A BATCH LEARNING VECTOR QUANTIZATION ALGORITHM FOR CATEGORICAL DATA

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Abstract: Learning vector quantization (LVQ) is a supervised learning algorithm for data classification. Since LVQ is based on prototype vectors, it is a neural network approach particularly applicable in non-linear separation problems. Existing LVQ algorithms are mostly focused on numerical data. This paper presents a batch type LVQ algorithm used for mixed numerical and categorical data. Experiments on various data sets demonstrate the proposed algorithm is effective to improve the capability of standard LVQ to deal with categorical data.

1 INTRODUCTION

Classification is a fundamental task for modeling many practical applications, e.g., credit approval, customer management, image segmentation and speech recognition. It can be regarded as a two-stage process, i.e., model construction from a set of labeled data and class specification according to the retrieved model. Kohonen’s learning vector quantization algorithm (LVQ) (Kohonen, 1997) is a supervised variant of the algorithm for self-organizing map (SOM) that can be used for labeled input data. Both SOM and LVQ are based on neurons representing prototype vectors and use a nearest neighbor approach for clustering and classifying data. So, they are neural network approaches particularly useful for non-linear separation problems. LVQ can be seen as a special case of SOM, where the class labels associated with input data are used for training. The learning process tends to perform the vector quantization starting with the definition of decision regions and repeatedly repositioning the boundary to improve the quality of the classifier. In real decision applications, LVQ is usually combined with SOM (Solaiman et al., 1994), first generating a roughly ordered map through SOM and then fine tuning the prototypes to get better classification through the competitive learning of LVQ.

Existing LVQ algorithms are mostly focused on numerical data. In this paper, the idea of the proposed algorithm originates from NCSOM (Chen and Marques, 2005), a batch SOM algorithm based on new distance measurement and update rules in order to extend the usage of standard SOMs to categorical data. In the present study, we advance the methodology of NCSOM to the batch type of learning vector quantization. We call this method BNCLVQ, performing classification task on mixed numeric and categorical data. In one batch round, the Voronoi set of each map neuron is computed by projecting the input data to its best matching unit (BMU), then the prototype is updated according to incremental learning laws depending on class label and feature type. Experiments show that the algorithm is as accurate as current state-of-the-art machine learning algorithms on various data sets.

The remaining of this paper is organized as follows. Section 2 reviews the related work. Section 3 presents a batch LVQ algorithm to handle numeric and categorical data during model training. In section 4, we evaluate the algorithm on some data sets from UCI repository. Lastly, the contributions and future improvements are given in section 5.

2 RELATED WORK

Data could be described by features in numeric and categorical (nominal or ordinal) types (Chen and Mar-
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ques, 2005). Let $n$ be the number of input vectors, $m$ the number of map units, and $d$ the number of variables. Without loss of generality, we assume that the first $p$ variables are numeric and the following $d-p$ variables are categorical. \{$\alpha^1_k, \alpha^2_k, \ldots, \alpha^d_k$\} is the list of variant values of the $k^{\text{th}}$ categorical variable (the natural order is preserved for ordinal variables). In the following description, $x_i = [x_{i1}, \ldots, x_{id}]$ denotes the $i^{\text{th}}$ input vector and $m_j = [m_{j1}, \ldots, m_{jd}]$ the prototype vector associated with the $j^{\text{th}}$ neuron. Data projection is based on the distance between input vectors and prototypes using squared Euclidean distance on numeric variables and simple mismatch measurement on categorical variables (Huang, 1998).

$$d(x_i, m_j) = \sum_{l=1}^{p} e(x_{il}, m_{jl}) + \sum_{l=p+1}^{d} \delta(x_{il}, m_{jl})$$

where

$$e(x_{il}, m_{jl}) = (x_{il} - m_{jl})^2, \delta(x_{il}, m_{jl}) = \begin{cases} 0 & x_{il} = m_{jl} \\ 1 & x_{il} \neq m_{jl} \end{cases}$$

This distance simply conjoins the usual Euclidean distance with the number of agreements between categorical variables. Complementing (Chen and Marques, 2005), this paper will also present how to handle ordinal data in BNCLVQ. However care must be taken so that measures are compatible with the Euclidean values.

