A PROTOTYPE TOOL FOR USE CASE REFACTORING

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Abstract: Use cases are widely used in software engineering. It is important to improve the understandability and maintainability of use case models. We propose the approach of refactoring use case models. This paper describes a prototype tool for the refactoring process. We introduce the use case metamodel and its XML document type definition (DTD) used in the tool. Based on the Drawlets framework, we implement the functionality for drawing and viewing use case models. We propose the refactoring framework and implement some use case refactorings. Our experience shows that the tool greatly facilitates the process to reorganize use case models.

1 INTRODUCTION

Use cases and scenarios play an important role in object-oriented software engineering. A use case represents a series of transactions between the actor and the system. An actor represents a certain user type or a role played by users. A scenario is a specific and bound realization of a use case. Use cases and scenarios not only elicit requirements from stakeholders to construct object-oriented software systems, but also are essential for understanding existing object-oriented software systems. Furthermore, many software artifacts, such as state chart, test cases, can be derived from them (Uchitel, 2003) (Ryser, 2000).

It is a challenge to manage use case models effectively during software evolution. In our research we extend the concept of refactoring from source code to use case models. Our work attempts to show how refactoring as a concept can be broadened to apply to use case models to improve their understandability, changeability, reusability and traceability. This paper describes a prototype tool for refactoring use case models. It is organized as follows. In section 2, we introduce some related work in refactoring. We present our use case metamodel in section 3 and describe the design and implementation of the tool in section 4. We summarize this paper and discuss the future work in section 5.

2 RELATED WORK

2.1 PROGRAM REFACTORING

Refactoring is a program transformation approach for iterative software development. W. F. Opdyke (Opdyke, 1992) coins the term refactoring to stand for the program restructuring operation that preserves the program behavior for object-oriented applications. In (Fowler, 2002), M. Fowler describes refactoring principles and its uses. A comprehensive list of refactorings are described with motivation, mechanics, and examples. D. Roberts presents a weaker and more practical definition for refactoring in (Roberts, 1999).

There are many tools available for source code refactoring. The Refactoring Browser is the first commercial-grade refactoring tool (Roberts, 1997) (Roberts, 1999) for Smalltalk. It implements most of the standard class, method and variable refactorings. It has become an essential tool for the Smalltalk programmer. JFactor (Instantiations) is the most complete refactoring tool for Java. It is a plug-in for IBM Visual Age. There are three kinds of refactorings: field refactorings, method refactorings and class refactorings. Transmogrify (McCormick) is a framework to parse and modify Java programs. It has implemented several refactorings, such as rename variable or method, extract method, replace temp with query, inline temp, and pull up field.
2.2 USE CASE MODEL REFACTORING

As a concept, refactoring can be used not only for program transformation, but also for the whole software life cycle. G. Sunye et al (Gogolla, 2001) define some refactorings to restructure the class diagram and state chart. S. Stepney et al (Stepney, 2002) show that refactoring concepts can be applied to Z specification upgrades. J. Philipps et al believe that the core concept of refactoring can be applied for a large number of modeling techniques beyond mere programming languages, and list a set of refactorings to transform system structure model and state machine model (Philipps, 2001). In (Butler, 2001), G. Butler et al extend the concept of refactoring to the whole range of models used to describe a framework: feature model, use case model, architecture, design, and code. Cascaded refactoring relates the set of refactorings across the set of models through change impact analysis with the trace maps.

A use case refactoring preserves the set of the dialogues of the target system (Butler, 2001). A use case is a description of a cohesive set of dialogues that the primary actor initiates with a system. Those dialogues are related to the same task, or form part of the same transaction. They define the functionality of the system. Hence, they are the only appropriate things to preserve upon restructuring. The use case model is factored by introducing abstract use cases, and rearranging responsibilities. This allows the use case model to reflect the commonality/variability analysis. Through refactoring, the quality of use cases and scenarios, such as reusability, conciseness, maintainability, and readability, is improved. The following example will show how to improve the quality of the use case model.

There is a simple ATM system. Figure 1 shows the use case model. Through the following steps, the use case model becomes more reusable, concise, maintainable, and readable. Figure 2 shows the result.

![Figure 1: Simple ATM System Use Case Model.](image1)

**Figure 1: Simple ATM System Use Case Model.**

a) Create an empty use case "Manage Withdrawal".
b) Make "Manage Withdrawal" a super use case of "Withdraw" and "Transfer" using inherit refactoring.
c) Move the common description between "Withdraw" and "Transfer" to "Manage Withdrawal" using push_episode_up_to_general_use_case refactoring.

