ONTOLOGY-BASED SIMILARITY OF SOFTWARE CASES
Applying Ontology Reasoning to Software Retrieval

Lothar Hotz, Katharina Wolter, Stephanie Knab and Arved Solth
HITeC e.V., Department of Computer Science, University of Hamburg, Germany

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Abstract: In this paper, we use Description Logic based classification and taxonomical similarity computations for facilitating software reuse. For this purpose we map a metamodelling-based software representation to an ontology. The ontology is classified by a Description Logic reasoner, which makes implicit taxonomical relations explicit. This classification is the basis for the computation of taxonomical similarity. The approach is tested with several industrial software applications.

1 INTRODUCTION

Reusing software is still an open problem in current software practice. Former approaches to solve this problem concentrated on the code level. However, the impact of reuse can be increased when integrated earlier in the development process, e.g. on the level of requirements. Thus, a goal is to design an effective reuse process based on requirements.

In this paper, we describe one step towards this goal. The general reuse process of our approach looks as follows: Starting with an initial requirements specification a repository is searched for similar specifications. This repository contains former software development projects stored in the form of software cases. A software case comprises a problem (requirements) and a solution (architecture, design, and implementation), similar to case-based approaches, for example described in (Bergmann et al., 1999). Each requirements specification is mapped to appropriate elements of the solution.¹ The retrieved case is intended to be reused by modifying those parts that need rework and keeping those parts that can be reused without modification.

Retrieval of similar requirements specifications from a repository is a key prerequisite for this reuse process. In the following, we explain how this can be achieved by an ontology-based similarity measure. In order to enable reuse on the basis of meaning, the specifications need to provide more than meaningless strings. The words in one requirements specification need to be linked to an ontology, where the semantics of the words are defined. Our approach depends on requirements specifications in a machine processable form as provided by the requirements specification language RSL (Śmiałek et al., 2007), which we use or ATTEMPO (Fuchs et al., 2005).

In this paper, this link is realized by a new combination of formal requirements specifications provided by the requirements specification language RSL with ontology reasoning provided by Description Logics (DL) (Baader et al., 2003). The basic idea is to cover all former software cases in an ontology (DL-model) and use the reasoning facilities of a Description Logic reasoner (DL-reasoner) to classify the software cases, i.e. their deeply structured elements. When classified, the software cases have certain taxonomical distances between each other. As shown for example in (Sallotti and Ventos, 1998) the taxonomical distance can be used for computing the similarity between classes of a taxonomy, i.e. in our case between elements of software cases. Computing the similarity in this way takes into consideration the semantics of used terms.

The remainder of the paper is structured as follows: we start with the description of the requirements specification language RSL (see Section 2). This language links the words used in the requirements specification of one software case to WordNet (Fellbaum, 1998) as a basic ontology. This provides the basis for our semantic-based similarity measure. However, for applying an DL-reasoner we had to map the requirements specifications to a DL-model (see Section 3). How a DL-reasoner can be used for clas-

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¹For a complete description of a software case’s internal structure we refer to (Śmiałek, 2006).
sifying a set of requirements specifications and thus, provides new taxonomic relations is described in Section 4. Our similarity measure compares the software cases taking into account the new taxonomical relationships between the cases, the meaning of used terms, and the structure of sentences (Section 5). We implemented the developed approach and evaluated it with industrial software cases taken from software development organizations. These results are reported in Section 6. The approach is discussed in Section 7 and finally the paper is summarized in Section 8.