SOM is composed of a regular grid of neurons, usually in one or two dimensions for easy visualization. Each neuron is associated with a prototype or reference vector, representing the generalized model of input data. Due to its capabilities in data summarization and visualization, SOM is usually used for cluster analysis. Through a non-linear transformation, the data in high dimensional input space is projected to the low dimensional grid space while preserving the topology relations between input data. That is why resulting maps are sometimes called as topological maps. NCSOM is an extension of original SOM to handle categorical data. It is performed in batch manner based on the distance measure in Equation (1) and novel updating rules. Different from traditional preprocessing approaches, the categorical mapping is done inside the SOM.

LVQ is a variant of SOM, trained in a supervised way. The prototypes define the class regions corresponding to Voronoi sets. LVQ starts from a trained map with class label assignment to neurons and attempts to adjust the class regions according to labeled data. In the past decades, LVQ has attracted much attention because of the simplicity and efficiency. The classic online LVQs are studied in literature (Kohonen, 1997). In these algorithms, the map units are assigned by class labels in the initialization and then updated at each training step. The online LVQs are sequential and sensitive to the order of presentation of input data to the network classifier (Kohonen, 1997). Some algorithms have been proposed to perform LVQ in a batch way. E.g., a batch clustering network FKLVQ (Zhang et al., 2004) fuses the batch learning, fuzzy membership functions and kernel-induced distance measures. FKLVQ is mainly used for clustering because the learning process is executed in an unsupervised way without the consideration of class label.

It is well known that LVQ is designed for metric vector spaces in its original formulation. Some efforts were conducted to apply LVQ to nonvector representations. For this purpose, two difficulties are considered: distance measurement and incremental learning laws. The batch manner makes possible to construct the learning methodology for data in non-vector spaces such as categorical data. The SOM and LVQ algorithms in batch version are proposed for symbol strings based on the so-called redundant hash addressing method and generalized means or medians over a list of symbol strings (Kohonen and Somervuo, 1998). Also, a particular kind of LVQ is designed for variable-length and feature sequences to fine tune the prototype sequences for optimal class separation (Somervuo and Kohonen, 1999).

LVQ is also a viable way to tune the SOM results for better classification and therefore useful in data mining tasks. In classification problems, SOM is firstly used to concentrate the data into a small set of representative prototypes, then LVQ is used to fine tune the prototypes for optimal separation. It was reported that LVQ is able to improve the classification accuracy of a usual SOM (Kohonen, 1997). Due to the close relation between SOM and LVQ, the strategy of categorical data processing can be adopted in LVQ for classification tasks.

3 BNCLVQ: A BATCH LVQ ALGORITHM FOR NUMERIC AND CATEGORICAL DATA

It is known that batch LVQ benefits from order insensitivity, fast convergence and elimination of learning rate influence (Kohonen, 1997). It makes possible to construct the learning methodology for data in categorical nonvector spaces. In this section, a batch LVQ algorithm for mixed numeric and categorical data will be given. Similar to NCSOM, it adopts the distance measure introduced in previous section. Before presenting the algorithm, we first define incre-
mental learning laws that will be used in the proposed BNCLVQ algorithm.

3.1 Incremental Learning Laws

Batch LVQ uses the entire data for incremental learning in one batch round. During the training process, an input vector is projected to the best-matching unit, i.e., winner neuron with the closest reference vector. Following (Kohonen, 1997) a Voronoi set can be generated for each neuron, i.e., \( V_i = \{ x_k \mid d(x_k, m_i) \leq d(x_k, m_j), 1 \leq k \leq n, 1 \leq j \leq m \} \) denotes the Voronoi set of \( m_i \). As a result, the input space is separated into a number of disjointed sets: \( \{ V_i, 1 \leq i \leq m \} \). At one training epoch, the Voronoi set is calculated for each map neuron, composed of positive examples (\( V_i^P \)) and negative examples (\( V_i^N \)) indicating the correctness of classifying. In Voronoi set an element is positive if its class label agrees with the map neuron, and negative otherwise. Positive examples fall into the decision regions represented by the corresponding prototype and consequently make the prototype move towards the input. While, negative examples fall into other decision regions and consequently make the prototype move away from the input.

The map is updated by different strategies depending on the type of variables. The update rules combine the inspiration influence of positive examples and suppression influence of negative examples to each neuron in a batch round. This is why the batch type is used here instead of online type. Assume \( m_{pk}(t) \) is the value of the \( p^\text{th} \) unit on the \( k^\text{th} \) feature at epoch \( t \). Let \( h_ip \) be the indicative function taking 1 as the value if \( p \) is the winner neuron of \( x_i \), and 0 otherwise. Also, \( s_ip \) the denotation function whose value is 1 in case of positive example, and -1 otherwise.