![Figure 2: Refactored Simple ATM System Use Case Model.](image2)

**Figure 2: Refactored Simple ATM System Use Case Model.**

Just like source code refactoring, the automatic tool support for use case model refactoring is very important to efficiently refactor use case model. During the refactoring, many conditions should be checked, such as push refactoring. Sometimes, the refactorings which are done previously should be cancelled for some reasons. For the manual refactoring, these things may be very complicated. Our work is to try to construct a use case refactoring tool to support use case modeling.

3 USE CASE METAMODEL

There is a strong debate about the semantics of use cases. Different methods interpret the semantics of use case related concepts differently (Regnell, 1999). In (Cockburn, 1997), A. Cockburn identified 18 different definitions of use cases that he encountered. Although UML represents some efforts in use case formalization, there is still a lot of debate on this issue.

In order to implement use case refactorings, we have to define use case semantics clearly. We do not intend to define a formal use case semantics. Our focus is to provide good support for requirements engineering. The metamodel should be suitable for automatic refactoring, checking and synthesizing. Figure 3 shows our use case metamodel.

![Figure 3: Use Case Metamodel.](image3)

**Figure 3: Use Case Metamodel.**

There are four major components in our use case metamodel: actor, use case, episode, and event. An
actor, which represents a category of external users, communicates with the system, i.e., use cases, to fulfill its goals. Goals represent functionality required of the system, which can be used to categorize users into actors. Between two actors, there is only one relationship: specialization. Each use case has a precondition and postcondition, which demarcates the scope of the use case. A use case is a description of a cohesive set of dialogues that actor communicates with the system. There are five kinds of relationships between two use cases, and two kinds of relationships are different from the UML (OMG, 2002). The Precede relationship defines that one use case is sequenced (appended) to the behavior of the preceding use case. It is very useful in some application. The EqualTo relationship defines that one use case’s behavior is the same as the other use case. It can be very useful for requirement eliciting. Episode can be used to structure use case. It represents a coherent part of the use case. Episode can be referenced by different use cases or other episodes. The control flow is added to describe more complex dialogues. Condition is used to describe the status of actor or the property of the environment and the target system that needs to be fulfilled in order to invoke dialogues. There are three kinds of events: message, action, and timer. The "In" message and "Out" message always appear as a pair. The "Out" message is earlier than the correspondent "In" message. The action event is an internal event of actor. The timer events: SetTimer and KillTimer, are used to describe time constraints.

Our use case metamodel incorporates B. Regnell’s work (Regnell, 1999) as well as other people’s work in use case modeling. There are three different levels of abstraction. At the use case level, use case is related to the external entities, i.e., actors. At the episode level, the internal episode structure of the use case is described together with its different variants and parts by defining sequences, alternatives, loops, and concurrency. At the event level, episode is described in further detail in term of events that occurred in each episode.

4 TOOL DESIGN AND IMPLEMENTATION

4.1 OVERVIEW

In our use case refactoring tool, there are two subsystems (see Figure 4): the refactoring framework and the prototype tool. The refactoring framework is independent to the prototype tool. Two subsystems communicate through the Facade design pattern (Gamma, 1994).

In the refactoring framework, the Refactoring package conducts the whole refactoring process. Each refactoring has a precondition, which is an object of the Condition package. At the beginning of the refactoring, the precondition is checked. During the checking process, the condition object communicates with the code model object. The code model object analyzes the use case model, and determines whether the precondition is met or not. If the precondition is met, the refactoring object generates a change object to modify the use case model. The change object will communicate with the code model object to complete the corresponding change, and return a change object which is used to undo the change. The Tool Interface package is utilized to communicate with the prototype tool subsystem. We will describe these packages in detail in following sections.

We also use the prototype tool to evaluate the refactoring framework. It contains two packages: a Refactoring Tool GUI and a Use Case Diagrammer. Through the Refactoring Tool GUI, user can initiate a refactoring and input corresponding information. Then Refactoring Tool GUI will send the requirement to the refactoring framework Tool Interface package to complete the refactoring. After refactoring, user can view the result through the Use Case Diagrammer.

4.2 STORAGE

XML is widely accepted for storage and information exchange (Yamane, 2000). It provides a number of positive attributes, such as tractability, extensibility, structure, openness, and independence between data and style. Document Type Definition (DTD) provide a grammar for creating XML document structure. We store use case model using the XML format. Figure 5 shows the DTD that is used to structure the storage of our use case model.

