Keywords: Debloking, flat areas, grey level gradation, low-cost, objective metrics.

Abstract: The quantization of data from individual block-based Discrete Cosine Transform generates the blocking effect estimated as the most annoying compression artefact. It appears as an artificial structure caused by noticeable changes in pixel values along the block boundaries. Due to the masking effect, the blocking artefact is more annoying in flat areas than in textured or detailed areas. Existing low-cost algorithms propose strong low-pass filters to correct this artefact in flat areas. Nevertheless, they are confronted to a limitation based on their filter length. This limitation can introduce other artefacts such as ghost boundaries. We propose a new principle to detect and correct the boundaries on flat areas without being limited to a fixed number of pixels. This principle can be easily implemented in a low-cost post processing algorithm and completed with other corrections for perceptible boundaries on non-flat areas. This new method produces results which are perceived as more pleasing for the human eye than the other traditional low-cost methods.

1 INTRODUCTION

The quantization of data from individual block-based Discrete Cosine Transform generates the blocking effect estimated as the most annoying compression artefact. The blocking artefact appears as an artificial structure caused by noticeable changes in pixel values along the block boundaries. Sometimes masked by textured areas, the block boundaries are particularly detected by the human eye on homogeneous or flat areas.

The blocking effect is well known and lots of corrections have been proposed. Among them, methods based on wavelet representation (Gopinath, 1994), on the Markov random fields, or on projection on convex set (Yang, 1995), need high computation time and memory. For real-time video processing, methods such as adaptive filters are more suitable. However, some of these methods are limited because they need to know the DCT coefficients (Chou, 1998) or the information coming from the decoder such as the quantization parameter (List, 2003). To cover the largest possible domain, we target our study to a post-processing deblocking with the pixels value as the only available input data. In this case of post-processing real-time corrections, possibilities are limited and existing algorithms are generally divided into two steps (Kuo, 1995): a first step to localize the perceptible boundaries and a second step to suppress these boundaries. The detection step is made by an analysis of the pixels values on both sides of the boundaries. Then, according to this local pixel information around the block boundary, an adaptive filter is chosen for the correction step. Unfortunately, this kind of correction has a limitation due to the risk of overlapping between input data to be corrected in current block and already corrected data from previous block. Figure 1 illustrates this phenomenon and shows that the number of pixels used to process the detection and the correction step is limited to the half length of a block. For example, to correct the boundary B_{n+1}, we need to analyse the block Blk_{n+1} which can have been...
modified during the correction of the boundary $B_n$. By using the half length of the block, existing algorithms avoid the phenomenon of overlapping between two consecutive processes.

![Diagram of Vertical block boundary]

Figure 1: Traditional method to process a boundary.

After compression, flat areas are represented by several homogeneous blocks. For a perceptible boundary between two homogeneous blocks, the major consequence of the limitation described above is the apparition of two ghost boundaries on both side of the initial boundary at the start and the end positions of the correction. Figure 2 illustrates this problem. Even if these ghost boundaries are less perceptible than the initial one, they remain an artificial structure which is annoying for the eye. Averbuch et al (2005) propose to solve the problem of ghost effect in flat areas using an iterative principle based on varying size filters but still limited to a size of eight. Even if this method has a good performance, we focus our study on a non-iterative and more simple method based on a simple observation: the ghost boundaries disappear if the correction is done on all pixels of the blocks.

![Diagram of Ghost boundaries]

Figure 2: The ghost boundaries $G_{n,L}$ and $G_{n,R}$ after filtering the initial boundary $B_n$.

In case of high compression rates, data are so compressed that pixels value of homogenous blocks converge to the same one. Consequently, in flat areas, homogeneous blocks merge and form a larger uniform block without intermediate boundaries. Figure 3 shows that for high compression rates, there are less boundaries but they are more perceptible. If the boundaries are more perceptible, we can imagine that the ghost boundaries made by a correction on a fixed number of pixels will be highly perceptible and very annoying for the eye. This phenomenon of block merging has already been taken into account (Pan, 2004) to refine quality metrics for high compression rates. In the same way, if we localize invisible boundaries caused by the block merging, we will have more pixels available and we could apply a stronger correction in order to avoid the ghost boundaries apparition.