The update rule of reference vectors on numeric features conducts in the similar way as NCSOM. Since LVQ is used to tune the SOM result, here the neighborhood is ignored and the class label is taken into consideration. If the denominator is 0 or negative for some \( m_{pk} \), no updating is done. Thus, we have the learning rule on numeric variables:

\[
m_{pk}(t+1) = \frac{\sum_{i=1}^{n} h_ip s_ip x_i}{\sum_{i=1}^{n} h_ip s_ip}
\]

where

\[
h_ip = \begin{cases} 
1 & \text{if } p = \arg \min_{j=1}^{m} d(x_i, m_j(t)) \\
0 & \text{otherwise}
\end{cases}
\]

\[
s_ip = \begin{cases} 
1 & \text{if } label(m_p) = label(x_i) \\
-1 & \text{otherwise}
\end{cases}
\]

As mentioned above, the arithmetic operations are not applicable to categorical values. Intuitively, for each categorical variable, the category occurring most frequently in the Voronoi set of a neuron should be chosen as the new value for the next epoch. For this purpose, a set of counters is used to store the frequencies of variant values for each categorical variable, in a similar way to what was done for NCSOM algorithm. However, we have now taken into account the categorical information, so the frequency of a particular category is calculated by counting the number of positive occurrences minus the number of negative occurrences in the Voronoi set.

\[
F(\alpha_k', m_{pk}(t)) = \sum_{i=1}^{n} v(h_ip s_ip \mid x_i = \alpha_k'), r = 1,2,\ldots,m_k
\]

\[
F(\alpha_k', m_{pk}(t)) \text{ represents an absolute voting regarding each value } \alpha_k'. \text{ As in standard LVQ algorithm, this change is made to better tune the original clusters acquired from SOM to the available supervised data. For nominal features, the best category } c = \max_{k=1}^{m_k} F(\alpha_k', m_{pk}(t)), \text{ i.e., the value having maximal frequency, is accepted if the frequency is positive. Otherwise, the value remains unchanged. As a result, the learning rule on nominal variables is:}
\]

\[
m_{pk}(t+1) = \begin{cases} 
c & \text{if } F(c, m_{pk}(t)) > 0 \\
m_{pk}(t) & \text{otherwise}
\end{cases}
\]

Different from nominal variables, the ordinal variables have specific ordering of values. Therefore, the updating depends not only on the frequency of values also on the ordering of values. The category closest to the weighted sum of relative frequencies on all possible categories is chosen as the new value concerning about the natural ordering of values. So, the learning rule on ordinal variables is:

\[
m_{pk}(t+1) = \text{round}(\sum_{r=1}^{m_k} r \cdot \frac{F(\alpha_k', m_{pk}(t))}{\sum_{v=1}^{m_k} h_ip s_ip})
\]

3.2 Algorithm Description

As mentioned, the BNCLVQ algorithm is performed in a batch mode. It starts from the trained map obtained in an unsupervised way, e.g., the NCSOM algorithm. Each map neuron is assigned by a class label with a labeling schema. In this paper the majority class is used based on the distance between prototypes and input for acquiring the labeled map. Afterwards, one instance \( x_i \) is input and the distance between \( x_i \) and prototypes is calculated using Equation (1), consequently the input is projected to the closest

\[\text{Function } v(y \mid \text{COND}) \text{ is } y \text{ when COND holds and zero otherwise.}\]
prototype. After all input are processed, the Voronoi set is computed for each neuron, composed of positive examples and negative examples. Then the prototypes are updated according to Equation (2), Equation (4) and Equation (5), respectively. This training process is repeated iteratively enough iterations until the termination condition is satisfied. The termination condition could be the number of iterations or a given threshold denoting the maximum distance between prototypes in previous and current iteration. In summary, the algorithm is performed as follows:

1. Compute the trained and labeled map with prototypes: \( m_i, i = 1, \ldots, m \);
2. For \( i = 1, \ldots, n \), input instance \( x_t \) and project \( x_t \) to its BMU;
3. For \( i = 1, \ldots, m \), compute \( V_i^P \) and \( V_i^N \) for \( m_i(t) \);
4. For \( i = 1, \ldots, m \), calculate the new prototype \( m_i(t + 1) \) for next epoch;
5. Repeat from Step 2 to Step 4 until the termination condition is satisfied.