2 FORMALIZING REQUIREMENTS

Most requirements specifications are still written in natural language. However, natural language is potentially ambiguous, which complicates automatic processing such as similarity estimation. Therefore, the new requirements specification language RSL was defined, which enables precise requirements specifications and is comprehensible by humans at the same time. RSL is based on the same metamodeling approach as used for specifying the Unified Modeling Language (UML, see (OMG, 2007)), i.e. the Meta Object Facility (MOF, see (OMG, 2006)). The RSL metamodel specifies the structure of valid requirements specifications and is integrated in a prototypical tool. The tool supports the user writing specifications and ensures that each specification is an instance of the metamodel. In the following, we describe the RSL elements relevant for the scope of this paper. Each Requirements Specification is part of a Software Case, which combines all artifacts developed in one software development project (e.g. Architectural Model, Detailed Design Model, and Source Code). However, our similarity measure compares the requirements specifications only. This is based on the assumption that if two software cases have similar requirements their other artifacts are similar too and thus, the reuse potential is high.

RSL allows to write requirements specifications in form of less formal NaturalLanguageHypertextSentences or more formal ConstrainedLanguageSentences. For comparing the natural language parts of RSL requirements specifications an Information Retrieval approach is most appropriate (see (Wolter et al., 2008)). The constrained form of sentences has the advantage of being syntactically unambiguous and semantically rich. For this reason, our approach focuses on this part of the specifications.

One type of ConstrainedLanguageSentences provided by RSL are Subject-Verb-Object (SVO) sentences. They can be used to write SentenceLists and ConstrainedLanguageScenarios in order to define Requirements in detail. Such ConstrainedLanguageScenarios can for example contain sentences like: The customer changes the order. The system confirms the changes. etc.

In general, the metamodel defines classes, their sub- and superclasses as well as their associations. Figure 1 shows the metamodel of SVOSentences in RSL. Each Sentence has a Subject and a Predicate. The Subject has a NounPhrase, which links to a Determiner, a Modifier and a Noun via DeterminerLink, ModifierLink and NounLink, respectively. The Predicate links to a VerbPhrase being either a SimpleVerbPhrase for sentences with one object or a ComplexVerbPhrase for sentences containing two objects. In addition, the SimpleVerbPhrase links a Verb via a PhraseVerbLink. While the phrases enable different sentence structures, the different links contain the information about the words’ inflection within a particular sentence. Finally, the links point to Terms, e.g. Nouns, Verbs etc. All Terms of one specification are contained in a Terminology which provides a software case-specific word list.

In RSL, the meaning of Terms is defined by linking them to WordNet\(^3\). WordNet is a seman-

\(^3\)see: wordnet.princeton.edu/
tic lexicon that was developed at the Cognitive Science Laboratory at Princeton University (Fellbaum, 1998). It groups synonymous words (synonyms) of the English language in synonym sets (called synsets). Amongst others, the following semantic relations connect synsets: hypernyms / hyponyms (is-a, is-a inverse) and holonyms / meronyms (part-of, part-of inverse). For each synset, WordNet provides definitions or example sentences. Words with different meanings participate in distinct synsets.

Figure 2 illustrates the general structure of requirements documents defined with RSL and how ambiguity problems of natural language are solved. Terminology#1, for example, contains the term Customer while Terminology#2 and #3 both contain the term Client. However, Customer of Terminology#1 and Client of Terminology#2 both link to the same synset, i.e. they are synonyms while the Client of Terminology#3 links to another synset, i.e. the two terms Client have a different meaning, they are homonyms.

### 3 Ontology Construction

In order to use the classification facilities as they are described in the next section, we had to represent the requirements specifications and their links to WordNet as a DL-model, using ontology languages like OWL (OWL, 2004) or KRSS (Patel-Schneider, 1993). Such languages provide facilities to define concepts and roles (or sometimes called properties) between two concepts. A concept (given with a unique name) indicates the set of individuals that belongs to it, and a role indicates a relationship between concepts. Concepts and roles are combined via constructors. Typical constructors are those of $\text{ALCHIF}$, i.e. Attribution Language with universal restrictions, existential qualification, concept intersection ($\text{ALC}$), role hierarchy ($\text{FL}$), inverse roles ($\text{I}$), and functional properties ($\text{F}$). As we will see in the next section, we use $\text{ALC}$ for gaining a tractable description logic.