![Images of compression results]

Figure 3: On the left, compression at 0.6 bpp, on the right, compression at 0.4 bpp: for high compression, they are less boundaries but highly perceptible.

By using the maximum number of pixels available to correct a perceptible boundary, we propose a new solution to remove the blocking artefacts on flat areas without creating ghost boundaries.

In section 2, we explain our methods a) to detect boundaries between two homogenous blocks, b) to find invisible boundaries caused by the block merging phenomenon and c) to explain the ideal adaptive filter to correct these boundaries. In section 3, we describe how to implement these methods in a low-cost algorithm by taking into account the phenomenon of overlapping. Section 4 illustrates the improvements on experimental results. Section 5 provides the conclusion of this paper.

## 2 METHODS TO DETECT AND CORRECT THE BLOCKING ARTEFACT ON FLAT AREAS

We consider the case of boundaries localized on a regular 8x8 grid because digital video compression algorithms like MPEG-1, MPEG-2 or H263 use a size of block of 8x8 pixels. Our principle could be easily adapted for a size of blocks of $8^*4$ or $4^*4$ pixels for compression algorithms like MPEG4 or H264 but in the case of a shift grid due to a resizing, a step to detect the boundaries position would be necessary. We illustrate our purposes only on the vertical boundaries but the principle is the same for the horizontal boundaries.
2.1 Detection of Boundaries between Two Homogeneous Blocks

To detect a boundary between two homogenous blocks, we analyse the content of the blocks areas on both side of the boundary. Figure 4 illustrates the detection steps.

1st step: On each line of the array $f$ which represents a pair of blocks, we make the absolute difference $\text{abs}_{\text{diff}}$ between neighbouring pixels to distinguish flat from textured areas.

$\text{rest}(j) = \sum_{j=0}^{j_{res}} \text{abs}_{\text{diff}}(i,j)$

2nd step: Then, by summing up the results over the lines for each column, we are able to analyze the global visibility of the boundary between two blocks.

The left area is a homogeneous block if:

\[ \sum_{j=0}^{j_{res}\text{LeftArea}} = 0 \] (1)

The right area is a homogeneous block if:

\[ \sum_{j=0}^{j_{res}\text{RightArea}} = 0 \] (2)

The boundary is perceptible if:

\[ 0 < \text{Bound} = \text{rest}(7) < \text{Treshold} \] (3)

Over a range, the boundary is too perceptible to be an artefact compression that is why the threshold is used to not confuse the block boundaries with the edges of the image content.

Finally, a boundary is defined as a perceptible one between two homogeneous blocks if:

\[ \text{LeftArea} = 0 \]
\[ \text{RightArea} = 0 \]
\[ 0 < \text{Bound} < \text{Treshold} \] (4)

2.2 Detection of Invisible Boundaries Due to the Merging Block Phenomenon

As we explain in the introduction, the large block phenomenon is the result of a high compression: neighbouring blocks merge and intermediate boundaries become invisible. Consequently, the major challenge to detect the large blocks without intermediate boundaries consists in not confusing them with the homogeneous regions of the initial frame.

To be considered as an invisible boundary due to the merging blocks phenomenon, this boundary must be in a highly compressed region. Consequently, we define a large block (Figure 5) as one or several invisible boundaries $I_{n,k}$ following a perceptible boundary $B_n$ between two homogeneous blocks.

\[ \text{B}_n \text{ is a perceptible boundary between two homogeneous blocks } \]
\[ I_{n,1}, \ldots, I_{n,k} \text{ are invisible boundaries} \] (5)

Then, we declare that the invisible boundaries $I_{n,k}$ result from the block merging if:

\[ B_n \text{ is a perceptible boundary between two homogeneous blocks } \]
\[ I_{n,1}, \ldots, I_{n,k} \text{ are invisible boundaries} \] (6)

2.3 Correction of Boundaries between Two Homogeneous Blocks

A boundary between two homogeneous blocks is perceptible because the grey level $g_{\text{left}}$ of the left block and the grey level $g_{\text{right}}$ of the right block are different. Existing corrections are limited because the absolute difference between $g_{\text{left}}$ and $g_{\text{right}}$ could be so high that a filter on eight pixels is not sufficient and can introduce ghost boundaries.