In our DTD, there are four major entities: Actor, UseCase, Episode, and Extend. An Actor entity contains the actor information, such as name, commun-
cating use case, parent actor, and so on. The id attribute of an Actor entity identifies the actor. Other attributes: x, y, width and height, are used by the use case diagrammer to store the position and size of the actor figure. A Usecase entity stores the use case information. Each Usecase entity has an identification attribute id. Other attributes are used by the use case diagrammer, just like those of the Actor entity. The relationships between two use cases are stored respectively by SpecialIDREF, ExtendIDREF, PrecedeIDREF, EqualToIDREF and IncludeIDREF. The idref attribute in the relationship entity refers to the id value of the corresponding use case. An EpisodeIDREF entity stores the information of the referenced episode. An Episode entity keeps the episode information, such as message event, action event and timer event with some control flow elements and conditions. Extend entity stores the extend relationship information, such as the extension point, segment point and the extension condition. Other entities are self-explanatory. Owing to the paper size, we do not discuss them here.

4.3 REFACTORING FRAMEWORK DESIGN

There are five packages in the refactoring framework: Code Model, Refactoring, Condition, Change, and Tool Interface. The Code Model represents the use case model within the framework. The Refactoring package is one of the core components. Each refactoring class represents a refactoring itself. The Condition package is used to describe the precondition and postcondition of refactoring. It is very easy to test equality between any two conditions using condition class. Modifications which the refactoring makes to the code model are represented using change classes within Change packages. The Tool Interface package provides various methods to communicate with the previous four packages that act as a façade.

The Code Model package provides two categories of classes. One includes the NodeFactory class, Node class, LeafNode class, and CompositeNode class. It is used to parse the XML document which stores the information of the use case model. The other includes the CodeModel class, CodeAnalyser class, and CodeManipulator class. It is used to process the use case model to complete a refactoring operation. Figure 6 shows the class diagram of the Code Model package.

There are two kinds of refactorings in the Refactoring package: primitive refactorings and composite refactorings. It is convenient to describe a refactoring using the Composite pattern (Gamma, 1994), with one subclass for each primitive refactoring and another for composite refactorings. Figure 7 shows the class diagram of the Refactoring package.

The condition classes within the Condition package are organized by the Composite pattern as shown in Figure 8. The name of the condition class is the name of the analysis function within the precondition and postcondition of the refactorings. For example, isUseCaseCondition represents isUsecase analysis function.

There are no explicit pre- and post-conditions in composite refactorings. They can be calculated according to the algorithms proposed by D.B. Roberts.
(Roberts, 1999) and M.O.Cinneide (Cinneide, 2000) from the stored primitive refactorings. The ConditionAlgorithm class is used to calculate the pre- and post-conditions of the composite refactoring. Figure 9 shows the class diagram.

The change classes within the Change package are organized using the Composite pattern to allow multiple changes to be represented. Each refactoring is related to a change class. The getUndoChange method returns another change object to undo the change. Figure 10 shows the class diagram.

The tool interface is a facade class. There are various methods which are related to the refactorings in tool interface class, such as renameUseCase, extractIncludedUsecase, and so on. It delegates the tool GUI requests to appropriate subsystem classes. In so doing, it minimizes the communication and dependencies between subsystems.

4.4 TOOL IMPLEMENTATION

At present, there are two packages within the prototype tool: a Refactoring Tool GUI and a Use Case Diagrammer. The Refactoring Tool GUI interacts with the tool interface class within the refactoring framework to complete the refactoring requirements. The Use Case Diagrammer is used to draw the use case model. Refactoring results can be viewed through the Use Case Diagrammer.

In our project, the Use Case Diagrammer is implemented based on the Drawlets framework (Role-Model Software). Several classes, such as SimpleDrawingCanvas, SimpleDrawing and BasicObservable, are modified. We add some new shapes such as actor, use case, and so on, based on the original shapes. Furthermore, we implement the attribute pane for some shapes, such as actor and use case, to define attributes of shapes. In order to store the use case model in the XML format, some classes are also added to the framework to parse and print the use case model. Figure 11 shows the screen shot of use case diagrammer which is implemented based on the Drawlets framework.
Refactoring Tool GUI. At the left side, a list of refactorings are displayed through a tree structure. When user selects a refactoring, a corresponding input pane is displayed at the right side. The developer can input the required input and then click the Apply button to start the refactoring.

Figure 12: A Screen Shot Of Refactoring Tool GUI.

5 CONCLUSION

This paper introduces our practice in designing and implementing a tool for refactoring use case models. We present our refactoring framework, which is tool independent and flexible owing to the utilization of software design patterns. Currently, twenty-four refactorings have been implemented, such as rename refactorings, extract refactorings, inline refactorings, push up refactorings, push down refactorings, and so on. With the tool support, we can conduct use case refactorings easily and effectively. Based on our experience, the tool has achieved its initial success. As the next step, we will implement more use case refactorings into the tool. We will also conduct several case studies to evaluate the tool as well as our use case refactorings. Our refactoring framework is also subject to further evaluation. We will investigate composite refactorings and use case pattern (Adolph, 2002) functionality.

REFERENCES


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