

# A CONTINUOUS LEARNING FOR A FACE RECOGNITION SYSTEM

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**Abstract:** A system of Multiple Neural Networks has been proposed to solve the face recognition problem. Our idea is that a set of expert networks specialized to recognize specific parts of face are better than a single network. This is because a single network could no longer be able to correctly recognize the subject when some characteristics partially change. For this purpose we assume that each network has a reliability factor defined as the probability that the network is giving the desired output. In case of conflicts between the outputs of the networks the reliability factor can be dynamically re-evaluated on the base of the Bayes Rule. The new reliabilities will be used to establish who is the subject. Moreover the network disagreed with the group and specialized to recognize the changed characteristic of the subject will be retrained and then forced to correctly recognize the subject. Then the system is subjected to continuous learning.

## 1 INTRODUCTION

Several researches indicate that some complex recognition problems cannot be effectively solved by a single neural network but by “Multiple Neural Networks” systems (Shields, 2008). The idea is to decompose a large problem into a number of subproblems and then to combine the sub-solutions into the global one. Normally independent modules are domain specific and have specialized computational architectures to recognize certain subsets of the overall task (Li, 2007). In this work, for a face recognition problem we use a system consisted of multiple neural networks and then we propose a model for detecting and solving eventual contradictions into the global outcome. Each neural network is trained to recognize a significant region of the face and is assigned an arbitrary a-priori degree of reliability. This reliability factor can be dynamically re-evaluated on basis of the Bayesian Rule after that contradictions eventually arise. The conflicts depend on the fact that there may be no global agreement about the recognized subject, may be for s/he changed some features of her/his face. The new vector of reliability obtained through the Bayes Rule will be used for making the final choice, by applying the “Inclusion based” algorithm or another “Weighted” algorithm over all the

maximally consistent subsets of the global output. Networks that do not agree with this choice are required to retrain themselves automatically on the basis of the recognized subject. In this way, the system should be able to follow the changes of the faces of the subjects, while continuing to recognize them even after many years thanks to this continuous process of self training.

## 2 BELIEF REVISION

In this section we introduce some theoretical background from Belief Revision (BR) field. Belief Revision occurs when a new piece of information inconsistent with the present belief set is added in order to produce a new consistent belief system (Gärdenfors, 2003).

In Figure 1, we see a Knowledge Base (KB) which contains two pieces of information: the information  $\alpha$ , which comes from source V, and the rule “If  $\alpha$ , then not  $\beta$ ” that comes from source T. Unfortunately, another piece of information  $\beta$ , produced by the source U, is coming, causing a conflict in KB. To solve it we find all the “maximally consistent subsets”, called *Goods*, inside the inconsistent KB, and we choose one of them as the most believable one. In our case (Figure 1) there

are three *Goods*:  $\{\alpha, \beta\}$ ;  $\{\beta, \alpha \rightarrow \neg\beta\}$ ;  $\{\alpha, \alpha \rightarrow \neg\beta\}$ . Maximally consistent subsets (*Goods*) and minimally inconsistent subsets (*Nogoods*) are dual notions. Each source of information is associated with an a-priori “degree of reliability”, which is intended as the a-priori probability that the source provides correct information.

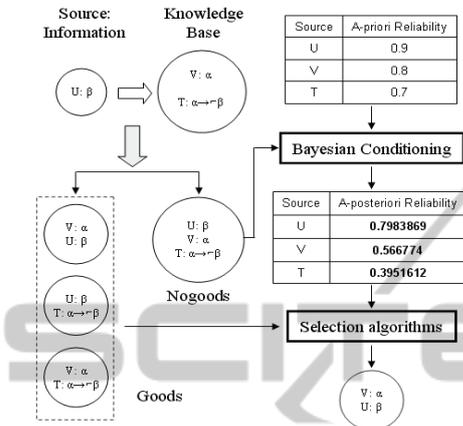


Figure 1: Belief Revision mechanism.

In case of conflicts the “degree of reliability” of the involved sources should decrease after “Bayesian Conditioning” which is obtained as follows. Let  $S = \{s_1, \dots, s_n\}$  be the set of the sources, each source  $s_i$  is associated with an a-priori reliability  $R(s_i)$ . Let  $\phi$  be an element of  $2^S$ . If the sources are independent, the probability that only the sources belonging to the subset  $\phi \subseteq S$  are reliable is:

$$R(\phi) = \prod_{s_i \in \phi} R(s_i) * \prod_{s_i \notin \phi} (1 - R(s_i)) \quad (1)$$

This combined reliability can be calculated for any  $\phi$  providing that:

$$\sum_{\phi \in 2^S} R(\phi) = 1 \quad (2)$$

Of course, if the sources belonging to a certain  $\phi$  give incompatible information, then  $R(\phi)$  must be zero. Having already found all the *Nogoods*, what we have to do is:

- Summing up into  $R_{\text{Contradictory}}$  the a-priori reliability
- Putting at zero the reliabilities of all the contradictory sets, which are the *Nogoods* and their supersets
- Dividing the reliability of all the other (no-contradictory) set of sources by  $1 - R_{\text{Contradictory}}$ .

