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Table 3: Frame structure – Conjunction between Verbs with Passive Voice.</th>
</tr>
</thead>
<tbody>
<tr>
<td>FRAME KEY</td>
</tr>
<tr>
<td>Conjunction</td>
</tr>
<tr>
<td>Terms in Conjunction</td>
</tr>
<tr>
<td>Actor for verb 1</td>
</tr>
<tr>
<td>Actor for verb 2</td>
</tr>
<tr>
<td>Object for verb 1</td>
</tr>
<tr>
<td>Object for verb 2</td>
</tr>
</tbody>
</table>
Table 4: Frame structure – Preposition.

<table>
<thead>
<tr>
<th>FRAME KEY</th>
<th>DEPENDENCY TAGS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preposition</td>
<td>PREP_prep</td>
</tr>
<tr>
<td>Preposition Object</td>
<td>POBJ, PREP_*</td>
</tr>
<tr>
<td>Modifiers</td>
<td>AMOD, ADVMOD.NUM</td>
</tr>
</tbody>
</table>

3.2 UML Behavioural Diagram Generation

In this phase, we make use of the information stored in frames for generating the activity and the sequence diagram for the given requirements scenario expressed as NL statements. Intermediate representation of the requirements statements in the form of frames allows us to handle complex requirements statements too. The diagram generation module is independent of processing the NL requirements statements. This module takes inputs from the frame elements and composes the phrases required for different diagrams. The relative independence of requirements statements processing module and diagram generation module makes our approach scalable to process larger scenarios too.

3.2.1 Activity Diagram Generation

Activity diagrams represent flow of activities. For a given input scenario, we form action phrase by extracting actions and objects along with modifiers, if present. If prepositional or conditional phrases are present, then we append these phrases too to the action phrase. Any subordinate clause modifying an actor or object is processed as an independent statement after being marked as subordinate clause and, appended accordingly.

3.2.2 Sequence Diagram Generation

Sequence diagrams represent message or call flow between objects that may be actor or agents of any action. For a given input scenario, we form message phrase by extracting actions and the objects along with modifiers, if present. Prepositional phrases are used to identify the interactions between two actors or agents/objects. The ‘Actor’ element of the frame corresponds to the actor or agent involved in sequential interaction.

4 CASE STUDY

We performed case-study on various scenarios from our requirements corpus. To illustrate our approach with elaborate details, let us consider requirements statements with varying scenarios presented below:

4.1 Activity Diagram

**No Decision Node:** Consider the following scenario of a student registering for placement process:

**Scenario 1:** User initiates ‘Apply’ for placement process. User enters student entry no. User selects the company for which he wants to apply. User selects the schedule no for the selected company.

Let us consider a complex statement in above scenario: User selects the company for which he wants to apply. Truncated output of the Stanford Dependency Parser for the above statement:

```
{nsubj(selects-2, User-1)
  root(ROOT-0, selects-2)
  dobj(selects-2, company-4)
  rel(wants-8, which-6)
  nsubj(wants-8, he-7)
  xsubj(apply-10, he-7)
  rcmod(company-4, wants-8)
  xcomp(wants-8, apply-10)
```

Output of Stanford POS tagger:

```
User/NN, selects/VBZ, the/DT, company/NN, for/IN, which/WDT, he/PRP, wants/VB, to/TO, apply/VB.
```

In this statement, the tagger output indicates the presence of active voice pattern: <selects/VBZ> and, preposition or subordinate clause: <company/NN, for/IN, which/WDT>.

Consequently, this statement is categorized as complex statement and, the corresponding frame is shown in table 5. We use this frame information to generate activity diagram. The generated diagram for this scenario is shown in figure 2 below:

Table 5: Frame structure – Statement from scenario 1.

