FAULT MAINTENANCE IN EMBEDDED SYSTEMS APPLICATIONS

Multiple Lift Control System as Safety Critical Embedded Application

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Abstract: This paper describes principles of a designed multiple lift control system based on a dedicated embedded architecture. After reviewing dependable concepts used, the main attention is focused on the design of hardware architecture, software, and communication services and protocols fitting the application requirements. The multiple lift control system presents in this case a real-world solution of a safety critical embedded system application. The design employs a fail-stop safety model and dedicated distributed architecture to meet application requirements efficiently. The paper stresses those features that distinguish the real project from a demonstration case study.

1 INTRODUCTION

Requirements on current embedded system applications include both functional and non-functional requirements on real-time behaviour and safety properties (Leveson, 1984) that can be formally specified and verified or, at least, properly explored before they are designed in detail and implemented. After reviewing utilized dependable concepts, the main attention of this paper is focused on hardware and software architecture of the developed system, and on communication services fitting the application domain.

2 DEPENDABILITY

The design of current embedded system applications should consider not only functionality but also dependability measures. Functionality means services delivery in the form and time fitting requirement specifications, where the service specification is an agreed description of the expected service. Functionality properties should be realized efficiently and cost-effectively, so reachable performance and maintainability of implementation belong to the checked properties.

Dependability is that property of a system that allows reliance to be justifiably placed on the service it delivers. A failure occurs when the delivered service deviates from the specified service. Dependability measures consist of reliability, availability, security, safety and survivability. Availability is the ability to deliver shared service under given conditions for a given time, which means elimination of denial-of-service vulnerabilities. Security is the ability to deliver service under given conditions without unauthorized disclosure or alteration of sensitive information. Security attributes add requirements to detect and avoid intentional faults.

Safety is the ability to deliver service under given conditions with no catastrophic affects. Safety attributes add requirements to detect and avoid catastrophic failures. A failure occurs when the delivered service deviates from the specified service. An error is that part of the system state which is liable to lead to failure. The cause of an error is a fault. Failures can be classified according to
consequences upon the environment of the system. While for benign failures the consequences are of the same order of magnitude (e.g. cost) as those of the service delivered in the absence of failure, for malign or catastrophic failures the consequences are not comparable.

A fail-safe system attempts to limit the amount of damage caused by a failure. No attempt is made to satisfy the functional specifications except where necessary to ensure safety. A fail-stop system never performs an erroneous state transformation due to a fault (Schneider, 1983). Instead, the system halts and its state is irretrievably lost. The fail-stop model, originally developed for theoretical purposes, appears as a simple and useful conception supporting the implementation of some kinds of fail-safe systems. Since any real solution can only approximate the fail-stop behaviour and, moreover, the halted system offers no services for its environment, some fault-avoidance techniques must support all such implementations.

3 APPLICATION

While a lift control system offers a classical example used in literature for presenting principles of requirements elicitation (Lamsweerde, 1998), simulation (Knuth, 1969), formal specification (Evans, 1994), validation (Valmari, 1987), design verification (Cuellar et al., 1994), rapid prototyping (Brink et al., 1993), and executable specification (Hale, 1990), little attention is devoted to publishing the real world projects of this kind. Nevertheless, such dedicated system, with a long tradition of development, can demonstrate dedicated solutions that have to conform to the strict dependability requirements typical for safety-critical applications.

3.1 Architectural Specifications

The multiple lift control system governs the operation of N identical lifts servicing an M-storey building, see Figure 1. For this purpose, it interacts with its environment in the following way. Every lift cabin includes a control panel with one button for each floor, an emergency button, a key-lock handler for attendant-mode traffic, and a numerical display. Other inputs and outputs in the lift cabin involve load sensor, door driver, door position detector, and gate optical barrier, of course, with individual timing. On each floor (except ground and top with modified configuration for border line
positions) there are two buttons, one to request a lift going upwards and the other for going downwards, and moreover course lights, time interval display and acoustic signalization with the same timing requirements as mentioned above for the lift cabin control panel. The position and speed of each lift is measured and controlled by a lift drive. This group of in-puts/outputs requires special attention because of hard real-time limits.

The control system architecture stems from the following conception. The external serial bus interconnects N identical lift controllers and a dispatcher station; in addition, each lift controller embodies a dedicated distributed system with internal serial bus connecting a shaft controller, M floor controllers, and a cabin controller. The shaft controller, which is a dedicated multiprocessor, comprises one scheduling processor and one drive control processor communicating through a common memory, two communication processors enabling access to external and internal serial buses, and two simple watchdog processors.

