A PIM-to-Code Requirements Engineering Framework

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Abstract: The complexity of Model-driven engineering (MDE) leads to a limited adoption in practice. In this paper we argue that MDE offers "low hanging fruit" if creating executable UML models allowing core functionality prototyping is targeted rather than developing full-fledged information systems. This paper describes an environment for designing and validating conceptual business models using the model-driven architecture (MDA). The deliverable of the proposed modelling environment is an executable platform independent model (EPIM) that is further tested and validated through an MDA-based simulation feature. The proposed environment addresses a set of challenges associated with 1. shortcomings of the UML for being technically too complex for conceptual modelling goals as well as for being imprecise for rapid prototyping; 2. difficulties of MDE adoption due to the large set of required skills to adopt the key MDA standards such as the UML, MOF and XMI. The paper aims to introduce the current work and identify the needs for future research.

1 INTRODUCTION

Model-driven architecture (MDA) and engineering (MDE) are new initiatives which have produced a large amount of research and published material. Somewhat paradoxically MDE is too little used in practice, mainly because existing MDE solutions require extensive training due to the large set of skills required for using accepted standard MDA technologies and because MDE solutions often constrain themselves to specific architectures, platforms and 3-rd party technologies, making the reuse of transformations difficult. In this paper we argue that MDE offers "low hanging fruit" if creating executable UML models allowing core functionality prototyping is targeted rather than developing full-fledged information systems. This paper describes an environment for designing and prototyping conceptual business models using the model-driven architecture (MDA). Such approach benefits to the business analyst's model understanding and to the communication with and validation of models by business domain experts.

2 PROBLEM DOMAIN

MDE focuses on 1. designing platform independent models as the main representation of a system-to-be, having a sufficient level of completeness to generate other models or code from them; 2. transformation(s) (mappings) from platform independent to platform specific models or code, a process that may pass through a number of mappings before a software artefact can be generated. The OMG offers the MDA as a set of standards to realise this MDE approach. The key standards include (a.o.) the UML, Meta-Object Facility (MOF), XML Metadata Interchange (XMI) and Object Constraint Language (OCL). As stated in (Borland, 2004): “The technical complexity of UML has been held responsible for modelling adoption issues. Few expert modellers can rapidly evolve an application from requirements to code. ... Many of today’s modellers are casual in their approach; MDA, however, requires increased rigor and training in UML modelling”. (Erickson and Siau, 2007) present the complexity metric of the UML which scores from 2 to 11 times more complex than those of other methods due to the diversity of supported constructs and diagrams. Among the other fundamental deficiencies of UML is that it is unclear how to combine interactive, structural and
behavioural aspects together in a single model (Gustas, 2010). Furthermore, (Buckl et al., 2010) points out the “noisiness” of modelling languages with various concepts, that can result in misusing concepts and creation of unintended models, i.e. models that use the language concepts in a way not intended for the modelling domain.

The same holds true for the OMG's MOF and XMI standards which are used to store, transport and exchange models between tools. XMI is extensively used by translationist approaches that start with PIMs and progressively add refinements to produce PSMs. The main purpose of XMI is to enable the interchange of meta data between tools in heterogeneous environments. Despite these benefits, XMI is also associated with issues like semantic mismatches, version incompatibilities (XMI/UML/MOF), human-readability, etc. Finally, transformations are mostly written using platform specific technologies and often have extensive dependencies on 3rd party technologies such as application and database servers, making their (reuse) unnecessarily complex for prototyping purposes.

In theory, the MDA/MDE approach aims to simplify the development process in order to address the problems of rapidly changing business requirements and technologies by making the development process less dependent on specific programming languages and platforms. To achieve this goal, MDE aims at a higher level of abstraction and genericity by grounding the development process onto models and allowing model-to-model transformations to bridge across platforms and languages. Such model-based abstraction on the other hand, creates its own share of complexity in practice with existing solutions continuously growing into a large all-in-one-capable pot. For instance, UML aims at genericity by supporting modelling various views of a system but on the other hand fails to provide good means of separating aspects per development phase (e.g. conceptual modelling versus program design). UML also fails to provide good support for recombining different views into one global and consistent model. Furthermore, although current approaches for model-to-model transformations attempt to achieve high traceability among models, this goal has not been adequately realized yet. Debugging and runtime performance modifications are still tied to the code level and cannot easily be traced to the model-to-code or (even more difficult) to the preceding model-to-model transformations. Despite the promise of easing the development process by getting rid of platform dependence, in current practice, MDE doesn’t simplify the development process in terms of traceability and maintainability.