<table>
<thead>
<tr>
<th>FRAME KEY</th>
<th>VALUES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actor</td>
<td>User</td>
</tr>
<tr>
<td>Action</td>
<td>Selects</td>
</tr>
<tr>
<td>Object</td>
<td>Company</td>
</tr>
</tbody>
</table>

Preposition

<table>
<thead>
<tr>
<th>Preposition</th>
<th>For</th>
</tr>
</thead>
</table>

Preposition Object

| Company |

Modifier

| Which |

Subordinate clause

<table>
<thead>
<tr>
<th>Actor</th>
<th>He</th>
</tr>
</thead>
<tbody>
<tr>
<td>Action</td>
<td>Wants</td>
</tr>
</tbody>
</table>

Relative Clause Modifier

| Apply |
**Decision Node Present:**

**Scenario 2:** First we request material using a purchase request form. If purchasing department has current suppliers then the Purchasing department identifies our current supplier for the kind of material requested, else it requests bids from potential suppliers and evaluates their bids to determine the best value. Purchasing department then orders the requested material.

Following similar approach as described for scenario 1, activity diagram for the scenario 2 is generated as shown in figure 3 above.

**4.2 Sequence Diagram**

Sequence Diagrams are also generated using similar approach as we have used for generating activity diagrams. In order to generate sequence diagrams, we first consider the actors or agents who are responsible for carrying out an action; these are identified by the ‘actor’ element in the frame. The approach to identify action phrases is similar as that for activity diagram generation. We present below two possible different scenarios - scenario 3 considers the case when one user is responsible for sequence of action initiations; scenario 4 depicts the case of sequence of interactions between actors and agents in a sequence:
**Scenario 3:** Consider the following scenario of a student registering for placement process: *User needed money for fees. User went to the ATM. User entered password into the machine. User put the money in her pocket.*

**Scenario 4:** Consider the following ATM scenario: *The Person walks over to the ATM. ATM asks password from the user. The user enter password into the machine.*

Sequence Diagrams for scenarios 3 and 4 are presented in the figures 4 and 5 respectively below:

### 4.3 Limitations

One of the limitations of our work is that we are assuming that scenarios for which we want to generate UML behavioural diagrams are stated without any redundant information. However, redundancy and ambiguity are, often, present in requirements documents and their presence can be a possible threat to our approach. It is also possible that sequence of actions is incorrect the stated requirements scenario. In order to mitigate this limitation, we have added an option to change the sequence of actions displayed after automated processing of requirements statements. The user can modify the sequence or, the action statement itself and confirm his submission so that his changes get stored to the corresponding frame structure. The diagrams are then generated in accordance to modifications suggested by the user. However, this manual intervention is optional and, is required only if there are problems with the scenarios expressed in the requirements documents.

### 5 DISCUSSION AND CONCLUSION

The paper proposes an approach to automatically generate activity and sequence diagrams from NL requirements specifications. Our approach makes use of intermediate structured representation of requirements; and does not require any rewriting if the statements, nor does it put any constraint on the input format. These are some possible reasons that existing approaches to automated generation of UML diagrams have not proved very successful in the industry. We have proposed a solution that stores the textual representation of requirements in an intermediate form that can accept changes (optional) from the user too. However, the accuracy of our approach is limited by the correctness of the results provided by the Tagger and the Parser. Nevertheless, the results using Stanford tagger and parser are quite satisfactory. We believe that our approach will substantially improve software requirements analysis and consequently, will lead to improved software development. We are further working on trying complex scenarios as well as on automated generation of other UML diagrams.
REFERENCES


Deetimahanti D.K. and Sanyal, R., 2008, Static UML

Deetimahanti D.K. and Sanyal, R., 2011, Semi-automatic


Herchi, H. and Abdessalem, W.B., 2012, From user requirements to UML class diagram, CoRR abs/1211.0713.


Deetimahanti D.K. and Sanyal, R., 2008, Static UML Model Generator from Analysis of Requirements (SUGAR), In ASE’08: International Conference on Advanced Software Engineering and Its Applications, China, pp. 77-84.


