Enhancing Rights Management Systems
Through the Development of Trusted Value Networks

Victor Torres¹, Jaime Delgado¹, Xavier Maroñas¹
Silvia Llorente¹ and Marc Gauvin²

¹ DMAG (Distributed Multimedia Applications Group)
Universitat Politècnica de Catalunya, Jordi Girona, 1-3, 08034 Barcelona, Spain
Universitat Pompeu Fabra, Roc Boronat, 138, 08018, Barcelona, Spain

² NetPortedItems S.L., Sol Naciente 10, 03016 Alicante, Spain

Abstract. In this paper, we present an innovative architecture that enables the
digital representation of original works and derivatives while implementing
Digital Rights Management (DRM) with the aim of focusing on promoting trust
within the multimedia content value networks rather than solely on content ac-
cess and protection control. The system combines different features common in
DRM systems such as licensing, content protection, authorisation and reporting
together with innovative concepts, such as the linkage of original and derived
content and the definition of potential rights. The transmission of reporting re-
quests across the content value network combined with the possibility for au-
thors to exercise rights over derivative works enables the system to determine
automatically the percentage of income corresponding to each of the actors in-
volved in different steps of the creation and distribution chain. The implemen-
tation consists of a web application which interacts with different external ser-
vice plus a user application used to render protected content. It is currently
publicly accessible for evaluation.

1 Introduction

The aim of this paper is to describe a web-based system for the registration of content
providing traditional DRM functionality as well as some innovative features. The
proposed system, called IPOS-DS (Intellectual Property Operations System - Digital
Shadow) [1][2], is about managing user creations and the information they own and
provides users the necessary technology for being able to easily spread user-generated
content in a trusted and protected manner.

For this purpose, IPOS-DS has proposed and implemented in a single web-based
platform a set of innovative features, which are not present in existing DRM systems.
IPOS-DS relies on the relationships and entities that are being standardised in the
MPEG-21 Multimedia Value Chain Ontology (MVCO) [3].
2 Background

2.1 Value Chain and User Roles

The creation value chain concept refers to the user roles that participate in content creation and distribution and to the operations they can perform over the content during its lifecycle, determining how the digital content evolves from the creator’s original work to become digital that can be consumed by an end user.

IPOS-DS value chain is based on the Digital Media Project (DMP) [4] Creation Model [5]. Figure 1 represents the relationship between the user roles and the content lifecycle, from the original work in the mind of the creator to the content consumed by the final user. User roles are represented by rounded squares, which contain the role name together with the different content types they can create and register. The label in each arrow describes the type of content that relates two user roles. Next we describe the evolution of content during its lifecycle.

- **Work.** A creation that retains intellectual or artistic attributes, i.e. the underlying concept of an artistic work (a song, a play, etc.). It defines the common core that identifies the physical representation of a Work.
- **Adaptation.** The modification of an original work made by an adaptor and authorised by the creator (or the corresponding rights holder).
- **Manifestation.** The physical representation of an original work or an adaptation subject to representation in digital form. Depending on the kind of work it may take on many different forms, an example being a recorded song (in digital or analogue format), a manuscript, a music score, etc.
- **Instance.** A stylised expression of a manifestation such as a performance of a score that may or may not be on a support such as a media file.
- **Copy.** A content item available to other users as a commercial product.

![Fig. 1. Content lifecycle and user roles.](image)

2.2 Rights and Actions

Content managed by the different user roles in the value chain holds Intellectual Property (IP) rights. The rights that can be associated to the different IP Entities are
defined by DMP’s Represent Rights Data (RRD) [6]. RRD defines the relationship between IP Entities present in the creation model with user roles and actions. For the formalisation of this ontology, the Ontology Web Language (OWL) is used.

In RRD, actions refer to both those that may be applied over digital objects as well as those that may not. The result of some actions may imply the creation of a new IP Entity. This is the case, e.g. of the action MakeAdaptation, which generates a new IP Entity called Adaptation. On the other hand, other actions do not suppose the creation of a new IP Entity. This is the case, for example, of the action Play, which grants permission for rendering the IP Entity. For a complete list of actions refer to [6].

3 IPOS-DS Key Concepts

3.1 Content Format, Lineage and Ownership

The content representation format adopted to represent objects is the DMP Content Information (DCI), as defined in the DMP Interoperable DRM Platform 3 (IDP3) [6]. This format is based on the MPEG-21 Digital Item Declaration (DID) [7], which consists in a XML-based language used to convey the object’s metadata and the content. Nevertheless, IPOS-DS has added some specifics like the use of Dublin Core (DC) terms [8] to express most of the metadata fields of the object, including the author’s identification, the use of DMP <DIDLInfo> and <DlSignature> elements to include the object’s XML Digital Signature, the use of MPEG-21 <Identifier> element to enclose the object’s unique identifier and the use of MPEG-21 <RelatedIdentifier> element to refer to the object’s ancestor. Moreover, the resource is not embedded but referenced and the IETF/W3C XML Signature approach [9] is used to convey information about the resource hash, providing the digest method and value.