### 4 EXPERIMENTS AND RESULTS

The proposed BNCLVQ algorithm is implemented based on somtoolbox (Kohonen, 2005) in matlab running Windows XP operating system. We mainly concern about the effectiveness of BNCLVQ in classification problems. Eight UCI (Asuncion and Newman, 2007) data sets are chosen for the following reasons: missing data, class composition (binary class or multi-class), proportion of categorical values (pure categorical, pure numeric or mixed) and data size (from tens to thousands of instances). These data sets are described in Table 1, including the number of instances, the number of features (nu:numeric, no:nominal, or:ordinal), the number of categorical values (#val), the number of classes (#cla), percentage of instances in the most common class (mcc) and proportion of missing values (mv).

- soybean small data: a well-known soybean disease diagnosis data with pure categorical variables and multiple classes;
- mushroom data: a large number of instances in pure categorical variables (some missing data);
- tictactoe data: a pure categorical data encoding the board configurations of tic-tac-toe games, irrelevant features with high amount of interaction;
- credit approval data: a good mixture of numeric features, nominal features with a small number of values and nominal features with a big number of values (some missing data);
- heart disease: mixed numeric and categorical values (some missing data);
- horse colic data: a high proportion of missing values, mixed numeric and categorical values;
- zoo data: multiple classes, mixed numeric and categorical values;
- iris data: pure numeric values.

<table>
<thead>
<tr>
<th>datasets</th>
<th>#ins</th>
<th>nu</th>
<th>no</th>
<th>or</th>
<th>#val</th>
<th>#cla</th>
<th>mcc</th>
<th>mv</th>
</tr>
</thead>
<tbody>
<tr>
<td>soybean</td>
<td>47</td>
<td>0</td>
<td>35</td>
<td>0</td>
<td>74</td>
<td>4</td>
<td>36%</td>
<td>0</td>
</tr>
<tr>
<td>mushroom</td>
<td>8124</td>
<td>0</td>
<td>22</td>
<td>0</td>
<td>107</td>
<td>2</td>
<td>52%</td>
<td>1.4%</td>
</tr>
<tr>
<td>tictactoe</td>
<td>958</td>
<td>0</td>
<td>9</td>
<td>0</td>
<td>27</td>
<td>2</td>
<td>65%</td>
<td>0</td>
</tr>
<tr>
<td>credit</td>
<td>690</td>
<td>6</td>
<td>9</td>
<td>0</td>
<td>36</td>
<td>2</td>
<td>56%</td>
<td>1.6%</td>
</tr>
<tr>
<td>heart</td>
<td>303</td>
<td>5</td>
<td>2</td>
<td>6</td>
<td>20</td>
<td>2</td>
<td>55%</td>
<td>1%</td>
</tr>
<tr>
<td>horse</td>
<td>368</td>
<td>7</td>
<td>15</td>
<td>0</td>
<td>53</td>
<td>2</td>
<td>63%</td>
<td>30%</td>
</tr>
<tr>
<td>zoo</td>
<td>101</td>
<td>1</td>
<td>15</td>
<td>0</td>
<td>30</td>
<td>7</td>
<td>41%</td>
<td>0</td>
</tr>
<tr>
<td>iris</td>
<td>150</td>
<td>4</td>
<td>0</td>
<td>0</td>
<td>-</td>
<td>3</td>
<td>33%</td>
<td>0</td>
</tr>
</tbody>
</table>

To ensure all features have equal influence on distance, numeric features are normalized to unity range. In our experiments we set termination condition as 50 iterations. For each data set, the experiments are performed in the following way:

1. The data set is randomly divided into 10 folds: 9 folds are used for model training and labeling, and the remaining is used for performance validation.
2. In each trail, the map is trained with the training data set in an unsupervised manner by NCSOM algorithm, and then labeled by the majority class according to the known samples in a supervised manner.
3. BNCLVQ is applied to the resultant map in order to improve the classification quality.
4. For validation, each sample of the test data set is compared to map units and assigned by the label of BMU. In order to avoid the assignment of an empty class, unlabeled units are discarded from classifying. Then the performance is measured by classification accuracy, i.e., the percent of the observations classified correctly.
5. Cross-validation is used with 10 random subsamples for computing final accuracy average and standard deviation results.