We propose a correction which consists in spreading the gradation defined by the absolute difference between $g_{\text{left}}$ and $g_{\text{right}}$ on the maximum number of available pixels. This correction is introduced by the equation (7) where $f_{\text{cor}}(i,j)$ is the correction of each pixel $(i,j)$ of the two adjacent blocks, $S_B$ is the size of available pixels (16 in case of blocks of $8 \times 8$ pixels) and $g_{\text{left}}$ and $g_{\text{right}}$ are the respective grey levels of the left and the right blocks.

\[ f_{\text{cor}}(i,j) = \frac{1}{S_B} \sum_{j=0}^{S_B-1} \text{abs}_{\text{diff}}(i,j) \]

for $j=0$ to $(S_B-1)$ and for $i=0$ to 7:
Figure 6 shows the result obtained by using a) a correction limited on a fixed number of pixels and b) our correction. We observe that ghost boundaries $G_{n,L}$ and $G_{n,R}$ have disappeared and the gradation between $g_{left}$ and $g_{right}$ is better spread.

2.4 Correction of Boundaries Using the Large Block Detection

The more the compression rate increases, the more the absolute difference between $g_{left}$ and $g_{right}$ is high and the more we need available pixels to spread the gradation. By detecting the invisible boundaries following a perceptible boundary (the block merging phenomenon), we dispose to more available pixels to spread the correction. The process until now limited to 16 pixels can be extended to a number of pixels proportional to the number of invisible boundaries. The correction is traduced in the equation (8) where $S_{LB}$ is the size of the large block.

\[ f_{corr}(i,j) = \frac{((S_{LB}-1)-j) \times g_{left} + j \times g_{right}}{(S_{LB}-1)} \]

for $j=0$ to $(S_{LB}-1)$ and for $i=0$ to 7:

Figure 7 shows the real improvement of the correction when we use all available pixels compared to the corrections limited to a fixed number of pixels.

3 PROPOSAL FOR A LOW-COST IMPLEMENTATION

We propose in this section to include our methods of detection and correction in a low-cost algorithm able to take into account the overlapping phenomenon. Figure 8 illustrates the steps presented in the subsections 3.1 and 3.2.

3.1 Avoid the Overlapping Problem for the Boundaries Detection

We consider $Blk_i$ as the left block, $Blk_{i+1}$ as the right block and $B_n$ the boundary between $Blk_i$ and $Blk_{i+1}$. We suppose that the boundary $B_n$ is a perceptible one between the two homogeneous blocks $Blk_i$ and $Blk_{i+1}$ (Figure 8a). In this case, we apply a correction on all available pixels using the equation (7). We obtain the two corrected blocks $Blk_i$ and $Blk_{i+1}$ (Figure 8b). Moreover, we indicate into a flag that the corrected boundary $B_n$ was a perceptible one between two homogeneous blocks. To correct the next boundary, the algorithm makes a switch of one block. In this way, the algorithm studies the boundary $B_{n+1}$ between the block $Blk_{n+1}$ and the block $Blk_{n+2}$ (Figure 8c). If $Blk_{n+2}$ is an homogeneous block, we look for the flag. If the flag indicates that the last correction was between two homogeneous blocks, we know that before the last correction, $Blk_{n+1}$ was homogenous. So, we have to consider $Blk_{n+1}$ and $Blk_{n+2}$ as two homogenous blocks. The next step consists in computing the visibility of the boundary so we have to compute the variable $Bound$ (3). $Bound$ is the result of the absolute difference

35
between the last column of Blk_{n+1} and the first column of Blk_{n+2}. Even if the pixels of the block Blk_{n+1} have been modified during the last correction, our correction has not changed the last column of Blk_{n+1}.

Before the correction:

\[ \text{Blk}_{n+1}(i,7) = g_{right} \]

By applying the equation (7), we obtain the correction:

\[ \text{Blk}_{n+1}(i,7) = f_{corr}(i,15) = \frac{15g_{left} + 15g_{right}}{15} = g_{right} \]

The compute of \( \text{Bound} \) is not biased by the correction made on the 16 pixels. The value of \( \text{Bound} \) reveals now if the boundary \( B_{n+1} \) is perceptible or not.

### 3.2 Avoid the Overlapping Problem for the Boundaries Correction

To continue the previous example, we will see how we take into account the overlapping problem for the correction step.