On one hand, the fact that object representations are signed with the Registration service’s (see section 4.1) private key provides a means to prove content ownership, as long as this service is provided by a trusted entity. In this sense, it would be very useful that collecting societies took part in this process to provide an added value to the system, as discussed in section 7.

On the other hand, the presence of a link from any derived object towards its parent enables the possibility to trace the whole content lineage, ensuring attribution.

3.2 Potential Rights

Potential rights refer to the rights and conditions that an author offers for an object. For example, if a Creator assigns the MakeAdaptation right to a Work, it means that potentially, Adaptations can be created from that Work. Once acquired by a user, potential rights become effective rights and a specific license is generated to formalise that the user is granted certain rights over the object.

In IPOS-DS, potential rights are defined by the content Creator when registering the Work. Those rights need to include the actions that may be performed when deriving any object from the Creator’s object, as Adaptations, Instances and Copies.
When an object is registered, the potential rights are stored out of the object representation so that, whenever an author wants to modify the offered rights, there is no need to modify the registered object representation but the associated potential rights instead. It is important to note that the modification of the offered rights does not affect the effective rights acquired by users prior to the modification.

When a derived object is created, it inherits the potential rights from its ancestor, if any. However, the potential rights inside the derived object may be restricted in terms of rights and even conditions.

3.3 Content Usage Monitoring

IPOS-DS deals with content usage monitoring to enable that any author may get worldwide statistics on how the content is being used and by whom. For that purpose, an enhanced implementation of MPEG-21 Event Reporting [10] is followed.

The solution adopted in IPOS-DS consists in embedding a MPEG-21 Event Report Request (ERRs) in each registered object as a means of publicly stating the cases when Event Reports will be generated. However, in order to ensure the transmission of the request along the whole content value chain, ERRs are transmitted from one object to its derivatives. This means that an object will have not only the ERRs belonging to the object’s author, but also those corresponding to the object’s ancestry. Thus, the execution of an operation over an object may unleash the generation of several Event Reports, each of them directed to a user that corresponds to one or more of the object’s ancestors. Those reports will be collected by a Reporting Service and presented to each author in a specific section of the main web application.

3.4 Benefit from the Success of Derivatives

IPOS-DS gives authors the possibility to benefit from the success of content derived from theirs. It may occur that someone registers an adaptation which is economically much more successful than the original work. In this case, in traditional DRM systems, there will be no means for the original author to benefit from that situation. To deal with this issue, IPOS-DS has implemented a mechanism to include inside the rights expressions a condition to determine the percentage of rights that are transferred towards the derivatives of an object (i.e. the percentage of rights and incomes that the object’s author preserves over any object derived from theirs).

This restriction can be seen as an additional condition associated to the right that may be granted. We could say: The user U may exercise the right R over the object O under the condition “the author preserves a certain percentage of the rights over the derivatives of the resulting object”. This condition is slightly different from common conditions present in MPEG-21 Rights Expression Language (REL) [11] or even Open Mobile Alliance Digital Rights Management REL (OMA DRM REL) [12], based on ODRL [13]. ODRL supports Rights Holders royalty percentages, which apply as a percentage of the value of the net transaction over the object. However, our condition applies over the derived objects instead of over the object itself. Thus, it
cannot be taken into account when authorising the creation of derived objects but the creation of objects derived from the derived, i.e. a second level of derivation.

To deal with this new condition, the MPEG-21 REL, used to express potential rights and licenses in IPOS-DS, has been extended. The transferred rights over derivatives are expressed as follows: \(<\text{rightsOverDerivative}>50.0 <\text{percentage}>\text{rightsOverDerivative}>\).

The fact of preserving a percentage of the rights over the derivative objects implies that whenever a payment is cleared, as expressed in the license terms, if the licenses in the object’s value network specify any rightsOverDerivative condition, the fee needs to be distributed amongst all the authors involved in the process.

The distribution of the incomes coming from derived objects is determined by the content Creator, who registers the Work. The Creator, by setting the conditions of the rights that apply over the creation value network, is determining the minimum incomes they will perceive. The conditions set by each author will depend on their personal preferences but also on what the market is willing to pay for it.

Figure 3, illustrates how the payments should be transferred and distributed across the value network and proves the feasibility of the proposed model.

3.5 Directed Rights

Another relevant and innovative feature in IPOS-DS is the possibility to offer some rights for being acquired only to some restricted set of users.