As other ANN models, LVQ is sensitive to some parameters in which map size is an important one. In Table 2, we investigate the effect of map size to the resulted classification precision. Four kinds of maps are studied for comparison (Kohonen, 2005): ‘middle’ map is determined by the number of instances with the side lengths of grid as the ratio of two biggest
Table 2: Effect of map size to precision.

<table>
<thead>
<tr>
<th>datasets</th>
<th>tiny(%)</th>
<th>small(%)</th>
<th>middle(%)</th>
<th>big(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>train</td>
<td>test</td>
<td>train</td>
<td>test</td>
</tr>
<tr>
<td>soybean</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>mushroom</td>
<td>92</td>
<td>91</td>
<td>96</td>
<td>96</td>
</tr>
<tr>
<td>tictactoe</td>
<td>73</td>
<td>75</td>
<td>83</td>
<td>75</td>
</tr>
<tr>
<td>credit</td>
<td>85</td>
<td>83</td>
<td>86</td>
<td>82</td>
</tr>
<tr>
<td>heart</td>
<td>86</td>
<td>80</td>
<td>86</td>
<td>78</td>
</tr>
<tr>
<td>horse</td>
<td>83</td>
<td>81</td>
<td>84</td>
<td>84</td>
</tr>
<tr>
<td>zoo</td>
<td>82</td>
<td>81</td>
<td>90</td>
<td>89</td>
</tr>
<tr>
<td>iris</td>
<td>95</td>
<td>97</td>
<td>97</td>
<td>96</td>
</tr>
</tbody>
</table>

Table 3: Average values of precision and standard deviation.

<table>
<thead>
<tr>
<th>datasets</th>
<th>map size</th>
<th>train accuracy(%)</th>
<th>test accuracy(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>NCSOM</td>
<td>BNCLVQ</td>
</tr>
<tr>
<td>soybean</td>
<td>[7 5]</td>
<td>100±0</td>
<td>98±2</td>
</tr>
<tr>
<td>mushroom</td>
<td>[14 8]</td>
<td>96±1</td>
<td>95±4</td>
</tr>
<tr>
<td>tictactoe</td>
<td>[13 11]</td>
<td>80±2</td>
<td>76±3</td>
</tr>
<tr>
<td>credit</td>
<td>[17 7]</td>
<td>85±1</td>
<td>80±4</td>
</tr>
<tr>
<td>heart</td>
<td>[10 8]</td>
<td>87±2</td>
<td>78±9</td>
</tr>
<tr>
<td>horse</td>
<td>[11 8]</td>
<td>83±1</td>
<td>79±10</td>
</tr>
<tr>
<td>zoo</td>
<td>[8 6]</td>
<td>99±1</td>
<td>99±3</td>
</tr>
<tr>
<td>iris</td>
<td>[16 3]</td>
<td>98±1</td>
<td>96±3</td>
</tr>
</tbody>
</table>

Eigenvalues; 'small' map has one-quarter neurons of 'middle' one; 'tiny' map has half neurons of 'small' one; 'big' map has four times neurons of 'middle' one. As the map enlarges from 'tiny' to 'middle', both training precision and test precision improve significantly for most data sets (e.g., test precision increases from 81% to 99% for zoo and 75% to 85% for tictactoe, while soybean and iris are less sensitive to the change of map size). Further enlarging the map increases the precision in training set, but the test set precision becomes worse or unchanged, indicating the map is overfitting. It is shown that the maps in middle size are best for generalization performance except on iris data, which achieves desirable precision using only a 'tiny' map of 2 by 2 units. In the following experiments, we choose the map of middle size.

As summarized in Table 3, the results show the potential of BNCLVQ compared with NCSOM in improving the accuracy on both training data and test data for not only data sets of pure categorical variables (e.g., soybean, mushroom and tictactoe) but also those of mixed variables (e.g., credit, heart, horse and zoo). Typically, BNCLVQ achieves an increase up to 9% in classification accuracy in tictactoe dataset, when comparing with using only NCSOM majority class for classification. The results where performance is almost equivalent are the soybean and iris data sets. Probably, actually performance on these datasets is already near reported maximum precisions after running NCSOM. Also, as it was just verified in Table 2, in BNCLVQ the simpler iris dataset is presenting overfitting with the 'middle' map size (used for all datasets in this experiment). Performance on BNCLVQ networks is always better than the one of NCSOM on all datasets without overfitting maps. This gives evidence in favor of the validity of the approach for refining hybrid SOM maps.