#### 3.2.1 The Boundary \( B_{n+1} \) is Perceptible

If \( B_{n+1} \) is detected as a perceptible boundary between two homogenous blocks (4), we apply the equation (7) to spread the gradation between the new \( g_{left} \) and \( g_{right} \) (Figure 8d).

But we have to slightly modify the equation to be sure to represent all grey levels of the initial blocks. In fact, if \( g_{left} < g_{middle} < g_{right} \) or \( g_{left} > g_{middle} > g_{right} \), there is no problem because to spread the gradation between \( g_{left} \) and \( g_{right} \), we meet \( g_{middle} \). But if \( g_{left} < g_{middle} < g_{right} \) or \( g_{left} > g_{middle} > g_{right} \), \( g_{middle} \) would be not represented.

Consequently, we modify the equation by adding the intermediate grey level \( g_{middle} \):

\[
\text{for } i = 0 \text{ to } 7: \\
\text{if } g_{left} \neq g_{middle} \text{ or } g_{middle} \neq g_{right} \\
\]

\[
f_{corr}(i,j) = \frac{(S_n/2 - 1 - j) \times g_{left} + j \times g_{right}}{S_n/2 - 1} \\
\text{for } j = 0 \text{ to } S_n/2 - 1 \\
\]

\[
f_{corr}(i,j) = \frac{(S_n/2 - 1 - j) \times g_{middle} + j \times g_{right}}{S_n/2 - 1} \\
\text{else } \\
\text{for } j = 0 \text{ to } S_n - 1 \\
\]

end

Figure 8 illustrates the two cases corrected with a) methods limited on a fixed number of pixels and b) our method. We observe that our method does not introduced ghost boundaries and make a better gradation of all grey levels.

#### 3.2.2 The Boundary \( B_{n+1} \) is Invisible

We suppose now that \( B_{n+1} \) is detected as an invisible boundary between two homogenous blocks (5). To be conform with our definition of a large block (Figure 5), we have to look at the type of the previous boundary to decide of the next step. If the \( \text{flag} \) is equal to 0, it means that the previous boundary was not between two homogenous blocks. The \( \text{flag} \) stays at 0 and there is no correction. On the contrary, if the \( \text{flag} \) is equal to 1, we understand that the last boundary was a perceptible one between two homogenous blocks. In this case, we define \( B_{n+1} \) as the first invisible boundary \( I_{n,1} \) of a large block (Figure 10). We apply the correction of the equation (7 or 9) to spread the gradation between \( g_{left} \) and \( g_{right} \).
This correction (Figure 10b) is slightly less performed than the correction proposed in section 2.4 represented on Figure 10c. But we propose a low-cost algorithm which make a one pass processing on each pair of blocks. To apply the ideal correction (section 2.4), we have to compute the number of invisible boundaries and then to apply the correction: this is impossible for a one pass algorithm.

3.3 The Large Block Size

The threshold referred in the equation (3) of the subsection 2.1 is used to distinguish an edge from a block boundary. After several tests, we limited it to a difference near from 24 grey levels. For this reason, we estimate that a size of three blocks (24 pixels in our case) is sufficient to make a good correction without introduce ghost boundaries for a large block correction. In this way, flag=0 after an invisible boundary detection. Nevertheless, this number can become insufficient for future implementation where more than 8 bits would be used for the coding of the pixel component values. In this case, the detection of more than one invisible boundary can be useful.

3.4 Horizontal Boundaries and Chrominance Component

In order to propose a low-cost algorithm, we do not apply the large block correction for horizontal boundaries. It is a means to avoid a complex control of another variable flag for horizontal boundaries. This limitation has not major consequences because the large block is detected in most of cases on homogeneous areas such as sky or sea where the light has a tendency to be horizontally diffused. In the same way, this kind of landscape has one hue and variations are represented with the luminance gradation. Consequently, the large block correction is not necessary for the chrominance component.

3.5 Flow Chart of the Algorithm

To summarize those steps, we propose the flow chart of this algorithm (Figure 11) with the sections and the equations references. Moreover, we add in this algorithm the possibility to combine other corrections corresponding to perceptible boundaries between textured or containing edges areas.

4 RESULTS

We propose to compare the results of “traditional methods” which are low-cost algorithms based on a fix number of pixels to “our method” which use all available pixels. To represent the traditional methods, we apply the same filter of our method but only on four pixels on each side of the boundary. This test is made for pictures with more or less flat areas at different compression rates.