With these constructors, we can define a specialization relation between concepts, which provides superconcepts and subconcepts in a taxonomy. Furthermore, $\text{ALC}$ allows to distinguish between primitive (i.e. necessary) and defined (i.e. necessary and sufficient) concepts, indicated with $\text{implies}$ and $\text{equivalent}$, respectively.

The question is now, how to use these ontology representation facilities in order to represent requirements specifications such that similar specifications have short taxonomical distances after classification. For this task, we examine particular aspects of requirements specifications in the following and describe how they are represented.

**Mapping the RSL Metamodel.** The RSL metamodel consists of 127 classes, sub- and superclasses and several associations between them. For example, the class 

![Figure 2: Relations between Requirements Specification, Terminology and WordNet.](image-url)
Definition of an upper-model concept:

\begin{verbatim}
(equivalent SVOSentence
  (and ConstrainedLanguageSentence
    (some subject Subject)
    (some predicate Predicates)))
\end{verbatim}

Parts of the definition of the sentence:

“Client Opens a PC Window”

\begin{verbatim}
(equivalent SVOSentenceC2ClientOpensWindow
  (and SVOSentence
    (some subject SubjectC2Client)
    (some predicate PredicatesC2OpenWindow)))
\end{verbatim}

This general mapping can be used to convert all elements of a requirements specification to a DL-model. However, this is not necessary since RSL specifications contain elements that are not relevant for our semantic-based similarity measure such as NaturalLanguageHypertextSentences, which only contain meaningless strings. A further example are all Determiners. They constitute a significant number of sentence element’s but have little semantic content on their own. Mapping each determiner into a concept within the DL-model would require the DL-reasoner to identify the equivalence of most of the determiners. This, however, would cost significant amount of computing time and would not improve the similarity measure. For this reason, we only map those RSL elements that are relevant for our similarity calculation. TermHyperlinks e.g. can easily be skipped by relating Phrases directly with corresponding Terms (see Figure 1).

**Mapping Requirements Specifications.** Requirements specifications of software cases are represented through instances of classes of the RSL metamodel. For example, each SVO sentence defined in a software case is an instance of the class SVOSentence of the metamodel and is related to instances of the classes Subject and Predicate. We map these instances to further concepts in the ontology. Please note that we map the elements of requirements specifications to concepts and not to individuals. This is necessary because taxonomical relationships cannot be computed between individuals. However, in our approach it is a key to compute taxonomical relationships between the elements of requirements specifications. For this reason, we represent the elements of a requirements specification as subconcepts of the corresponding concept of the upper model. Thus, each SVO sentence of a specific software case is mapped to a concept with a unique id as name and the concept SVOSentence as superconcept. The concepts representing the elements of requirements specifications are related through roles defined in the upper model. Furthermore, the roles specified in the upper model define the software case concepts (see Figure 3, middle part). Figure 4 shows a part of a DL-model, which we created manually for illustration purposes. SVO sentences are coded by a software case id starting with C and a readable string reflecting the text of the sentence (e.g. SVOSentenceC2C1ClientOpensWindow).

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**Mapping WordNet Elements.** The WordNet metamodel consists of several classes like Synonym, Synset, Wordform etc. Synsets are related via the relation synonym and hyponym in a synset taxonomy. For the classification, only this taxonomical relation and the fact that synsets are distinct are needed. Thus, 

\footnote{This font denotes elements defined in the DL-model.}
we only map synsets from WordNet. They are represented with concepts and the synset taxonomy is represented with the subconcept relation. The role termLinksToWordNetEntry relates each term of a requirements specification to one synset (see Figure 3). However, it is not necessary to map all synsets of WordNet (i.e. almost 117,000) into the ontology. For our purposes, it is only necessary to map the synsets that have relations to a term of a requirements specification and all the predecessors of these synsets in the synset taxonomy.