As mentioned previously, SOMs are very useful for data mining purposes. So, we have also analyzed our results from the visualization point of view. As an illustrative example we present the output map for credit data in Figure 1. Due to the topology preserving property of SOM, class regions are usually composed of neighboring prototypes of small u-distance (the average distance to its neighboring prototypes) values. The histogram of neurons contains the composition of patterns presented to the corresponding prototypes. Although neurons of zero-hit have no representational capability of patterns, they help to discover the boundary of class regions. From the visualization of u-distance and histogram, it becomes easy to detect the separability of classes. Figure 1 shows the u-distance and histogram chart of trained map for credit data obtained by NCSOM and BNCLVQ respectively. Each node has an individual size proportional to its u-distance value with slices denoting the percentage of two classes contained. It is observed that BNCLVQ is able to improve the separation between two class re-
gions (‘approval’ and ‘rejection’) represented by prototypes, showing the capability of BNCLVQ for better class discrimination.

Figure 1: Histogram visualization on credit data.

We also study the convergence properties of BNCLVQ algorithm on the data sets mentioned above. In this experiment, the convergence was measured as the overall distance in prototypes between the current iteration and the previous iteration: 

\[
\text{od}(t) = \sum_{i=1}^{m} d(m_i(t-1), m_i(t))
\]

As observed in Figure 2 and Figure 3, the evolution of prototypes reflects the significant tendency of convergence. The distance decreases rapidly in the beginning, and tends to be more stable after a number of iterations (less than 50 iterations for these data sets). The convergence speed depends on the size of data, number of variables and specific properties of data distribution. For example, it takes only 3 iterations to converge for soybean data. However for heart data, the variation of prototypes can be regarded as stable after 30 iterations.

Finally, for better comparison with other approaches the performance of proposed algorithm is compared with some representative algorithms for supervised learning. Six representatives implemented by Waikato Environment for Knowledge Analysis (WEKA) (Witten and Frank, 2005) with default parameters are under consideration:

- Naive Bayes (NB): a well-known representative of statistical learning algorithm estimating the probability of each class based on the assumption of feature independence;
- Sequential minimal optimization (SMO): a simple implementation of support vector machine (SVM). Multiple binary classifiers are generated to solve multi-class classification problems;
- K-nearest neighbors (KNN): an instance-based learning algorithm classifying an unknown pattern to its nearest neighbors in training data based on a distance metric (the value of k was determined between 1 and 5 by cross-validation evaluation);
- J4.8\(^2\): the decision tree algorithm to first infer a tree structure adapted well to training data then prune the tree to avoid over-fitting;
- PART: a rule-based learning algorithm to infer rules from a partial decision tree;
- Multi-layer perceptron (MLP): a supervised artificial neural network with back propagation to explore non-linear patterns.

We should stress that this comparison may be unfair to LVQ. Indeed, as discussed, LVQ is mainly a projection method that can also be used for classification purposes. So, for the sake of comparison, the

\(^2\)A Java implementation of popular C4.5 algorithm.
Table 4: Accuracy ratio comparison (the value of k is given for KNN).

<table>
<thead>
<tr>
<th>datasets</th>
<th>Naive Bayes</th>
<th>SMO</th>
<th>SVM</th>
<th>KNN</th>
<th>J4.8</th>
<th>PART</th>
<th>MLP</th>
<th>LVQ (1)</th>
<th>BNC LVQ</th>
</tr>
</thead>
<tbody>
<tr>
<td>soybean</td>
<td>100</td>
<td>100</td>
<td></td>
<td></td>
<td>98</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>mushroom</td>
<td>93</td>
<td>99</td>
<td>99</td>
<td></td>
<td>85</td>
<td>95</td>
<td>97</td>
<td>96</td>
<td>85</td>
</tr>
<tr>
<td>tictactoe</td>
<td>78</td>
<td>85</td>
<td>85</td>
<td>(5)</td>
<td>77</td>
<td>80</td>
<td>79</td>
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<td>82</td>
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<tr>
<td>credit</td>
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<td>83</td>
<td>(5)</td>
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<td>85</td>
<td>80</td>
<td>79</td>
<td>84</td>
</tr>
<tr>
<td>heart</td>
<td>78</td>
<td>83</td>
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<td>(4)</td>
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</table>

standard LVQ is also tested. For doing so, categorical data are preprocessed by translating each categorical feature to multiple binary features (i.e., the standard approach for applying LVQ to mixed datasets).