Thus, while MDE seems very promising, its practical utility is still limited by the fact that:
- UML is too complex to achieve a right design within a short time to be further processed with an MDE approach
- MDE model-to-model and model-to-code transformations are hard to write, debug, maintain and reuse

Despite these hurdles, we believe that MDE can be feasible and offer a "quick win" if prototyping is targeted rather than the development of full-fledged information systems and if mappings to PSMs are skipped and PIMs are directly transformed to code. Restriction to prototyping makes sense because it allows creating executable PIMs (EPIM). The current standard of MDD is the executable UML (xUML) which provides a key technology for expressing application domains in a platform independent manner. The xUML is a profile of UML 2.0 that defines the execution semantics for a subset of the UML. The Foundation UML (fUML) and the Action Language for fUML (Alf) are the new executable UML standards: fUML specifies precise semantics for an executable subset of UML, and Alf specifies a textual action language with fUML semantics. These however do not bring the MDE any closer to the novice modellers or simplify it such as making model validation by means of rapid prototyping easily feasible for technical and business domain experts. Still a very detailed diagramming with fUML is required and a solid knowledge of both fUML and Alf is required to make further transformation of UML to code. We will use the MERODE methodology and a proposed prototyping tool which will allow us to filter away unnecessary detail, use the consistency by construction provided by its modelling tool to minimize required input skills (thus tailoring the approach to novice users), as well as make it possible to receive automated feedback in the prototypes. In this paper the term executable PIM refers to a sufficient level of abstraction and completeness of the PIM enabling applying transformation(s) from platform independent to platform specific models or code. The straight-to-code approach enables rapid simulation of a model which 1) improves a modeller understanding of the PIM; 2) improves the communication with business experts leading to decreased requirements engineering cycles, benefiting the time-to-market of the final IS. Additionally the straight-to-code approach simplifies the development of model-to-code transformations, their debugging and
maintenance and facilitates their reuse. Putting MDE at work in this way requires simplification techniques to meet these goals. The proposed simplification within the research presented here include:

- the use of a restricted part of UML as proposed by the MERODE methodology (Snoeck et al., 1998)
- the use of a template-based transformation approach going straight from model to code (i.e. a model-to-text transformation).

Starting from a high-level PIM (close to a Computational Independent Model (CIM)) allows removing or hiding details irrelevant for a conceptual modelling view. This makes the approach easier to understand and a one-click prototype production lowers the required skill-set for its useful application. Next, because of an absence of debugging techniques across models and platforms, the straight-to-code transformation is easier to create, reuse and maintain than a set of intermediate model-to-model increments. To operationalize this we developed a requirements engineering environment that includes a proprietary modelling tool JMermaid and its companion simulation tool that assists in creating enterprise models according to the MERODE methodology. Advantages of a proprietary environment over the industry tools include: 1) a simplified modelling tool adapted to conceptual modelling goals, 2) models that are readily transformable to code, making them truly executable, 3) a fully functional prototype generated by a "single click". In the specific case of JMermaid, the generated prototype is augmented with a feedback feature that links parts of the applications to the corresponding part of the model (Sedrakyan and Snoeck, 2012). Such an approach yields additional benefits such as better support of the process of developing modelling competences and the ability to involve end-users early on in the development of the system-to-be by letting them test the incrementally growing prototypes.