4.1 Visual Results

On Figure 12, we show from left to right the uncompressed pictures, the compressed pictures, pictures corrected with traditional method and pictures corrected with our method. For the first picture A1, the blocking artefact is very annoying. Traditional methods correct the block boundaries but we perceive again a blocking artificial structure due to the ghost boundaries. Our method proposes a correction perceived as more pleasing because it does not introduce any new artificial block structure. For the same picture A2 which is less compressed, we have the same quality of results as the traditional methods. We note for this picture that the initial blocking artefact was not very annoying. For the
third picture B, we note the real improvement given by our method. This picture has a large flat area that is why our principle of correction is very suitable (the correction would be better if we apply the correction in the ideal case as we explain at the end of the subsection 3.2.2). Then, the last picture C is highly compressed but on small flat areas. Consequently, the blocking artefact appears less perceptible than on A1 or on B. We note that our method is slightly better compared to traditional methods, since the artificial structure of corrected boundaries does not appear.

### 4.2 Objective Metrics

We analyse the results of our method with two objective metrics. First, we use the traditional PSNR. As the PSNR is a reference metric, we compare the compressed and the two corrected pictures to the original uncompressed picture.

<table>
<thead>
<tr>
<th>Image</th>
<th>Compressed</th>
<th>Traditional Method</th>
<th>Our Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>A1</td>
<td>19.34</td>
<td>19.58</td>
<td>19.56</td>
</tr>
<tr>
<td>A2</td>
<td>19.34</td>
<td>21.11</td>
<td>21.12</td>
</tr>
<tr>
<td>B</td>
<td>20.17</td>
<td>20.28</td>
<td>20.28</td>
</tr>
<tr>
<td>C</td>
<td>24.09</td>
<td>24.47</td>
<td>24.46</td>
</tr>
</tbody>
</table>

Table 1 shows the benefit of the corrections with the increase in PSNR values. Nevertheless, the PSNR does not distinguish the two methods in term of quality. This limitation is explained by the fact that the PSNR is an algebraic measure for the quality of the reconstruction of an image. In our case, a lot of information has been lost during the compression step and we try to obtain the reconstruction the more pleasing for the human perception. In our case, the PSNR is thus not well adapted.

Then, we confront the methods by using a no-reference metric only focusing on the block boundary visibility (Wang, 2002). This metric ranges from 0 to 10 which are respectively the worst and the best quality. As for the PSNR, the benefits of the corrections appear in the results but this metric does not distinguish the two methods either (Table 2). We may infer that the Pan metric (Pan, 2004), which may be better adapted to our situation thanks to its large block consideration, would give scores for the compressed pictures that are better correlated with the human perception. But this metric will not distinguish the two methods either. In fact, these methods measure the visibility of the boundary on the initial grid position (every 8*8 pixels in most cases) that is why they are not able to distinguish the improvement of our method. To give an objective score of the visual improvement, we have to use a metric able to localize all artificial structures included the ghost boundaries.

### 5 CONCLUSION

We propose an algorithm able to improve the deblocking correction on flat areas. The new principle of our method is to use the maximum number of available pixels to correct a perceptible boundary. Using this method, we improve the gradation between different grey levels without introduce ghost boundaries. We focus our study only on this artefact because it is very annoying for the eye and experienced as the major principle limitation of all traditional deblocking corrections. Our algorithm is a low-cost one, thanks to the facts that it is a one pass algorithm and that calculations used to detect and correct the boundaries are very simple. Visual results show the real improvement of our algorithm on pictures containing flat areas and the benefits of a large block correction for high compression rates. Moreover, this principle can be very favourable for future implementation where more than 8 bits would be used for the coding of the pixel component values. Finally, even if the visual results show that our method gives results more pleasing for the eye, we underline the difficulty to characterize this perceptual improvement with currently available objective metrics. These metrics are not very well correlated with the human perception because they do not take into account other artificial structure such as ghost boundaries which are also annoying for the human eye. Further experiments are currently in progress to include this new characteristic in an objective metric and to correlate the results with subjective tests.

### REFERENCES


Figure 12: From left to right: uncompressed picture, compressed picture, traditional methods, our method.