The last step assures that the constrain (2) is still satisfied and it is well known as “Bayesian Conditioning”. The revised reliability  $NR(s_i)$  of a source  $s_i$  is the sum of the reliabilities of the elements of  $2^S$  that contain  $s_i$ . If a source has been involved in some contradictions, then  $NR(s_i) \leq R(s_i)$ , otherwise  $NR(s_i) = R(s_i)$ .

The new “degrees of reliability” will be used for choosing the most credible *Goods* as the one suggested by “the most reliable sources”. There are three algorithms to perform this task

1. Inclusion based (IB) Algorithm select all the *Goods* which contains information provided by the most reliable source.
2. Inclusion based weighted (IBW) is a variation of IB: each *Good* is associated with a weight derived from the sum of Euclidean distances between the neurons of the networks. If IB select more than one *Good*, then IBW selects as winner the *Good* with a lower weight.
3. Weighted algorithm (WA) combines the a-posteriori reliability of each network with the order of the answers provided. Each answer has a weight  $1/n$  where  $n \in [1; N]$  represents its position among the N responses.

### 3 FACE RECOGNITION SYSTEM

To solve the face recognition problem (Tolba, 2006), in the present work a number of independent recognition modules, such as neural networks, are specialized to respond to individual template of the face. We apply the Belief Revision method to the problem of recognizing faces by means of a “Multiple Neural Networks” system. We use four neural nets specialized to perform a specific task: eyes (E), nose (N), mouth (M) and, finally, hair (H) recognition. Their outputs are the recognized subjects, and conflicts are simple disagreements regarding the subject recognized. As an example, let’s suppose that during the testing phase, the system has to recognize the face of four persons: Andrea (A), Franco (F), Lucia (L) and Paolo (P), and that, after the testing phase, the outputs of the networks are as follows: E gives as output “A or F”, N gives “A or P”, M gives “L or P” and H gives “L or A”, so the 4 networks do not globally agree. Starting from an undifferentiated a-priori reliability factor of 0.9, and applying the method described in the previous section we get the following new degrees of reliability for each network:  $NR(E) =$

0.7684,  $NR(N) = 0.8375$ ,  $NR(M) = 0.1459$  and  $NR(H)=0.8375$ . The networks N and H have the same reliability, and by applying a selection algorithm it turns out that the most credible *Goods* is {E,N,H}, which corresponds to Andrea. So Andrea is the response of the system.

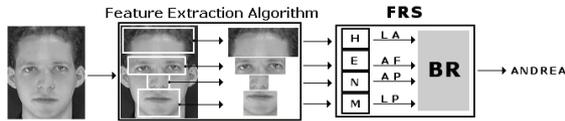


Figure 2: Schematic representation of the Face Recognition.

Figure 2 shows a schematic representation of this Face Recognition System (FRS). Which is able to recognize the most probable individual even in presence of serious conflicts among the outputs of the various nets.

#### 4 A NEVER-ENDING LEARNING

Back to the example in Section III, let's suppose that the network M is not able to recognize Andrea from his mouth. There can be two reasons for the fault of M: either the task of recognizing any mouth is objectively harder, or Andrea could have recently changed the shape of his mouth (perhaps because of the grown of a goatee or moustaches). The second case is interesting because it shows how our FRS could be useful for coping with dynamic changes in the features of the subjects. In such a dynamic environment, where the input pattern partially changes, some neural networks could no longer be able to recognize them. So, we force each faulting network to re-train itself on the basis of the recognition made by the overall group. On the basis of the a-posteriori reliability and of the *Goods*, our idea is to automatically re-train the networks that did not agree with the others, in order to "correctly" recognize the changed face. Each iteration of the cycle applies Bayesian conditioning to the a-priori "degrees of reliability" producing an a-posteriori vector of reliability. To take into account the history of the responses that came from each network, we maintain an "average vectors of reliability" produced at each recognition, always starting from the a-priori degrees of reliability. This average vector will be given as input to the two algorithms, IBW and WA, instead of the a-posteriori vector of reliability produced in the current recognition. In other words, the difference with respect to the BR mechanism described in Section II is that we do not

give an a-posteriori vector of reliability to the two algorithms (IBW and WA), but the average vector of reliability calculated since the FRS started to work with that set of subjects to recognize. With this feedback, our FRS performs a continuous learning phase adapting itself to partial continuous changes of the individuals in the population to be recognized.