4 CLASSIFYING THE ONTOLOGY

Through the mapping described in Section 3, requirements specifications of software cases are represented with concepts and roles in a DL-model. Since the requirements specifications contain no information that directly relates the diverse concepts of distinct specifications, all concepts are direct subconcepts of upper model concepts (see Figure 4). Figure 5 shows the same DL-model as Figure 4 but after classifying the ontology in order to classify the concepts of the requirements specifications, i.e. to compute, which concepts are equivalent (see Figure 5, upper part in red) or, which concepts can be taxonomically structured (see Figure 5, lower part in blue). In Figure 5, relations of the synset taxonomy are propagated one by one to the subjects of requirements specifications of C1, C2, and C7. Namely, SubjectC1Client, SubjectC2Administrator and SubjectC7Fireman are subconcepts of the concept SubjectC8Person due to the fact that ClientSynset, AdministratorSynset and FiremanSynset are subconcepts of PersonSynset. Thus, after classifying the ontology, the taxonomy defined in WordNet is also reflected in the concepts representing the specific requirements specifications. Even more interesting is the impact on structural concepts like SVOSentence. In Figure 5 for example, the SVO sentences of case C1 and C2 are classified as subconcept of the SVO sentence of case C8. This is due to the fact, that in both sentences a specific type of person (being either an administrator or a client) opens a window of an operating system while the fireman (of case C7) opens the window of a building. Please note that Figure 5 shows only parts of this information. This distinction between the different meanings of window is given through the links to distinct synsets (window: a framework of wood or metal that contains a glass windowpane [...] or window: (computer science) a rectangular part of a computer screen [...]).

This kind of classification takes the structure, i.e. the roles between the concepts, into account. Through this classification, a different taxonomical distance between the concepts of requirements specifications is introduced and will lead to different similarities. By using the WordNet link and the provided mapping our similarity measure considers the meaning of the requirements specifications.
5 SIMILARITY COMPUTATION

The goal of similarity computation is to get a value between 0 and 1 that indicates an similarity between a query and a software case; 0 denoting no similarity one denoting that the query is completely contained in the software case. Please note, that this measure is asymmetric: if a query element is not found in a software case this results in a lower similarity value. In contrast, if a software case contains elements that are not part of the query, this has no negative impact on the similarity value. This is due to the fact that additional elements in the software case are potential for reuse and thus wanted.

The assumption made here is that the taxonomical distance between two concepts can be the basis of such a similarity value. By using the classification facilities of a DL-reasoner, implicit taxonomical relations are made explicit.

Our similarity measure compares pairs of concepts in the classified taxonomy. This comparison includes both the concept placement in the taxonomical hierarchy (distance-based similarity) and the roles and role fillers that the concepts define (role-based similarity) (see (González-Calero et al., 1999) for a similar approach).

For computing the distance-based similarity between two concepts, the distance of both concepts to the least common subsumer (LCS) is computed, both values are added and a value describing that distance-based similarity is returned: 1 means both concepts are in fact the same concept, and the smaller the value, the further away the concepts are from each other.

The basic idea of role-based similarity is that the similarity of two concepts depends on the similarity of their subgraphs. When comparing roles, their most important aspects are their fillers: concepts or concrete domains that specify the value of a role. When comparing two concepts, the function of role-based similarity is recursively applied. The recursion terminates when two concepts without roles are compared; their similarity is given by the distance-based similarity function.

The roles of both concepts are recursively compared and similarities are summed up for every concept. A value describing role-based similarity is returned: 1 means both concepts have the same roles, and the smaller the value, the less their roles have in common.

Both aspects can be computed independently from each other. Therefore, we defined two algorithms, one computing the distance-based similarity between two concepts and another one comparing the common roles of concepts. The similarity between two concept definitions is the sum of the distance-based similarity and the role-based similarity divided by two.