### 3.2 Functional Specifications

The behaviour of each lift is directed by its scheduling processor using both global master directives, which consider orders from floors provided through a floor controller and local orders from the lift cabin provided through a cabin controller -- the global master, elected among all active scheduling processors during the initialization phase -- obtains also information about orders from all cabins to improve task allocation efficiency. For each shaft controller, a scheduling processor and a drive control processor share, in two-port RAM, data structures describing the state (position, speed, direction, load, and error status), list of orders to be serviced including allowed time limits, and the next serviced floor.

Possible traffic modes implement a self-service administration with various N-lift scheduling strategies and a separate lift self-service or attendant management including also such special policies as maintenance and fire brigade support. While the scheduling processor communicates with the global master and, accordingly, updates the orders from floors, the drive control processor controls the lift position and speed and updates the lift state and cabin orders. The lift cabin controller serves the control panel and the load sensors and manages the door drive respecting the door position, the drive moment, and the gate optical barrier. Finally, the floor controller serves floor buttons, course lights, acoustic signalization of arriving lift, and display with approximate time interval to floor tending.

The multiple lift control system is designed to be fully observable and controllable through its serial buses. In a special 'off-line' mode, every processor can upload or download through the incident serial bus its local data and local inputs or outputs. That feature administered by relevant modes of the dispatcher and diagnostic station behaviour props installation and repair of the control system. Both above mentioned stations can also emulate dedicated network analyzers and management terminals. While the dispatcher station can monitor, test, or supervise the whole interconnected system, the portable diagnostic station implements equivalent functions for the individual lift controller. Such property promotes both an adaptation of service strategies and regular system maintenance.

After power supply initiation and successful power-up tests of all processors including memories, peripheries, and internal connections, the communication processors incident with the external interconnection elect, according to the lowest address on external serial bus, the current global master, which is responsible to allocate service tasks to the individual lifts. This allocation follows a strategy either prescribed by the dispatcher station or selected by the global master according to the traffic type of building serviced, week and month or season day, and day or night time. When the external serial bus is disconnected, the scheduling will proceed locally.

The software of scheduling processors stems from a real-time executive with pre-emptive task planning based on fix priorities. The supervisor task, which is periodically activated by a timer, implements initialization, mode selection, and extraordinary events services. The scheduler task, which can be activated by a message, realizes global and local scheduling of lift services. Other auxiliary tasks support accessing and updating the lift data model based on above mentioned data structures. As for the drive control processors, their dedicated software in foreground/background format guarantees very short response times for speed and position drive control loops and transfers, without so strict temporal limits, information between the lift data model and the lift cabin or floor controllers. In each shaft controller, the communication controllers implement corresponding, special purpose protocols and release the execution processors from communication loads. The lift cabin and floor controllers fulfil the above stated functions using polling loops.
3.3 Fault Maintenance Concepts

The methods used accomplish the fault management in the form of (a) hazardous state reachability control and (b) hazardous state maintenance. In safe states, the lift cabins are fixed at any floors. The system is allowed to reach any hazardous state when all relevant processors successfully passed the start-up checks of inputs and monitored outputs and of appropriate communication status. The hazardous state maintenance includes operational checks and, for shaft controller, the fail-stop support by two watchdog processors performing consistency checking for both execution processors. To comply with safety-critical conception, all critical inputs and monitored outputs are doubled and compared; when the relevant signals differ, the respective lift is either forced (in case of need with the help of a substitute drive if the shaft controller is disconnected) to reach the nearest floor and to stay blocked, or (in the case of maintenance or fire brigade support) its services are partially restricted. The basic safety hard core includes mechanical, emergency brakes.

Because permanent blocking or too frequently repeated blocking is inappropriate, the final implementation must employ also fault avoidance techniques. The other reason for the fault avoidance application stems from the fact that only approximated fail-stop implementation is possible. Moreover, the above described configurations create only skeleton carrying common fault-tolerant techniques see e.g. (Maxion et al., 1987). In short, while auxiliary hardware components maintain supply-voltage levels, input signals filtering, and timing, the software techniques, namely time redundancy or skip-frame strategy, deal with non-critical inputs and outputs.

4 CONCLUSIONS

The multiple lift control system presents a real-world solution of a safety critical embedded application employing the introduced fail-stop safety model and dedicated distributed architecture. The specification and design of the multiple lift control system, ordered and supported by a lift manufacturer, were completed a couple of years ago. For other than technical reasons the project was cancelled before full implementation of the target system and preparation of production stages. What appears as an advantage in this not too optimistic state of affairs is the fact that this situation enabled to publish such details of the project that could not be disclosed in the opposite case.

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