The summary of results is given in Table 4. For each data set, the best accuracy is emphasized by bold. The high-bias performance of naive bayesian can be explained by the assumption of single probability distribution (Kotsiantis, 2007). On the contrary, the other algorithms have high-variance property to different data sets. Although BNCLVQ is not the best one for all data sets, it produces desirable accuracy in most cases, especially on data of mixed types. Also, BNCLVQ is always better than standard LVQ, the only exception on tictactoe data is probably caused by the presence of interaction between features (Stephen, 1999). In (Matheus, 1990), tictactoe original features were regarded as primary and the inclusion of domain knowledge and feature generalization improved classification accuracy in a very meaningful way. So, BNCLVQ seems to be more sensible to some bad encodings of features than standard LVQ. Same pattern is also observed when comparing J4.8 and PART precision. This latest result points to some sort of overfitting resulting from tictactoe features. Since, like in decision trees, BCLVQ is a data visualization method, maybe the same kind of pattern is present. However, further research needs to be preformed on this dataset to confirm these hypothesis (Bader et al., 2008).

The robustness of a particular method means how well it performs in different situations. To compare the robustness of these classification methods, the relative performance $b_m$ on a particular data set is calculated as the ratio of its accuracy and the highest accuracy among all the compared methods (Geng et al., 2005). A large value of the criterion indicates good robustness. The robustness distribution is shown in Figure 4 for each method over the 8 data sets in a stacked bar. As shown, BNCLVQ achieves a high summed value only next to SMO and KNN (In fact, the $b_m$ is equal or close to 1 on all the data sets except tictactoe), which means BNCLVQ performs well in different situations.

5 CONCLUSIONS

Learning vector quantization is a promising and robust approach for classification modeling tasks. Although originally designed in metric vector spaces, LVQ could be performed on non-vector data in a batch way. In this paper, a batch type LVQ algorithm capable of dealing with categorical data is introduced.

SOM topological maps are very effective tools for representing data, namely in data mining frameworks. LVQ is, by itself, a powerful method for classifying supervised data. Also, it is the most suitable method to tune SOM topological maps to supervised data. Unfortunately original LVQ can not handle categorical data in a proper way. In this paper we show that BNCLVQ is a feasible and effective alternative for extending previous NCSOM to supervised classification on both numeric and categorical data. Since BNCLVQ is performed on an organized map, only a limited number of known samples is needed for the fine-tuning and labeling of map units. Therefore, BNCLVQ is a suitable candidate for tasks in which scarce labeled data and abundant unlabeled data are available. BNCLVQ is also easy for parallelization (Silva and Marques, 2007) and can be applicable in frameworks with very large datasets.
Moreover, BNCLVQ is easily extended to fuzzy case to solve the prototype under-utilization problem, i.e., only the BMU is updated for each input (Zhang et al., 2004), simply replacing the indicative function by the membership function (Bezdek, 1981). The membership assignment of fuzzy projection implies the specification to classes, and can be used for the validity estimation of classification (Vuorimaa, 1994).

In the future work, the impact of overfitting problem will be further studied using early stopping strategy on an independent data set and the benefit of fuzzy strategies in BNCLVQ will be investigated by cross-validation experiments on both UCI data sets and state-of-art real world problems. In a first real world case study, we are currently applying NCSOM topological maps to fine tune mixed numeric and categorical data in a natural language processing problem (Marques et al., 2007). In this domain we have some pre-labeled data available and NCSOM is helping to investigate accurateness and consistency of manual data labeling. However, already known correct cases (and possible some previously available prototypes) should be included in the previously NCSOM trained topological map. For that we intend to use BNCLVQ as the appropriate tool. According to our results, BNCLVQ can achieve good precision in most domains. Moreover BNCLVQ is more than a classification algorithm. Indeed BNCLVQ is also a fine-tuning tool for topological features maps, and, consequently, a tool that will help the data mining process when some labeled data is available.

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REFERENCES