6 EXPERIMENTS

In the previous sections, we used a small running example for demonstrating our approach to ontology-based requirements comparison. In the following, we give an overview of the experiments we are currently running for applying the approach to larger examples and to industrial software cases. First, we sketch the technical setting.

Technical Setting. The metamodel of RSL is created with a standard UML tool (Enterprise Architect) and an appropriate profile containing the metamodel. For creating requirements specifications according to the RSL metamodel, a specific software development tool is under development. This tool was used by some industrial partners and us for creating formalized requirement specifications. Internally, the tool uses a graph representation (see (Dahm and Widmann, 2003) for details), which is also based on the RSL metamodel. This way, a defined representation for requirements specifications is available for our experiments.

A JAVA-based converter maps the graph representation of requirements specifications to an OWL knowledge base by using the mapping described in this paper. For debugging the OWL knowledge base, Protégé is used. Protégé also provides interfaces to the DL-reasoners Pellet and Racer. For debugging in-
ference behavior. Pellint is applied.\(^5\)

The similarity computation is a further JAVA-component developed by us. It traverses the classified knowledge base for computing similarities as presented in Section 5. Together with the converter, this component will be integrated in the above mentioned software development tool together with other similarity measures based on text and graph structures. The tool is intended to provide sophisticated reuse mechanisms in combination with software transformations (Smialek, 2006).

**Industrial Experiments.** We first created examples to test the similarity measure by slightly varying requirements specifications, and manually judge the similarity of software cases. These experiments show that the mapping described in Section 3 provides coherent DL-models. Furthermore, we could show that the taxonomical similarity measure computes the same similarity ranking for these test cases as considered plausible by us beforehand. For applying the approach in a broader scale, 16 industrial software cases have been created by international software organizations using the mentioned tool. The application domains are in the area of internet banking, investment funds management, emergency systems, forestry systems, financial contract systems, and funding systems. They include 261 requirements written in RSL in total, which map to more than 30.000 defined concepts. Those concepts are reduced to relevant concepts for similarity computations as described in Section 3 (see Table 1). Current experiments still use subsets of the industrial software cases for classification due to the complexity of the resulting ontologies. However, the general approach could be verified and further work will extend the number of tractable concepts.


![Table 1: Sizes of industrial software cases.](image)

<table>
<thead>
<tr>
<th>Upper model defined concepts</th>
<th>34</th>
</tr>
</thead>
<tbody>
<tr>
<td>Software cases</td>
<td>16</td>
</tr>
<tr>
<td>Requirements</td>
<td>261</td>
</tr>
<tr>
<td>Defined concepts (complete mapping)</td>
<td>30184</td>
</tr>
<tr>
<td>Relevant defined concepts</td>
<td>8325</td>
</tr>
<tr>
<td>Relevant roles</td>
<td>36</td>
</tr>
</tbody>
</table>

7 DISCUSSION

In this paper, a new approach in the direction of reusing software on the basis of its semantical de-

scription is presented. For this task, a link between a formal requirements specification and an ontology is established. This link and the mapping of the formal requirements specifications to Description Logic concepts enable inferences that classify existing requirements specifications. This classification can be done offline before the reuse activities start. For reusing parts of former software cases, similarity computations on the basis of classified concepts are applied.

When using ontologies in industrial settings the ontology construction should be considered as an automatic process unless a knowledge engineer is continuously available within the organization. Automatic ontology construction can be achieved through learning ontologies (which is not considered in this paper), or by constructing ontologies programatically from existing data and knowledge sources. In this paper, we examine the formal notation of requirements specifications as such a data source and developed an automatic mapping from RSL requirements specifications to an ontology (here called DL-model). By doing so, the modeling effort typically needed when ontology-based approaches are applied is reduced to linking words to WordNet elements in order to specify the intended meaning. This can be done by the requirements engineers who specify the requirements, i.e. no knowledge engineer is needed. Structural relations are implicit defined by using the tool, which automatically creates requirements specifications relying on the given metamodel.