3 CONCEPTUAL MODELLING WITH JMERMAID TOOL

To address the indicated UML issues MERODE adapts the use of UML to 1) alleviate the problem of "noisiness" of UML, and 2) ensure the quality and "transformability" of the model. In MERODE the object-oriented business model typically consists of 3 system views that together define a platform independent model. The business domain model consists of a class diagram, an interaction model and a number of state charts. JMermaid is an adapted modelling tool for modelling conceptual business models based on the MERODE concepts. The 3 system views in the tool are represented with a tabbed view which suggests an intuitive, incremental and iterative modelling process. Figure 1 depicts the artefacts and modelling cycle with MERODE within the proposed adapted environment. The class diagram is a restricted form of UML class diagram: the types of associations are limited to binary associations, with a cardinality of 1 to many or 1 to 1. Many to many associations need to be converted to an intermediate class. The interaction model consists of an Object-Event Table (OET), created according to the principles of MERODE (Snoeck and Dedene, 1998). It represents a kind of CRUD-matrix, a technique borrowed from Information Engineering (Martin, 1982). In MERODE, "business events" represent atomic actions from the real world in which one or more domain objects can participate. Each business event is assigned an owner class indicated by an "O/" preceding the kind of involvement (Create, Modify, End).

The other participants are considered as "Associated" participants and have the C, M or E preceded by "A/". The finite state machines allow the object type to impose sequence constraints on the business events it is involved in. Multiple Finite State Machines (FSMs) allow to model independent aspects as parallel machines.

Figure 2 shows a snapshot combining the three main views supported in the JMermaid modelling tool. To ensure the completeness of a model to be processed by a code generator the tool uses consistency check-
ing and validation techniques. To simplify its usage, the tool allows managing consistency between the three views in an automated way: it follows a "consistency-by-construction" approach (Snoeck et al., 2003; (Haesen and Snoeck, 2004) meaning that each time when entering specifications in one view, specifications that can be derived for other views are automatically generated by the tool. As an example, one of the design guidelines states that when defining a class, one should provide at least one method to create instances of that class and one method to terminate instances. So when a business object is entered in the class diagram, the necessary completions are automatically performed in the OET and FSM views. This modelling approach ensures a perfect integration between the structural, interactive, and behavioural aspects, achieving models that are truly executable to be further validated through the prototyping feature.

4 MDA-BASED EXECUTION

Transformation to code can be achieved through a single click: the output is a Java project containing both a compiled application in executable JAR format and the source-code. The minimal input that can be accepted by the prototyping tool is actually a model that contains at least one business object in the class diagram view along with the minimal set of default elements, state machine states and transitions that are automatically generated by JMermaid. A set of default attributes for business objects, if not specified by a user, are automatically generated too.

The code generator for MERODE was built using the Java language and Velocity Templates Engine (http://velocity.apache.org). Figure 3 shows the transformation process behind the prototyping feature. The generator takes as an input the XML file (output of the JMermaid modelling tool). The XML parser module then “collects” the properties (rules) defined by a model, the code generator module further distributes the properties into template contexts.

Figure 3: MERODE prototype generator’s structure.

It is the template engine’s responsibility then to merge each context with a specified template to generate a set of files, e.g. a database script, data access objects, hibernate mappings, event handlers and user interfaces or configuration files. Finally, the compiler module transforms the bunch of files into a compiled executable application. Velocity template contexts act as mapping contracts between the EPIM and the prototype code.

Figure 4: The main GUI of the prototype application.

The compiler module uses the IBM’s eclipse compiler for Java (ECJ) making it possible to incrementally compile any modification made to the generated prototype’s code afterwards which can be
made in a simple text editor. A lightweight Hyper-
sonic database is included in the application package
(http://hsqldb.org) with a user interface that can be
invoked from inside a prototype application.

A user interacts with the generated application
through the graphical user interface (GUI) which
offers basic functionality like triggering the creating
and ending of objects, and triggering other business
events. Figure 4 shows the main interface of a gen-
erated prototype. The GUI layer is built on top of the
event handling layer. The event handling layer con-
sts of a collection of so called event handlers. The
task of the latter layer is to handle all events correct-
ly by managing the appropriate interactions with the
objects in the persistence layer.

The working of an event handler can be described in
four steps: 1) upon an event execution call the event
handler ‘asks’ every participating object (the partici-
pants to a business event that have been specified in
the Object-Event Table) whether all preconditions
set by the object are met. For example, associations
between classes will lead to preconditions to main-
tain referential integrity; 2) Similarly to the previous
step the event handler retrieves from every partici-
pating object its current state (or reference to the
corresponding state object) and checks whether that
state allows further processing of the event; 3) If all
results of the tasks in step 1 and 2 are positive, the
event handler invokes the methods in the participat-
objects, i.e. corresponding event triggered in
response to processing the originally called event in
the specific object; 4) next, if all results of previous
steps are positive, the event handler executes the
method in all participating objects retrieved in step 2
to implement the state modifications (according to the
triggered event).