In order to deal with directed rights, IPOS-DS provides the users a means for importing personal contacts as well as defining contact groups. These contacts and contact groups are personal and specific for each user in the system. In this way, when defining or modifying potential rights, any author will be able to select the contacts or contact groups for which the potential rights will be available for acquisition. If no contacts or contact groups are selected, then the defined potential rights will be available for everyone. Moreover, whenever a contact or contact group is removed from the author’s contacts, any potential rights including those contacts or contact groups will be automatically updated so as not to include them.

3.6 Surrogate Objects

A system such as IPOS-DS could be limited in terms of success because of the lack of original content being registered in the system. If so, any author who may want to register derived content would be blocked and could not benefit from the IPOS-DS services. Therefore, IPOS-DS is currently defining a mechanism so that any author may require the registration of a surrogate parent in the system.

We need to analyse two main situations: the case when the content is in the public domain and the case when it is not. In the first case, according to intellectual property laws, any user is free to register any derived content. Thus, the surrogate parent can be registered in the system without any special permission from the original author or their legal heirs. In the latter case, the surrogate parent can be registered for some specific cases. Instantiation is something that anyone can freely do. However, in this
latter case, there may be some limitations and restrictions derived from the original author’s moral rights. Therefore, it is foreseen that periodical reports are sent to the corresponding collecting societies so that they can take the corresponding measures.

3.7 Really Simple Syndication

IPOS-DS provides a dynamic RSS 2.0 [14] feed including metadata about the latest registered objects so that they can be traced by any feed reader or aggregator.

4 Architecture

IPOS-DS is a service-oriented architecture consisting of a main web application, accessible through a web browser and several DRM components implemented as web services. It also includes a user desktop application which renders protected content. Figure 2 depicts the overall architecture.

4.1 Applications

Web Application. It is the IPOS-DS main application from which the user can access almost all the system functionality, available in different sections, as detailed next.

- **Registration of new Objects.** In this section the user can register any kind of object. The web application provides a form where the user can fill the metadata fields of the objects, define the potential rights and attach a resource, when needed. The web application makes use of the Registration and Content services.

- **Potential Rights Modification.** This option is available for own objects and enables the user to modify the offered potential rights, as explained in section 3.2.

- **Search amongst own Objects.** In this section the user can search by any of the metadata fields of their own objects. It makes use of the Objects Search service.

- **Global Object retrieval and download.** Here the user can perform a global search amongst all the objects registered in the system by any user. For the listed result, several options are available, as e.g. view or download the object’s XML, navigate towards the object’s ancestor, if available, and acquire a license. It makes use of the Objects Search service.

- **License acquisition.** This option is accessible from the results obtained in the global object retrieval. When a user selects this option, they are redirected to a web page were they can select the rights and conditions they are interested in amongst the different options the original author made available. Once selected and purchased, a specific license is generated by the License service.

- **View acquired objects.** Once a user has acquired any licenses that enable them to exercise a right over an object, they can consult all of them in a specific section of the web application. This section also enables the user to register derived objects from those for which he owns a license that grants them the corresponding derivation right (e.g. makeAdaptation, makeInstance, etc.).
- **Search and view Reports.** The web application includes a section where the user can consult the reports collected by the Reporting service and directed to them.
- **Personal data management.** The user is able to modify their personal data and default language for the web application. It interfaces the authentication service.
- **User Groups and Contacts.** The user can define their own contacts and contacts groups used for issuing directed rights, as explained in section 3.5.

![Fig. 2. IPOS overall architecture.](image)

**Desktop User Application.** It demonstrates how resources can be rendered. Current development enforces the rights and conditions fulfilment when the rendering is attempted and opens the resource with the system’s predefined application, showing it in clear to the user. The main functionalities are:

- **Load Object.** The player opens the object and displays the metadata to the user.
- **Download Content.** Download the encrypted resource associated to the object. The player enables authenticated and authorised users to download the resource associated to the loaded object, which is encrypted using an AES block cipher.
- **Decrypt and render the resource.** Only if the user is authorised, the player will get the encryption key that can be used to decrypt the resource and render it.

### 4.2 Services

The IPOS-DS main web application interacts with different web services:

- **User Authentication and Registration Service.** This component acts as a single-sign-on access point, by issuing Security Assertion Markup Language (SAML) [15] tokens for any user or service in the system to be authenticated.
- **Content Registration Service.** It is responsible for registering the objects received after processing the registration form data in the main web application.
- **Content Service.** It consists in an application that depends directly on the IPOS-DS web application. It uses sockets instead of standard Web service calls for a better transmission performance of large resources.
- **Objects Search Service.** It provides searching features within object’s metadata.
- **License Service.** It deals with the generation and archival of licenses, which convey user’s usage rights and conditions. Moreover, it provides the collection of licenses that can be acquired by a user over an object, a list of user licenses associated to an object and the number of times a license has been used.
- **Authorisation Service.** It enforces the fulfilment of the rights and conditions expressed in licenses. It searches the applicable licenses in the license service to determine whether the user is allowed or not to perform the requested action.
- **Reporting Service.** It collects the reports about content usage, provides searching capabilities amongst the collected reports and determines payment duties.