### 5 EXPERIMENTAL RESULTS

This section shows only partial results: those obtained without the feedback, discussed in the previous section. In this work we compared two groups of neural networks: the first consisting of four networks and the second with five (the additional network is obtained by separating the eyes in two distinctive networks). All the networks are LVQ 2.1, a variation of Kohonen's LVQ (Kohonen, 1995), each one specialized to respond to individual template of the face.

The Training Set is composed of 20 subjects (taken from FERET database (Philips, 1998)), for each one 4 pictures were taken for a total of 80. Networks were trained, during the learning phase, with three different epochs: 3000, 4000 and 5000. To find *Goods* and *Nogoods*, from the networks responses we use two methods:

1. Static method: the cardinality of the response provided by each net is fixed a priori. We choose values from 1 to 5, 1 meaning the most probable individual, while 5 meaning the most five probable subjects
2. Dynamic method: the cardinality of the response provided by each net changes dynamically according to the minimum number of "desired" *Goods* to be searched among. In other words, we set the number of desired *Goods* and reduce the cardinality of the response (from 5 down to 1) till we eventually reach that number (of course, if all the nets agree in their first name there will be only one *Goods*).

In the next step we applied the Bayesian conditioning (Dragoni, 1997), on the *Nogoods* obtained with the two previous techniques, obtaining an a-posteriori vector of reliability. These new "degrees of reliability" will be used for choosing the most credible *Good* (i.e. the name of subject). To test our work, we have taken 488 different images of the 20 subjects and with these images we have created two Test Set. Figure 3 reports the rate of correct recognition for the two Test Set, with the Static and Dynamic methods. It shows also, how WA is better than IBW for all four cases in both

tests. The best solution for WA is achieved with five neural networks and 5000 epochs in both the methods (Static and Dynamic) and the Test Set.

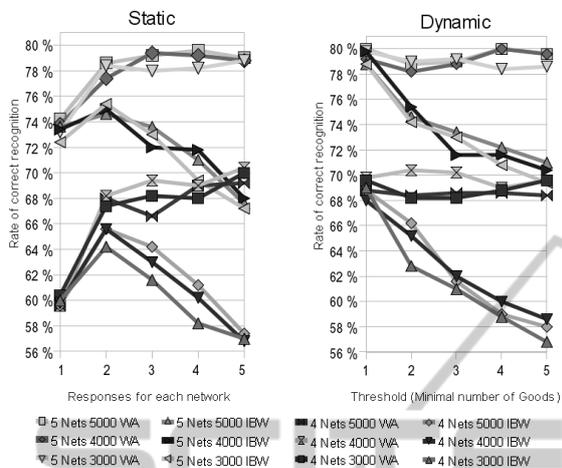


Figure 3: Rate of correct recognition with either Test Set.

Figure 4 shows the average values of correct recognition in either Test Set of WA with 5000 epochs obtained by the two methods. These results show how the union of the Dynamic method with the WA and five neural networks gives the best solution to reach a 79.39% correct recognition rate of the subjects. The same Figure also shows as using only one LVQ network for the entire face, we obtain the worst result. In other words, if we consider a single neural network to recognize the face, rather one for the nose and so on, we have the lowest rate of recognition equals to 66%. This is because a single change in one part of the face makes the whole image not recognizable to a single network, unlike the hybrid system.

### 6 CONCLUSIONS

Our hybrid method integrates multiple neural networks with a symbolic approach to Belief Revision to deal with pattern recognition problems that require the cooperation of multiple neural networks specialized on different topics. We tested this hybrid method with a face recognition problem, training each net on a specific region of the face: eyes, nose, mouth, and hair. Every output unit is associated with one of the persons to be recognized. Each net gives the same number of outputs. We consider a constrained environment in which the image of the face is always frontal, lighting conditions, scaling and rotation of the face being the

same. We accommodated the test so that changes of the faces are partial, for example the mouth and hair do not change simultaneously, but one at a time. Under this assumption of limited changes, our hybrid system ensures great robustness to the recognition. When the subject partially changes its appearance, the network responsible for the recognition of the modified region comes into conflict with other networks and its degree of reliability will suffer a sharp decrease. The networks that do not agree with the choice made by the overall group will be forced to re-train themselves on the basis of the global output. So, the overall system is engaged in a never ending loop of testing and re-training that makes it able to cope with dynamic partial changes in the features of the subjects.

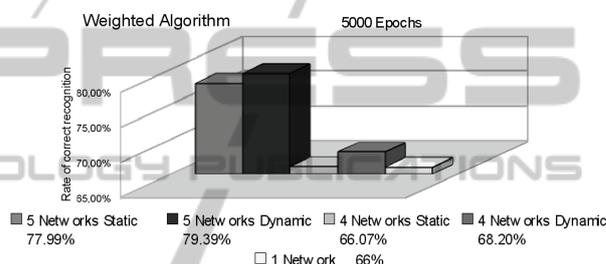


Figure 4: Average rate of correct recognition with either Test Set and the results obtained using only one network for the entire face.

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