While executing a business event in a prototype
application users can follow in an event execution
log frame what is happening in the upper right cor-
ger of the generated application. When an event is
refused (because of failed precondition checks) the
user is informed of the refusal with a message that
explains the reason of rejection by indicating what
constraint of a model is violated (e.g. creation/end
dependency or integrity constraint, FSM imposed
constraint, etc.). Figure 5 shows for example how
the triggering of a business event is refused by the
application because the business rules stated in the
form of a Finite State Chart impose a precondition
that is not met by the current state of the business
objects. The automated feedback includes an expla-
nation message followed by graphical visualisation
upon user’s request. Such model execution with
automated feedback enables a much better under-
standing of models than can be obtained by just
reading a model.

5 EXPERIENCES
AND EVALUATION

In its current form, the tool is mainly used in a
teaching environment. Hence, the optimizations
have been mainly motivated by the educational con-
text and are therefore based on our observations of
student achievements over a period of 5 years, ex-
periments and observations of a progress curve of
delivered results from tasks before and after the use
of code generator, constant feedback from 300 stu-
dents overall, as well as similar issues found in re-
lated research. Previously the simulation was
achieved through a chain of several transformation
and execution steps before being able to run the
prototype. The prototyping process was in addition
complicated by an extra dependency of a generated
prototype on an application server. Furthermore, the
graphical visualizations of errors were implemented
as an optional plugin students could extend their
prototypes with. Due to their low technical skills,
students experienced various difficulties throughout
the simulation process chain, which made the major
part of students reluctant in using the feature mostly
resulting in “didn’t use” answer while evaluating the
feature. Despite these early problems the prototyping
and errors’ visualizations were rated above average by the students. Furthermore, a little experiment conducted with students before and after the use of simulated model resulted in the positive correction of 1.16 in the interpretation of a model, increasing from 7.63 to 8.59 in a range of 0-10. In the meantime, the problems with the simulation chain have been solved by providing students with an all-in-one package allowing to generate and start a prototype with a single click from the student side as described in this paper. We therefore expect this tool to score even better in 2012-2013 resulting in a much higher positive correction. The preliminary test among 49 novice learners using true/false questions to assess the understanding of a model (both structural and behavioural aspect) already confirmed the expectations: for 6 question out of 9 positive corrections \{21, 1, 4, 2, 6, 8\} are observed. However, for 3 questions still some negative impact was observed. This indicates that for a novice modeller identifying right scenarios for testing a model can be yet another issue in using a prototype to validate a model. Hence, the need to improve testing capabilities or even providing tool assistance in developing test scenarios can be considered while implementing further extensions. This also suggests improvements in designing the experiments for evaluating the tool such as clustering of the users according to their expertise (e.g. novice, intermediate, advanced...).

6 CONCLUSIONS

While the use of existing MDE approaches require extensive training, the current research demonstrates how a (template-based) MDE approach can be put at work to the benefit of conceptual modelling, requiring a minimal input and minimal skill-set of business analysts. The proposed environment also claims that the resulting simulation facilities for EPIMs improves the business analyst's understanding of a model, yielding better modelling decisions and easing the end-users’ involvement in the validation cycle. In its current form, the tool is used in a teaching environment and already revealing its capability of increasing the students understanding of models (Sedrakyan and Snoeck, 2012). The tool can be further validated by industry users. Among the possible evolutions of the work could be to address current limitations of the code generator, such as the extension with an ability to generate code from models that use inheritance and support for general constraints formulated in OCL. The enhancement with OCL support would allow to swiftly validate a set of business rules implemented by means of a conceptual model. Another possibility for extension is the development of a user-friendly interface to allow modification of the structure of the generated application to better tailor it to the user's familiar environment. Yet another enhancement would be to modify the generator in a way that each entity can be generated as a self-contained component that can “inject” itself into a generated application as well as be easily removed from it.

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