5 Use Case

Figure 3 depicts a sample use case applied to the music composer’s collective. In the example, a Creator registers a Work, representing a music score for guitar. No license is needed for this action, as the owner of the Work is the Creator.

An Adaptor, after acquiring License 1 is able to register an Adaptation derived from the Work, representing a variation of the score for being played on the piano. An Event Report and a payment duty will be generated from the Adaptor towards the Creator. The amount will be that specified in the License 1, i.e. 10$.

An Instantiator, after acquiring License 2, registers an Instance derived from previous Adaptation, representing a specific performance of the piano score. Two Event Reports are generated: one towards the Adaptor and another one towards the Creator, as the latter is also part of the content value chain. A payment duty will be then registered from the Instantiator to the Adaptor. The amount will be that specified in License 2, i.e. 50$. Moreover, another payment duty will be generated from the Adaptor to the Creator. Therefore, the Adaptor’s incomes will be shared with the Creator according to License 1. That is, as the Instance is derived from the Adaptation and the Creator transferred only the 20% of the rights in License 1, the Creator preserves the 80% of the incomes coming from any Instance. That’s why Figure 3 depicts that 80%
of the 50$ perceived by the Adaptor is transmitted to the Creator, i.e. 40$. Finally, in a similar manner, a Producer registers a Copy, representing a product that can be commercialised corresponding to the Instance.

6 Related Work

Creative Commons (CC) [16] is a non-profit organisation devoted to expanding the range of creative works available for others to build upon legally and to share. The CC licenses enable copyright holders to grant some or all of their rights to the public while retaining others through a variety of licensing and contract schemes including dedication to the public domain or open content licensing terms. Although CC works at a different level than IPOS-DS, we can depict some differences between CC licenses and IPOS-DS potential rights. In CC, neither the creator is informed about the work being used nor can they define the fees to be cleared when creating a derived object. Moreover, in CC there is no means for author to benefit from the success of derived objects. By using IPOS-DS, any author may decide in any moment to stop offering previously determined conditions of use of their content and define a new set of potential rights to be applied from that moment onwards. Any rights acquired before the change will not be affected, but authors, by means of temporal or usage limit restrictions can always keep control of the rights they have already commercialised, avoiding e.g. an unlimited usage of their content.

ColorIURIS (CI) [16] is a proprietary system for the management and transfer of author rights. It enables the generation of legal contracts between two parties to determine the conditions under which content can be used, something useful if a legal dispute occurs. Each registered work has two associated policies identified by a colour code: one to inform about the transformation right policy and another one to inform about the copyright policy and the distribution and public communication rights. The main differences between CI and IPOS-DS are: 1) IPOS-DS controls the access to the content; 2) IPOS-DS enables to define the potential rights for the whole content value network, including future content; 3) CI cannot ensure that authors benefit from the success of derived content; 4) CI does not support the definition of directed rights; 5) IPOS-DS informs all the authors in the creation chain about content usage, while CI is limited to the owner of the content; 6) IPOS-DS is based on open standards.

7 Conclusions and Future Work

In this paper we have presented a content registration system and we have focused on innovative concepts such as the traceability of the content lineage, the definition of potential rights, the possibility of modifying or revoking the offered rights, the transmission of reporting requests to inform all the actors in the content value chain, the possibility for authors to benefit from the success of derived content and the possibility to offer some rights exclusively to some selected users. We have also depicted the IPOS-DS components and we have compared it to some related work, proving that our proposal means an important progress with respect to the state of the art.
IPOS-DS has been developed by the DMAG [2] of the Universitat Politècnica de Catalunya for the company NetPortedItems S.L. [1], responsible for its exploitation. It has been recently made accessible for the public from [1] in a pre-exploitation phase. Currently, it can be accessed after an online registration or in a trial mode.

The goal for the next months is to promote its usage amongst different user communities that may be interested in it for spreading their works and creations. One of those potential groups could be the composer’s collective, where different users with a trusted relationship use to collaborate to create, arrange and instantiate audio or audiovisual content. Another goal is the adoption of the IPOS-DS by collecting societies in different countries. It could help to spread and ease the management of content generated by the millions of creators, adaptors and instantiators around the world that currently work without the support of any collective management schema.

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References