The use of a given ontology like WordNet supplies an easy-to-use starting point. Although WordNet contains more than 155.000 words and about 117.000 synsets leading to almost 207.000 word-sense pairs, it does not contain all needed terms for any domain at hand. This holds especially for highly domain-specific terms which typically can be found in specifications. The requirements engineers define these terms as WordNet extensions, i.e. they specify a taxonomical relation. Linking words to WordNet elements and specifying extensions if necessary is the only knowledge modeling effort needed in our approach. This however, is essential for our approach since it provides the basis for the comparison of requirements specifications created for different domains, by different persons or even in different organizations. Without such a link, an alignment or comparison of different software cases would be difficult, one could only apply natural language processing in order to guess the intended meanings.

The approach of retrieving cases on the basis of a similarity measure originates in case-based reasoning (CBR) (Aamodt and Plaza, 1994). Bergmann has described how to set up taxonomy similarities
for various relationship types (Bergmann, 1998). In (González-Calero et al., 1999) and (Gomes et al., 2004) further combination of CBR and Description Logic are presented. In the paper at hand, we apply such taxonomical similarity measures to a taxonomy, which has been constructed by first mapping metamodelling-based requirements specifications to a DL-Model and then classifying this DL-model by a Description Logic reasoner.

As a further aspect of CBR, Memory Organization Packages (MOPs) (Schank, 1982) are used for organizing cases into significant portions for allowing partial matching of cases. Also specializations are used for structuring those parts. In this respect, our approach is similar to MOPs, however, the structure we use is based on formal concepts of a description logic, which enable classification services provided by DL-reasoners, whereas MOPs use a frame-based strict hierarchy.

Through the mapping given in this paper, highly structured defined concepts are automatically created from RSL requirements specifications. Description Logic inferences provide the classification of these highly structured concepts. By this means, the given taxonomy of WordNet’s synset is used for classifying all structured elements of requirements specifications (e.g. SVOSentences) and implicitly existing taxonomical relations even between different software cases are made explicit. We can not somehow relax the relatively strong modeling with defined concepts, because moving from defined to primitive concepts would not allow this classification of structured concepts.

(Borgida et al., 2005) describes similarity measures for Description Logics, where diverse types of concept definitions like nested roles are taken into account. But composite concepts consisting of multiple roles (like SVOSentences) are not yet considered. However, examining concept definitions in such detail could be used for improving our similarity measure.

The current mapping of the metamodel takes generalization relations and associations into account. Attributes to datatypes like strings or numbers are not considered. Including those would need Description Logics that handle concrete domains, and would need a similarity measure for comparing strings (e.g. based on information retrieval). Since the tool under development already provides such mechanisms, which will be combined with our ontology-based similarity measure, we will not go into that direction.

Compared to other specification technologies as e.g. provided by the specification language Z (Woodcock and Davies, 1996), our approach allows specifications on the much higher requirements level, instead of specifying computer programs itself. However, our requirements specification language RSL is also used for creating architecture models and detailed design models through transformations (Kalnins et al., 2005). Furthermore, the link of the specification to ontologies enables the support through reasoners, which is not given in other specification languages.

Finally, our approach extends simple WordNet-based similarity measures as described e.g. in (Pedersen et al., 2004). These measures provide similarity values for synset pairs only and cannot compare structured elements like SVO sentences or whole scenarios. However, this is essential in our approach.

8 SUMMARY

In this paper, a new combination of formal requirements specifications and description-logic based inferences is used for facilitating software retrieval. A formal mapping of requirements specifications represented by means of a metamodel to a highly differentiated DL-model is given. This model enables a reasoner to classify former requirements specifications. A taxonomy-based similarity computation uses the classified taxonomy as basis for comparing requirements specifications. Our experience so far showed that the approach works. We were able to map several industrial software cases into one ontology. Further application to industrial environments is currently under development.

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